Nassau County

Office of Purchasing

# Staff Summary A-02-2020

| | |
|---|---|
| Subject: Printrak Hardware & Software Maintenance Support of the Automated Fingerprint System (AFIS) | Date: July 08, 2020 |
| Department: Department of Shared Services / Department of Shared Services, Office of Purchasing | Vendor Name: Idemia Identity & Security USA LLC. |
| Department Head Name: Melissa Gallucci | Contract Number A-02-2020 |
| Department Head Signature Melissa Gallucci | Contract Manager Name Timothy Funaro |

| Proposed Legislative Action | | | | | |
|---|---|---|---|---|---|
| | To | Date | Approval | Info | Other |
| | Assgn Comm | | | | |
| | Rules Comm | | | | |
| | Full Leg | | | | |

| Internal Approvals | | | |
|---|---|---|---|
| **Date & Init.** | **Approval** | **Date & Init.** | **Approval** |
| | Dept. Head | | |
| AP | Budget | 07/23/2020 SJ | County Atty. |
| | Deputy C.E. | 7/27/2020 A. | County Exec. |

**Narrative**

**Purpose:** To authorize and award a blanket purchase order for Printrak Hardware & Software Maintenance Support of the Automated Fingerprint System ("AFIS") for the Nassau County Police Department ("Police Department").

**Discussion:** This is a sole source purchase. The Police Department previously purchased AFIS equipment that was manufactured and integrated with a combination of commercial off-the-shelf products and propriety hardware and software components. By nature of the propriety hardware and software components and the overall system design, only Idemia Identity & Security USA LLC ("Idemia") is authorized to perform maintenance services on the Police Department's AFIS equipment. Therefore, Idemia's support contracts must be considered a sole source item of procurement for the Nassau County Police Department. This blanket purchase order will have a term of five (5) years, unless sooner terminated by the County.

**Impact on Funding:** The maximum amount authorized under this blanket purchase order is as follows:

(i) Four Hundred Thirty-Eight Thousand Four Hundred Twelve Dollars and Six Cents ($438,412.06) for the first year of service.

(ii) For each annual period thereafter, the cost shall be subject to a five percent (5%) increase to account for increases in labor and/or materials costs, in accordance with the terms and conditions of the blanket purchase order.

(iii) The blanket purchase order shall have a term up to five (5) years, not to exceed a total maximum amount of Two Million Five Hundred Thousand Dollars ($2,500,000.00).

**Recommendation:** Department of Shared Services, Office of Purchasing recommends approving this Blanket Purchase Order with Idemia as the sole source provider of these maintenance support services.

APPROVED: 7/15/20 (DATE)

INSURANCE SECTION

COUNTY OF NASSAU

INTER – DEPARTMENTAL MEMO

**TO:** CLERK OF THE COUNTY LEGISLATURE **A-02-2020**

**FROM:** MELISSA GALLUCCI – COMMISSIONER OF SHARED SERVICES

**DATE:** APRIL 30, 2020

**SUBJECT:** RESOLUTION – THE NASSAU COUNTY POLICE DEPARTMENT

THIS RESOLUTION IS RECOMMENDED BY THE COMMISSIONER OF SHARED SERVICES TO APPROVE A BLANKET PURCHASE ORDER IN AN AMOUNT NOT TO EXCEED TWO MILLION FIVE HUNDRED THOUSAND DOLLARS ($2,500,000.00) ON BEHALF OF THE NASSAU COUNTY POLICE DEPARTMENT TO IDEMIA IDENTITY & SECURITY USA LLC, TO PROVIDE FOR PRINTRAK HARDWARE & SOFTWARE MAINTENANCE SUPPORT OF THE AUTOMATED FINGERPRINT SYSTEM (AFIS).

THE ABOVE DESCRIBED RESOLUTION AND SUPPORTING DOCUMENTATION ATTACHED HERETO IS FORWARDED FOR YOUR REVIEW, APPROVAL, AND SUBSEQUENT TRANSMITTAL TO THE RULES COMMITTEE FOR INCLUSION IN ITS AGENDA.

Melissa Gallucci

MELISSA GALLUCCI
COMMISSIONER OF SHARED SERVICES

ENCL: (1) STAFF SUMMARY
(2) DISCLOSURE STATEMENT
(3) RESOLUTION
(4) CERTIFICATE OF LIABILITY INSURANCE
(5) POLITICAL CONTRIBUTION FORM

RULES RESOLUTION 2020

A RESOLUTION AUTHORIZING THE COMMISSIONER OF SHARED SERVICES TO AWARD AND EXECUTE A BLANKET PURCHASE ORDER BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY POLICE DEPARTMENT, AND IDEMIA IDENTITY & SECURITY USA LLC.

WHEREAS, the Commissioner of Shared Services (the "Commissioner") is representing to the Rules Committee that the firm, IDEMIA IDENTITY & SECURITY USA LLC, is a sole source provider and meets all specifications for the product and/or services described in the said contract as determined by the Commissioner.

RESOLVED, that the Rules Committee of the Nassau County Legislature authorizes the Commissioner to award and execute the said Blanket Purchase Order with IDEMIA IDENTITY & SECURITY USA LLC.

COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES [ ] NO [ x ] If yes, to what campaign committee?

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by: Michael Kato

Dated: 4/22/2020

Vendor: Idemia Identity & Security USA LLC

Title: Vice President

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

NONE

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

None

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See the last page for a complete description of lobbying activities.

None

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

None

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator?

YES [ ] NO [X] If yes, to what campaign committee? If none, you must so state:

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress. threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Electronically signed and certified at the date and time indicated by: Michael Kato

Dated: 4/22/2020

Vendor: Idemia Identity & Security USA LLC

Title: Vice President

**The term lobbying shall mean any attempt to influence**: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

**The term "lobbying" or "lobbying activities" does not include:** Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Christopher Newhall
   Date of birth: January 26, 1973
   Home address: 12 Davida Rd.
   City: Burlington State/Province/Territory: MA Zip/Postal Code: 01803
   Country: USA

   Business Address:
   City: State/Province/Territory: Zip/Postal Code:
   Country
   Telephone:

   Other present address(es):
   City: State/Province/Territory: Zip/Postal Code:
   Country:
   Telephone:

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | | Secretary | |
| Chief Financial Officer | | Partner | |
| Vice President | | | |
| (Other) | Assistant Treasurer | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES [ ] NO [X] If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [X] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, Christopher Newhall, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Christopher Newhall, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

IDEMIA Identity & Security USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Assistant Vice President

Title

3/26/2020

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: EDWARD J. CASEY Jr.
   Date of birth: March 13, 1958
   Home address: 362 Bloomfield Road
   City: Charlottesville State/Province/Territory: VA Zip/Postal Code: 22903
   Country: USA

   Business Address: 11951 FREEDOM DRIVE SUITE 1800
   City: RESTON State/Province/Territory: VA Zip/Postal Code: 20190
   Country USA
   Telephone: 703.775.7800

   Other present address(es): 11850 Freedom Drive
   City: Reston State/Province/Territory: VA Zip/Postal Code: 20190
   Country: USA
   Telephone: 703-981-1232

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | X January 2018 | Secretary | |
| Chief Financial Officer | | Partner | |
| Vice President | | | |
| (Other) | | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [X] NO [ ] If Yes, provide details.



Idemia Identity & Security USA LLC - Effective Jan 2018-Current
Idemia America Corp. - Effective Feb 2018- May 2019
Morpho USA Inc - Nov 2018-Current
Oberthur Technologies of America Holding, Inc. - Effective Feb 2018- May 2019
Idemia Identity & Security Canada Inc.- Effective Jan 2018-Current
Idemia National Security Solutions LLC - Effective Feb 2018- Current
L-1 Secure Credentialing, LLC - Effective Jan 2018-May, 2019
Chief Operating Officer, Serco Group, plc

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES [ X ] NO [ ] If Yes, provide details.

Idemia Identity & Security holds numerous contracts with state and local government agencies including for the provision of drivers licenses, AFIS systems, and universal enrollment services for TSA

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [ x ] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

I, EDWARD J. CASEY Jr., hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, EDWARD J. CASEY Jr., hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

IDEMIA IDENTITY & SECURITY USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Edward Casey Jr

CHIEF EXECUTIVE OFFICER

Title

03/30/2020

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Lauren E. DiGiovine
   Date of birth: March 29, 1985
   Home address: 19 Brandon Street
   City: North Billerica State/Province/Territory: MA Zip/Postal Code: 01862
   Country: United States

   Business Address: 296 Concord Road
   City: Billerica State/Province/Territory: MA Zip/Postal Code: 01821
   Country United States
   Telephone: 978-215-2514

   Other present address(es): None
   City: State/Province/Territory: Zip/Postal Code:
   Country:
   Telephone:

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | | Secretary | Assistant Secretary; February 23, 2018 |
| Chief Financial Officer | | Partner | |
| Vice President | | | |
| (Other) | | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [x] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [x] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [x] NO [ ] If Yes, provide details.

   Assistant Secretary: Morpho USA, Inc., IDEMIA America Corp., Oberthur Technologies of America Holding Corp., NIDSecurity, Inc., Morpho NA, Inc., L-1 Secure Credentialing, Inc., MorphoTrak, LLC [merged into Idemia Identity & Security USA LLC]

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES [ ] NO [ x ] If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [ x ] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

I, Lauren E. DiGiovine, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Lauren E. DiGiovine, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Idemia Identity & Security USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Assistant Secretary

Title

March 25, 2020

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: ZARA GERALD
   Date of birth: 02/06/1972
   Home address: 3808 ALTA VISTA DRIVE
   City: BOWIE State/Province/Territory: MD Zip/Postal Code: 20721
   Country: USA

   Business Address: 11951 FREEDOM DRIVE SUITE 1800
   City: RESTON State/Province/Territory: VA Zip/Postal Code: 20190
   Country USA
   Telephone: 703.775.7837

   Other present address(es):
   City: State/Province/Territory: Zip/Postal Code:
   Country:
   Telephone:

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | | Secretary | X (02/2018) |
| Chief Financial Officer | | Partner | |
| Vice President | | | |
| (Other) | | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [X] NO [ ] If Yes, provide details.
   I have been officer (Secretary) of affiliated entities of the Proposer as follows below:
   Idemia America Corp. - Effective Feb 2018- May 2019
   Morpho USA Inc - May 2019-Present
   Oberthur Technologies of America Holding, Inc. - Effective Feb 2018- May 2019
   Idemia Identity & Security Canada Inc.- Effective Jan 2018- Present
   L-1 Secure Credentialing, LLC - Effective Jan 2018- Present
   Morpho NA, Inc. - Effective Mar 2018-Current

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES [ x ] NO [ ] If Yes, provide details.

