COUNTY OF NASSAU

LOBBYIST PERIODIC REPORT FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization as it appears on the Lobbyist Registration Disclosure Form: (NOTE: Nassau Community College already registers with the State Joint Commission on Public Ethics with respect to its State and local lobbying efforts. Under the County Law, that form can be filed in place of the County Form. The names listed below are the names that appear on the form filed with the State.)

Nassau Community College, One Education Drive, Garden City, N.Y. 11530, 516-572-7811

Kenneth Saunders, (College employee, same address) 516-572-7664
Inna Reznik, (College employee, same address) 516-572-7700
Joseph Muscarella (College employee, same address) 516-572-0605
Frank (Chuck) Cutolo (College employee, same address) 516-572-7811
Carol Friedman (College employee, same address) 516-572-9786
Jorge Gardyn, 395 Stewart Avenue, Garden City, N.Y 11530, 516-551-7113
(uncompensated Board of Trustees member)

Kathy Weiss, 39 Fieldstone Lane, Oyster Bay, N.Y. 11771, 516-802-3024 (uncompensated Board of Trustees member)

2. Report Period: June 1 to August 31 (January 1 to March 31; April 1 to May 31; June 1 to August 31; or September 1 to December 31)

(Note: for sections 3 through 6 below, where a lobbyist is required to file this report, any such lobbyist that has not earned or incurred any compensation or expenses for the period shall make such a statement herein.)

3. List below amounts for any compensation paid or owed to the lobbyist during the period for the purposes of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose. (NOTE: The individuals and entity referred to in this report lobby both the State and the County. The Lobbyist Registration Form filed with the State reflects that. However, question 9 of this form refers to "lobbying activity conducted, or to be conducted, in Nassau County." I am, therefore, making the assumption that the amounts referred to in

question 3 also relate to lobbying activities conducted in Nassau County. I am making the further reasonable assumption that the College employee's lobbying activities are split evenly between the County and the State. Therefore, the amounts below for each College employee equal the portion of their salaries that can be reasonably assigned to lobbying activities, divided by 2.)

Amount	Details
\$0	Nassau Community College: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty; seeking to fill a vacancy on the College's Board of Trustees; activities related to capital projects. County Executive's Administration and County Legislature were the entities lobbied.
\$2,598	Kenneth Saunders: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty; seeking to fill a vacancy on the College's Board of Trustees. County Executive's Administration and County Legislature were the entities lobbied.
\$924	Inna Reznik: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty. County Executive's Administration and County Legislature were the entities lobbied.
\$948	Joseph Muscarella: activities relating to capita projects. County Executive's Administration was the entity lobbied.
\$2,619	Frank (Chuck) Cutolo: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty; issues

relating to the jurisdiction of the County Comptroller. County Executive's Administration, County Legislature and County Comptroller were the entities lobbied.

\$684

Carol Friedman: activities relating to capital projects. County Executive's Administration was the entity lobbied.

\$0

Jorge Gardyn: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty; seeking to fill a vacancy on the College's Board of Trustees. County Executive's Administration was the entity that was lobbied.

\$0

Kathy Weiss: engaged in no lobbying activities during this time period.

4. List below the cumulative total amounts earned to date for lobbying year.

\$0 Nassau Community College

Kenneth Saunders (\$7,361); Inna Reznik (\$2,618); Joseph Muscarella (\$2,686); Frank (Chuck) Cutolo (\$7,421); Carol Friedman (\$1,938) = \$22,024.

5. List below amounts for any expenses expended or incurred by the lobbyist during the period for the purpose of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose.

Amount

Details

\$7,773

Nassau Community College: activities related to passage of the College budget; authorization for the County to bond on behalf of the College for the purpose of paying termination pursuant to a retirement incentive for full time faculty; seeking to fill a vacancy on the College's Board of Trustees; activities related to capital projects; issues relating to the jurisdiction of the County Comptroller. Money spent in the form of salary (in the above described amounts) to Kenneth Saunders, Inna Reznik, Joseph Muscarella, Frank (Chuck Cutolo), Carol Friedman. The County Executive's Administration, the County Legislature and the County Comptroller were the entities that were lobbied.

\$0	Kenneth Saunders
\$0	Inna Reznik
\$0	Joseph Muscarella
\$0	Frank (Chuck) Cutolo
\$0	Carol Friedman
\$0	Jorge Gardyn
\$0	Kathy Weiss

6. List below the cumulative total amounts expended to date for lobbying year: \$22,024

(In lieu of completing 7 through 10 below, you may attach a copy of your Lobbyist Registration Disclosure Form, provided the information has not changed. Attached is the form that NCC filed with the County [based on what had earlier been filed with the State] as updated to account for personnel changes at the College that impact on the College's lobbying activities in the County.

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation, I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: I certify that all statements on this statement are true, correct and complete to the best of my knowledge, and I understand that the willful making of any false statement of material fact herein will subject me to the provisions of law relevant to the making and filing of false instruments and will render such statement null and void.

