

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Peter Weiss, Managing Director, 383 Madison Ave., Fl. 8, New York NY 212-272-2297 Kevin Plunkett, Executive Director, 383 Madison Ave., Fl. 3, New York NY, 212- 270-7036

Jaleni Thompson, Associate, 383 Madison Ave., Fl. 3, New York NY 212-622-1688 Steven Wendeborn, Analyst, 383 Madison Ave., Fl. 3, New York NY 212-272-2222 JT Knadler, Vice President, 383 Madison Ave., Fl. 3, New York NY 212-622-7000

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

Peter Weiss is registered to lobby in New York State and Nassau County and Kevin Plunkett, Jaleni Thompson, Steven Wendeborn, and JT Knadler are registered to lobby in New York State.

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

J.P. Morgan Securities, LLC 383 Madison Avenue Floor 8 New York, NY (212) 270- 5906

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

Lobbying activities with Nassau County officials are related to RFP procurement and administrative processes.

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

Nassau County Executive's Office Nassau County Treasurers Office Nassau County Comptrollers Office Nassau County Debt Management Office Page 3 of 4

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Within the previous year, has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee? If none, you must so state:

None

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated:	Jan	7	2019
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Signed:

Print Name:

Title:

Pat Mi
PETER E, WEISS
Managing Director