Idemia Identity & Security holds numerous contracts with state and local government agencies including for the provision of drivers licenses, AFIS systems, and universal enrollment services for TSA

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES [ ] NO [ X ] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [ x ] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [ x ] If yes, provide an explanation of the circumstances and corrective action taken.

I, ZARA GERALD, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, ZARA GERALD, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

IDEMIA IDENTITY & SECURITY USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

GENERAL COUNSEL

Title

03/27/2020

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: Thomas W. McPherson
   Date of birth: 10/29/1963
   Home address: 705 Applewood Circle
   City: Victoria State/Province/Territory: MN Zip/Postal Code: 55386
   Country: U.S.A.

   Business Address: 5705 W. Old Shakopee Road, Suite 100
   City: Bloomington State/Province/Territory: MN Zip/Postal Code: 55437
   Country U.S.A.
   Telephone: (952) 979-8477

   Other present address(es): Not applicable
   City: State/Province/Territory: Zip/Postal Code:
   Country:
   Telephone:

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | | Secretary | Assistant Secretary |
| Chief Financial Officer | | Partner | |
| Vice President | | | |
| (Other) | | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [X] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [X] NO [ ] If Yes, provide details.
   Secretary of Centre for Research in Global Christianity, a Minnesota non-profit organization.
   Assistant Secretary: Morpho USA, Inc., IDEMIA America Corp., Oberthur Technologies of America Holding Corp., NIDSecurity, Inc., Morpho NA, Inc.,L-1 Secure Credentialing, Inc., MorphoTrak, LLC [merged into Idemia Identity & Security USA LLC

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?
YES [ ] NO [X] If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated?

YES [ ] NO [X] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, Thomas W. McPherson, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Thomas W. McPherson, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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Idemia Identity & Security USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

Thomas W. McPherson

Assistant Secretary

Title

March 24, 2020

Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name: JAMES M. BLUE
   Date of birth: May 22, 1962
   Home address: 23214 Bent Arrow Drive
   City: Clarksburg State/Province/Territory: MD Zip/Postal Code: 20871
   Country: USA

   Business Address: 11951 FREEDOM DRIVE
   City: RESTON State/Province/Territory: VA Zip/Postal Code: 20190
   Country USA
   Telephone: 703-775-7841

   Other present address(es):
   City: State/Province/Territory: Zip/Postal Code:
   Country:
   Telephone:

   List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)

| | | | |
|---|---|---|---|
| President | | Treasurer | |
| Chairman of Board | | Shareholder | |
| Chief Exec. Officer | | Secretary | |
| Chief Financial Officer | X (DATE: Februray 2019 ) | Partner | |
| Vice President | | | |
| (Other) | | | |

3. Do you have an equity interest in the business submitting the questionnaire?
   YES [ ] NO [x] If Yes, provide details.

4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire?
   YES [ ] NO [x] If Yes, provide details.

5. Within the past 3 years, have you been a principal owner or officer of any business or notfor-profit organization other than the one submitting the questionnaire?
   YES [X] NO [ ] If Yes, provide details.
   prior officer at former employers which includes: BAE Systems

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer?

YES [x] NO [ ] If Yes, provide details.

Idemia Identity & Security holds numerous contracts with state and local government agencies including for the provision of drivers licenses, AFIS systems, and universal enrollment services for TSA

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

a. Been debarred by any government agency from entering into contracts with that agency?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract?

YES [ ] NO [x] If yes, provide an explanation of the circumstances and corrective action taken.

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YES [ ] NO [x] If 'Yes', provide details for each such instance. (Provide a detailed response to all questions check "Yes". If you need more space, photocopy the appropriate page and attached it to the questionnaire.)

9.

a. Is there any felony charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

b. Is there any misdemeanor charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

c. Is there any administrative charge pending against you?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

d. In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Y
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

e. In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

f. In the past 5 years, have you been found in violation of any administrative or statutory charges?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

10. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5?
YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

11. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

12. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

13. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?

YES [ ] NO [X] If yes, provide an explanation of the circumstances and corrective action taken.