Dated: 09/15/2015	Signed: frah (land) latob
	Print Name: Frank (Chuck) Cutolo
	Title: General Counsel for Governmental & Media Relations
STATE OF NEW YORK)	SS:
COUNTY of NASSAU)	55.
Sworn before me this 15th	
Day of <u>September</u> , 2	0 <u>/5</u> .
anne E. Brandi NOTARY PUBLIC	ANNE E. BRANDI Notary Public, State of New York No. 01BR6104733 Qualified in Nassau County January 26, 20 /6



CHÚCK CUTOLO, J.D. General Counsel for Governmental Relations

One Education Drive Garden City, NY 11530-6793

Tel 516.572.7811 Fax 516.572.7750 cutoloc@ncc.edu www.ncc.edu

TO: New York State Joint Commission on Public Ethics

FROM: Frank (Chuck) Eutolo, General Counsel for Governmental & Media Relations

DATE: September 14, 2015

RE: Amendment to the Lobbyist Statement of Registration for 2015-2016 July and August,

2015 for Nassau Community College

Attached is an amendment to Nassau Community College's Lobbyist Statement of Registration for 2015-2016. It reflects naming of Dr. Thomas Dolan as Interim President of NCC; the reallocation between Drs. Dolan and Dr. Saunders (former Acting President and current Executive Vice President) of the share of lobbying activities between them; and the resultant impact of these changes on the estimate on the bi-annual and semi-annual reports of NCC to the Joint Commission.

Print Form

NÝ STATE LOBBYIST STATEMENT OF REGISTRATION

Marking Instructions: Please type or use blue or black ink pen.

Completely fill in one circle.

Print legible numbers and block letters, no script.

COMPLETE ALL SECTIONS

before submitting or form will be returned.

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	BYAT	Rilelal	Y MA	TIO IS
			188860	

Biennial Period: 2015-2016

Fill in circle if amendment \otimes

Type of Lobbying: igotimes Nonprocurement

O State Lobbying

O Procurement

OLocal Lobbying ⊗ Both

Registration Filing Fee Check Number: 8227

FOR OFFICE USE ON		
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II Principal Lobbyist Information

PRINCIPAL LOBBYIST NAME: Organization: Nassau Community College

Last Name:

First Name:

OBoth

Level of Gov't:

Permanent Business Address: One Education Drive

City: Garden City

State: N.Y.

ZIP code: 11530

Business Phone: 516-572-7811

Fax Number: 516-572-7750

Type of Lobbyist: O Retained \otimes Employed O Designated

Additional Lobbyists

List each individual associated with the principal lobbyist, who will be lobbying for the named principal, regardless of whether lobbying is a significant part of their duties. (DO NOT list anyone who has filed or will be filing their own registration.)

NAME: Last Dolan

First Thomas

NAME: Last Saunders

First Kenneth

NAME: Last Reznik

First Inna

⊗ Continued on attached pages

IV Client Information

Name: Nassau Community College

Permanent Business Address: One Education Drive

City: Garden City

State: N.Y.

ZIP code: 11530

Business Phone: 516-572-7750

Fax Number: 516-572-7750

Chief Administrative Officer Name: Thomas Dolan

Chief Administrative Officer Title: Interim President

If the client named has retained, employed or designated the lobbyist in section II to lobby on a third party's behalf, complete the third party's name, address and phone number below.

Name: N/A

Address: City:

State:

ZIP code:

Business Phone: Fax Number:

Contract/Authorizations

You must mail in a copy of your signed contract/authorization with this Statement of Registration. Please fill in the Start and End dates of the contract/authorization below.

Contract/Authorization Start Date (MM/DD/YYYY): 01/01/2015

Contract/Authorization End Date (MM/DD/YYYY):12/31/2016

VI Client Business Nature		
Mark the response next to the category th	nat best describes the nature of your	client's business (MARK ONE ONLY)
O Banking & Financial Services	O Communications	Education
O Environment & Natural Resources	O Health & Mental Hygiene	The contract of the contract o
OLabor		O Insurance
O Marketing & Sales	O Public Utilities	O Manufacturing
O Racing & Wagering	O Real Estate & Construction	O Public, Community Interest
O Trade Associations	O Transportation	O State & Local Government O Travel & Tourism
VII Subjects on which you expect to lob		
Issues relating to Stare/local assistance and Staffecting community colleges, generally, and Na Community College, in particular.	New York State and County Executive at SUNY; New York St	Agency, Municipality or Legislative ect to lobby: I Nassau County Legislatures; Nassau nd Comptroller; New York State Governotate Dormitory Authority; State University Nassau County Interim Finance Authority
O Continued on attached pages	O Continued on c	attached pages
IX Bill, Rule, Regulation, Rate Number or by description relative to the introduction of introduction of legislation or a resolution you lobbied: As of the time that this document was initially fill no bill numbers available for pieces of legislation College would be lobbying on. However, since subject mater of those bills has related to the St	ed, there were n that the then, the	fying Numbers of procurement uments on which you expect to lobb
⊗ Continued on attached pages	O Continued on a	ittached pages
XI Number or Subject Matter of Executive O Governor/Municipality on which you expe None		of and Tribes involved in tribal-state on which you expect to lobby:
O Continued on attached pages	O Continued on a	ttached pages
XIII Declaration		
This Declaration must be signed by the princ Administrative Officer of such organization reason, does not sign, he/she must duly des		
I declare under penalty of perjury the correct, and complete to the best o	nat the information contained	in this registration is true,
X SIGNATURE: Franklikes) les	DATE: 9/14/2015	
PRINT NAME: LAST Cutolo	FIRST Frank (Chuck)	
Mark One: O Principal Lobbyist	O Chief Administrative Officer	⊗ Designee(Attach Letter)
he following MUST be attached to	this registration at the time	
-REGISTRATION FEE: SEE INSTRUCTIONS TO CA	LCUI ATE AMOUNT OF FEE (Places	eter check number in a self-out
	It is a second of the filedse en	The check number in section I.)