I, JAMES M. BLUE, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, JAMES M. BLUE, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

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Idemia Identity & Security USA LLC

Name of submitting business

Electronically signed and certified at the date and time indicated by:

James M. Blue

CHIEF FINANCIAL OFFICER

Title

MARCH 27, 2020

Date

## Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: March 20, 2020

1) Proposer's Legal Name: Idemia Identity & Security USA LLC

2) Address of Place of Business: 5515 E La Palma Ave, Suite 100

City: Anaheim State/Province/Territory: CA Zip/Postal Code: 92807

Country: USA

3) Mailing Address (if different): Same

City: State/Province/Territory: Zip/Postal Code:

Country:

Phone: 714-238-2000

Does the business own or rent its facilities? Rent If other, please provide details:

4) Dun and Bradstreet number: 969055321

5) Federal I.D. Number: 04-3320515

6) The proposer is a: Other (Describe) Limited Liability Company

7) Does this business share office space, staff, or equipment expenses with any other business?
YES [ ] NO [x] If yes, please provide details:

8) Does this business control one or more other businesses?
YES [x] NO [ ] If yes, please provide details:
IDEMIA National Security Solutions LLC L1 Secure Credentialing

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business?
YES [x] NO [ ] If yes, please provide details:
This business is wholly owned by Morpho USA Inc. and is a part of a large international group of companies ultimately controlled by private equity funds managed by Advent International Corporation of Boston, Massachusetts.

10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated?
YES [ ] NO [ X ] If yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture; or details regarding the termination (if a contract).

11) Has the proposer, during the past seven years, been declared bankrupt?
YES [ ] NO [ X ] If yes, state date, court jurisdiction, amount of liabilities and amount of assets

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business.
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business.
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
a) Any felony charge pending?
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

b) Any misdemeanor charge pending?
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an

element of which relates to truthfulness or the underlying facts of which related to the conduct of business?
YES [ ] NO [ x ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions?
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held?
YES [ ] NO [ X ] If yes, provide details for each such investigation, an explanation of the circumstances and corrective action taken.

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges?
YES [ ] NO [ X ] If yes, provide details for each such year. Provide a detailed response to all

17 Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. NOTE: If no conflicts exist, please expressly state "No conflict exists."

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Idemia adheres to a strict Code of Conduct and requires annual employee training.

A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified. See attachments.

Have you previously uploaded the below information under in the Document Vault?
YES [ ] NO [x]

Is the proposer an individual?
YES [ ] NO [x] Should the proposer be other than an individual, the Proposal MUST include:

i) Date of formation; May 23, 1996

ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner. If none, explain.

The company is wholly owned by Morpho USA, Inc. See Section 9 for additional information.

*No individuals with a financial interest in the company have been attached..*

iii) Name, address and position of all officers and directors of the company. If none, explain.

Ed Casey (President, CEO in Reston, VA), Jim Blue (Treasurer in Reston, VA), Zara Gerald (Secretary in Reston, VA), Tom McPherson (Assistant Secretary in Bloomington, MN), Lauren DiGiovine (Assistant Secretary in Billerica, MA)

*No officers and directors from this company have been attached.*

iv) State of incorporation (if applicable); Delaware

v) The number of employees in the firm; 1948

vi) Annual revenue of firm; $2.400M

vii) Summary of relevant accomplishments

We currently support the Nassau County Police Department's Automated Fingerprint Identification System (AFIS), as well as systems for NY DCJS, the FBI, and close to 60 other agencies in the U.S.

viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business.

Total of 45 years.

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

See attachments.

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

| | | | |
|---|---|---|---|
| Company | New Jersey State Police | | |
| Contact Person | Lt. Brad Cherry | | |
| Address | 1034 River Road, Bldg 15 | | |
| City | West Trenton | State/Province/Territory | NJ 08628 |
| Country | USA | | |
| Telephone | 609-882-2000 x6650 | | |
| Fax # | NA | | |
| E-Mail Address | lpp5684@gw.njsp.org | | |

| | | | |
|---|---|---|---|
| Company | Commonwealth of Massachusetts State Police | | |
| Contact Person | Caroline Miller | | |
| Address | 59 Horse Pond Road | | |
| City | Sudbury | State/Province/Territory | MA 01776 |
| Country | USA | | |
| Telephone | 857-202-8957 | | |
| Fax # | 508-358-3177 | | |
| E-Mail Address | caroline.e.miller@state.ma.us | | |

| | | | |
|---|---|---|---|
| Company | Maine State Police | | |
| Contact Person | Amy McCollett | | |
| Address | State House Station #42 | | |
| City | Augusta | State/Province/Territory | ME 04333 |
| Country | USA | | |
| Telephone | 207-624-7279 | | |
| Fax # | NA | | |
| E-Mail Address | Amy.McCollett@maine.gov | | |

I, Michael Kato, hereby acknowledge that a materially false statement willfully or fraudulently made in connection with this form may result in rendering the submitting business entity and/or any affiliated entities non-responsible, and, in addition, may subject me to criminal charges.

I, Michael Kato, hereby certify that I have read and understand all the items contained in this form; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this form; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this form as additional inducement to enter into a contract with the submitting business entity.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

Name of submitting business: Idemia Identity & Security USA LLC

Electronically signed and certified at the date and time indicated by:

Michael Kato, Vice President

Title

March 20, 2020

Date

A

Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

IDEMIA has delivered Biometric products, hardware, and solutions to public safety agencies for over 45 years. We currently support the Nassau County Police Department's Automated Fingerprint Identification System (AFIS), as well as systems for NY DCJS, the FBI, and close to 60 other agencies in the U.S. ***IDEMIA has more experience with designing, building and managing U.S. State and County AFIS solutions than all of our competition combined.***

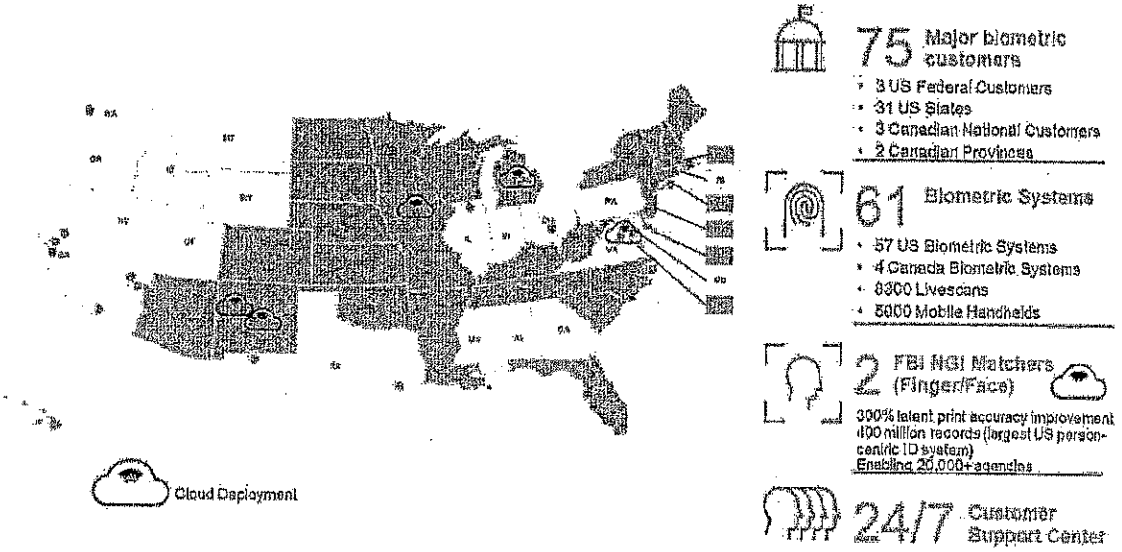


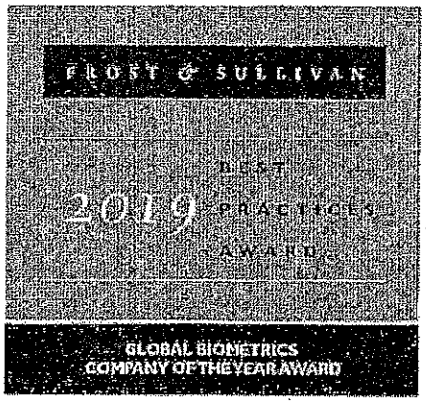

**Figure 1: IDEMIA is First in Biometric System Experience in the U.S.**

Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

The 2019 system uptime for the Nassau County AFIS supported by IDEMIA was 99.9972%.

IDEMIA has a 24x7x365 U.S. Help Desk that logs customer issues, attempts to remotely address the problems, and dispatches local or regional Customer Support Engineers (CSEs) as required.

We currently has 10 experienced CSEs in New York. Brian Factor is Primary CSE in NY for Nassau Co PD. There are also 6 CSE's whose Primary Site is NYPD and 3 CSE's at NY DCJS. All CSE's back each other up as needed to ensure our customer systems are fully supported.




Frost & Sullivan presented IDEMIA with the
**Global Biometrics Company of the Year Award**
in 2018 *and* 2019.

**"Overall, IDEMIA's industry-leading technology, unrivalled customer support, and next-generation innovations earn it a position of eminence in the global biometrics market."**
- Ram Ravi, Industry Analyst at Frost & Sullivan

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Idemia Identity & Security USA LLC

Address: 5515 E La Palma Ave Suite 100

City: Anaheim State: CA Zip Code: 92807

2. Entity's Vendor Identification Number: 043320515

3. Type of Business: Biometrics (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):
Ed Casey (President, CEO in Reston, VA), Jim Blue (Treasurer in Reston, VA), Zara Gerald (Secretary in Reston, VA), Tom McPherson (Assistant Secretary in Bloomington, MN), Lauren DiGiovine (Assistant Secretary in Billerica, MA), Christopher Newhall (Assitant Treasuer in Billerica, MA).

*No principals have been attached to this form.*

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.
If none, explain.

Morpho USA Inc.

*No shareholders, members, or partners have been attached to this form.*

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

The following companies are subsidiaries of the firm on Line 1: IDEMIA National Security Solutions LLC; L1 Secure Credentialing. The following companies are siblings of the firm on Line 1: L1 Identity Solutions (Mexico); IDEMIA Identity & Security Germany AG; L1 Identity Solutions Op, Co Private Limited (India); L1 Identity Solutions (Panama).

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

Are there lobbyists involved in this matter?
YES [ ] NO [X]

(a) Name, title, business address and telephone number of lobbyist(s):

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Electronically signed and certified at the date and time indicated by:

Dated: March 20, 2020

Title: Michael Kato, Vice President

**The term lobbying shall mean any attempt to influence:** any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

IDEMIA

5515 E. La Palma Ave., Suite 100
Anaheim, CA 92807

*June 12, 2019 (Rev3)*

Sergeant Krystle DeLuca
Nassau County Police Department
240 Old Country Road
Mineola, NY 11501

RE: Sole Source

Dear Sergeant DeLuca,

Idemia Identity & Security USA LLC ("IDEMIA") is the leader in Automated Fingerprint Identification Systems Worldwide. Our equipment is manufactured and integrated at 5515 East La Palma Avenue, Suite 100 Anaheim, CA 92807. The systems we manufacture are a combination of commercial off-the-shelf products and proprietary hardware and software components, which combine to make up the AFIS systems we sell and deliver to law enforcement agencies. Such is the case with the system located at the Nassau County Police Department.

By nature of the proprietary hardware and software components and the overall system design, there are currently no other companies authorized to perform maintenance services on our systems. Therefore, our support contracts must be considered a sole source item of procurement for the Nassau County Police Department and any other agency that requires support services to be performed on their AFIS products.

Thank you for your continued support of IDEMIA. We look forward to a long partnership with you.

Sincerely,

Susan Noisseau
Contracts Administration Specialist II
Ph: (518) 608-1383
Fax: (518) 608-4879
Email: susan.noisseau@idemia.com

IDEMIA

5515 E. La Palma Ave., Suite 100
Anaheim, CA 92807

July 8, 2020

Sergeant Krystle DeLuca
Nassau County
240 Old County Rd.
Mineola, NY 11501

Re: Price Justification
Maintenance & Support Contract #001827-000

Dear Sergeant DeLuca,

Idemia Identity & Security USA LLC has been committed to providing our customers with comprehensive support plans and services. In the normal course of managing our business processes, we regularly examine our current support fees to ensure we can continue to provide you with excellent customer service.

Because of these annual price action reviews, IDEMIA's business practice is to assess an annual increase to support fees to compensate for the increasing labor and material costs as products mature. Idemia Identity & Security USA LLC has agreed to extend support of the AFIS maintenance and support agreement for Nassau County Police Department under the same terms and conditions with 5% annual increase in pricing on existing equipment for the coverage term beginning July 1, 2019 to June 30, 2024. This price does not include maintenance for any incremental system upgrades or system enhancements that may occur within these fiscal terms. Such upgrades or enhancements may be proposed with separate maintenance pricing and invoiced separate from this agreement. This increase is included to cover increasing labor costs for field services and parts replacement expenses, technical support, engineering and continued development costs. Continued development provides on-going patches, releases and updates to your existing software as included in your maintenance and support contract.

We strive to take into consideration the financial needs and requirements of our customer while ensuring both high value service and quality parts. The Nassau County Police Department current Automated Fingerprint Identification System™ (AFIS) is EOS (End of Service) December 31, 2021 and we cannot guarantee full service after that time.

Thank you for your continued support of IDEMIA. We look forward to a long partnership with you.

Sincerely,

Michael Kato
Vice President
Idemia Identity & Security USA LLC

IDEMIA

5515 E. La Palma Ave., Suite 100
Anaheim, CA 92807

June 12, 2019 (Rev5)

Sergeant Krystle DeLuca
Nassau County
240 Old County Rd.
Mineola, NY 11501
Email: KDeLuca@PDCN.org

RE: Extension to Maintenance and Support Agreement SA 001827-000
Equipment Type: **Automated Fingerprint Identification System™ (AFIS)**

Dear Sergeant DeLuca:

By means of this letter, Idemia Identity & Security USA LLC ("IDEMIA") would like to request for an extension to maintenance and support agreement SA 001827-000. Attached is an updated Contract Summary Quotation for the period July 1, 2019 through June 30, 2020. The existing equipment maintenance is increased by the industry standard of 5%.

By nature of the proprietary hardware and software components and the overall system design, there are currently no other companies authorized to perform maintenance services on our systems. Therefore, our support contracts must be considered a sole source item of procurement for the Nassau County and any other agency that requires support services to be performed on their AFIS products.

Please send an original purchase order in the amount of **$438,412.06** for continued maintenance to my attention at Idemia Identity & Security USA LLC., 5515 East La Palma Avenue, Suite 100, Anaheim, CA 92807 on or before July 01, 2019. Previous blanket purchase order was BPNC19000052 (formerly BPNC10000223) and Purchase order #POPD18000052.

IDEMIA appreciates your continued support. If you have any questions or need further clarification, please contact me directly at (518) 608-1383 or e-mail Susan.Noisseau@idemia.com.

Sincerely,

Susan Noisseau

Susan Noisseau
Contracts Administration Specialist II
Idemia Identity & Security USA LLC

Nassau Co, NY

| Product | Description | Location | Install Date | Node Name | OS | Qty | Software Advantage Coverage with Hardware On-site & Parts | Sales Contract | Maintenance Fee 07/01/19-06/30/20 (12 MONTHS) |
|---|---|---|---|---|---|---|---|---|---|
| Automated Fingerprint Identification System™ (AFIS) | Lexmark Printer | HQ Records | 5/1/2013 | NALX01 | | 1 | 8am-5pm M-F | 6924 | $241.22 |
| | LSS-R w/ camera | 1st Precinct | 9/27/2016 | NALV01 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,659.17 |
| | LSS-R w/ camera | 2nd Precinct | 9/27/2016 | NALV02 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,659.17 |
| | LSS-R w/ camera | 3rd Precinct | 5/1/2013 | NALV03 | XP | 1 | 8am-5pm M-F | 6924 | $5,721.57 |
| | LSS-R w/ camera | 4th Precinct | 5/1/2013 | NALV04 | XP | 1 | 8am-5pm M-F | 6924 | $5,721.57 |
| | LSS-R w/ camera | 5th Precinct | 9/27/2016 | NALV05 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,659.17 |
| | LSS-R w/ camera | 6th Precinct | 9/27/2016 | NALV06 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,659.17 |
| | LSS-R w/ camera | 7th Precinct | 5/16/2017 | NALV07 | Win7 | 1 | 8am-5pm M-F | 29526 | $2,433.90 |
| | LSS-R w/ camera | 8th Precinct | 12/22/2016 | NALV08 | Win7 | 1 | 8am-5pm M-F | 29525 | $2,555.60 |
| | LSS-R w/ camera (Pro-rated 8/21/2019 to 6/30/2020) | HQ Records Arrest Room | 8/21/2018 | NALV09 | Win10 | 1 | 8am-5pm M-F | 37033 | $2,000.47 |
| | LSS-R w/ camera (Pro-rated 8/21/2019 to 6/30/2020) | HQ Records Arrest Room | 8/21/2018 | NALV10 | Win10 | 1 | 8am-5pm M-F | 37033 | $2,000.47 |
| | LSS-D (Pistol) | HQ Pistols section | 6/19/2014 | NALV20 | Win7 | 1 | 8am-5pm M-F | 17761 | $3,403.42 |
| | Lexmark Printer (Pistol) | HQ Pistols section | 6/19/2014 | NALX20 | | 1 | 8am-5pm M-F | 17761 | $364.65 |
| | Camera, tripod (Pistol) | HQ Pistols section | 6/19/2014 | NALV20 | | 1 | 8am-5pm M-F | 17761 | $316.03 |
| | UPS (Pistol) | HQ Pistols section | 6/19/2014 | NALV20 | | 1 | 8am-5pm M-F | 17761 | $34.03 |
| | Bar code reader w/ stand (Pistol) | HQ Pistols section | 6/19/2014 | NALV20 | | 1 | 8am-5pm M-F | 17761 | $87.52 |
| | LSS-R w/ camera | 3rd Precinct Substation Portunoff Mall | 12/22/2016 | NALV11 | Win7 | 1 | 8am-5pm M-F | 29525 | $2,555.60 |
| | LSS-R w/ camera | Court Main St | 5/15/2017 | NALV23 | Win7 | 1 | 8am-5pm M-F | 29526 | $2,433.90 |
| | Printrak™ LiveScan Station Portable with Laptop Computer (LSS-P) | Records | 9/27/2016 | NALV13 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,035.15 |
| | Printrak™ LiveScan Station Portable with Laptop Computer (LSS-P) | Records | 9/27/2016 | NALV15 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,035.15 |
| | Printrak™ LiveScan Station Desktop (LSS-D) | Pistols_old | 11/30/2005 | NALV16 | XP | 1 | 8am-5pm M-F | 1367 | $3,609.67 |
| | Printrak™ LiveScan Station Portable with Laptop Computer (LSS-P) | Applicants 8th Pct | 9/27/2016 | NALV14 | Win7 | 1 | 8am-5pm M-F | 24250 | $4,035.15 |
| | AMPs (TP/LT/Palm) | | | NATP01 to NATP06 PALM01 to PALM03 | | 9 | 24 hrs a day, 7 days per week | 1488 | $168,243.65 |
| | Palm Matcher Expansion | | 10/23/2017 | PALM04 | | 1 | 24 hrs a day, 7 days per week | 33820 | $9,429.75 |
| | PDC/BDC | | | NABU01 | | 2 | 24 hrs a day, 7 days per week | 1488 | $751.75 |
| | CPI Server | | | NACPI01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $1,284.24 |
| | ADS/WFM Server | | | NAADS01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $43,740.59 |
| | AMC Server | | | NAAMC01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $12,252.24 |
| | EM/GSM Server | | | NAEM01 NAGSM01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $23,439.50 |
| | DES/DPS Server | | | NADES01 NADPS01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $23,799.71 |
| | PrintScan Station with Scanner | | | NAPSS01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $14,988.51 |
| | Latent Station-SW Only | | | | | 7 | 8am-5pm M-F | 1488 | $43,100.55 |
| | Multiprint Station -DB | | | NAMPSDB | | 1 | 8am-5pm M-F | 1488 | $15,242.75 |
| | Review Station | | | NARS01 | | 1 | 24 hrs a day, 7 days per week | 1488 | $9,856.61 |
| | Review Station | | | NARS02 | | 1 | 8am-5pm M-F | 1488 | $6,757.16 |
| | LSS Mugshot HW Upgrade | | | | | 2 | 8am-5pm M-F | 1488 | $347.33 |
| | LSS-R | 5th Precinct Sub Green Acers Mall | | NALV12 | XP | 1 | 8am-5pm M-F | | $5,721.57 |
| | LSS-R | Police Academy Mass Park (26) | | NALV26 | XP | 1 | 8am-5pm M-F | | REMOVE |
| | | | | | | | | *SUBTOTAL* | $444,177.62 |
| | *Less credit for period 8/21/18-6/30/19 for Node NALV26* | | | | | | | | $5,765.56 |
| | GRAND TOTAL BASED ON STANDARD 5% ANNUAL INCREASE ON EXISTING EQUIPMENT* *Exclusive of taxes if applicable | | | | | | | | $438,412.06 |

*Multiprint Station reduced fee

ACORD®

# CERTIFICATE OF LIABILITY INSURANCE

12/1/2020

DATE (MM/DD/YYYY) 7/15/2020

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Lockton Companies
444 W. 47th Street, Suite 900
Kansas City MO 64112-1906
(816) 960-9000

CONTACT NAME:
PHONE (A/C, No, Ext):
FAX (A/C, No):
E-MAIL ADDRESS:

| INSURER(S) AFFORDING COVERAGE | NAIC # |
|---|---|
| INSURER A : AXA Insurance Company | 33022 |
| INSURER B : Sentry Insurance a Mutual Company | 24988 |
| INSURER C : Sentry Casualty Company | 28460 |
| INSURER D : | |
| INSURER E : | |
| INSURER F : | |

INSURED
1347145 IDEMIA IDENTITY & SECURITY USA, LLC
296 CONCORD ROAD, THIRD FLOOR
BILLERICA MA 01821

## COVERAGES

CERTIFICATE NUMBER: 16862843

REVISION NUMBER: XXXXXXX

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | TYPE OF INSURANCE | ADDL INSD | SUBR WVD | POLICY NUMBER | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS | |
|---|---|---|---|---|---|---|---|---|
| A | X COMMERCIAL GENERAL LIABILITY<br>CLAIMS-MADE X OCCUR<br>GEN'L AGGREGATE LIMIT APPLIES PER:<br>X POLICY PRO-JECT LOC<br>OTHER: | Y | N | PCS00214819 | 12/1/2019 | 12/1/2020 | EACH OCCURRENCE | $ 1,000,000 |
| | | | | | | | DAMAGE TO RENTED PREMISES (Ea occurrence) | $ 100,000 |
| | | | | | | | MED EXP (Any one person) | $ 10,000 |
| | | | | | | | PERSONAL & ADV INJURY | $ 1,000,000 |
| | | | | | | | GENERAL AGGREGATE | $ 1,000,000 |
| | | | | | | | PRODUCTS - COMP/OP AGG | $ 1,000,000 |
| | | | | | | | | $ |
| B<br>B | AUTOMOBILE LIABILITY<br>X ANY AUTO<br>OWNED AUTOS ONLY / SCHEDULED AUTOS<br>X HIRED AUTOS ONLY / X NON-OWNED AUTOS ONLY<br>X $1,000 OTC/COLL DED | Y | N | 90-17190-02 (AOS)<br>90-17190-03 (MA) | 5/31/2020<br>5/31/2020 | 5/31/2021<br>5/31/2021 | COMBINED SINGLE LIMIT (Ea accident) | $ 1,000,000 |
| | | | | | | | BODILY INJURY (Per person) | $ XXXXXXX |
| | | | | | | | BODILY INJURY (Per accident) | $ XXXXXXX |
| | | | | | | | PROPERTY DAMAGE (Per accident) | $ XXXXXXX |
| | | | | | | | | $ XXXXXXX |
| A | X UMBRELLA LIAB X OCCUR<br>EXCESS LIAB CLAIMS-MADE<br>DED X RETENTION $ 10,000 | N | N | XS00214919 | 12/1/2019 | 12/1/2020 | EACH OCCURRENCE | $ 9,000,000 |
| | | | | | | | AGGREGATE | $ 9,000,000 |
| | | | | | | | | $ XXXXXXX |
| C<br>C | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY<br>ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N N<br>If yes, describe under DESCRIPTION OF OPERATIONS below | N / A | N | 90-17190-01 (AOS)<br>90-17190-04 (HI,WI) | 5/31/2020<br>5/31/2020 | 5/31/2021<br>5/31/2021 | X PER STATUTE X OTH-ER | |
| | | | | | | | E.L. EACH ACCIDENT | $ 1,000,000 |
| | | | | | | | E.L. DISEASE - EA EMPLOYEE | $ 1,000,000 |
| | | | | | | | E.L. DISEASE - POLICY LIMIT | $ 1,000,000 |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
Nassau County is included as additional insured on the general and automobile liability policies as required by written contract.

## CERTIFICATE HOLDER

16862843
Nassau County
Office of Purchasing
One West Street 1st Floor North Entrance
Mineola NY 11501

## CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Joseph M Agnello

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