- --You MUST attach a copy of your existing written lobbying contract. If you do not have a written contract, you must attach your written authorization from the client and a summary of your oral lobbying agreement. (These documents must include the dollar amount of your compensation.)
- -If applicable, a designation letter if you have marked designee in section XIII.
- --If applicable, continuation sheets for sections III, VII, VIII, IX, X, XI and XII.

PLEASE NOTE You may be assessed up to \$25 for each day this registration is late.

Designated Addendum sheet for section III.

Please use the following addendum pages as continuation for the specified sections. If additional space is needed, please make a copy of this sheet.

III Additional Lobbyists

List each individual associated with the principal lobbyist, who will be lobbying for the named principal, regardless of whether lobbying is a significant part of their duties. (DO NOT list anyone who has filed or will be filing their own registration.)

NAME: Last Muscarella

First Joseph

NAME: Last Cutolo

First Frank (Chuck)

NAME: Last Friedman

First Carol

NAME: Last Gardyn

First Jorge (uncompensated Board member)

NAME: Last Weiss

First Kathy (uncompensated Board member)

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Please use the following addendum pages as continuation for make a copy of this sheet. VII Subjects on which you expect to lobby: with respect to community colleges, in general, and Nassau Community College, in particular, and has related to bills considered by the County Legislature affecting Nassau Community College.	VIII Person, State Agency, Municipality or Legislative Body you expect to lobby:
IX Bill, Rule, Regulation, Rate Number or brief description relative to the introduction or intended introduction of legislation or a resolution on which you lobbied:	X Title and Identifying Numbers of procurement contracts/documents on which you expect to lobby
Number or Subject Matter of Executive Order of Governor/Municipality on which you expect to lobby:	XII Subject Matter of and Tribes involved in tribal-state compacts, etc. on which you expect to lobby:



DR. THOMAS P. DOLAN Interim President

One Education Drive Garden City, NY 11530-6793



www.ncc.edu

September 14, 2015

New York State Joint Commission on Public Ethics Hon. Daniel J. Horwitz, Chair 540 Broadway Albany, N.Y. 12207

Dear Chair Horwitz:

The following is identical to the document dated September 1, 2015, which was filed as an amendment to the registration packet for 2015-2016 filed on December 22, 2014, with the exception that Dr. Thomas P. Dolan has now been added as a employee lobbyist in light of his having been named as Interim President of Nassau Community College on September 10, 2015. In conjunction with that step, the salary allocation related to lobbying activities by Dr. Kenneth Saunders, who remains an employee lobbyist, has been reduced from 10% to 5%, and the salary allocation for lobbying activities by Dr. Dolan is set at 5%. As a consequence, the estimate of semi-annual and bi-monthly expenses has been adjusted accordingly.

At the conclusion of the NY STATE LOBBYIST STATEMENT OF REGISTRATION, the following statement appears, "You MUST attach a copy of your existing written lobbying contract. If you do not have a written contract, you must attach your written authorization from the client and a summary of your oral lobbying agreement. (These documents must include the dollar amount of your compensation.)" The memo from the Commission entitled "Instructions for Lobbyists," includes the following passage: "What if I do not have a written lobbying agreement? If you do not have a written lobbying agreement, as is often the case with employed lobbyists, a written authorization, signed by the Chief Administrative Officer of the client or employee, can be substituted and must be submitted. The terms and amounts of your compensation or salary must be disclosed. Authorizations which are not signed by the Chief Administrative Officer of the client will not be accepted."

In an effort to comply with these requirements, let me state the following: The employees of Nassau Community College who are listed on the Statement of Registration have within their job descriptions the responsibility of providing information to State and Local governmental units and advocating on behalf of the College on an as needed basis. However, in no case does this responsibility constitute anything close to most of their workload. I, hereby, authorize the individuals listed on our Statement of Registration and also listed in this letter to lobby on our behalf from January 1, 2015 to December 31, 2016. It is expected that the College will exceed the reporting threshold. My good faith estimate of the percentage of time, as reflected by their salaries, that these employees engage in what could be defined as lobbying activities on the State and Local level is the following. If any of the following listed individuals change or their salaries change during the course of the 2015-2016 timeframe, then amendments to this letter and the accompanying form will be filed with the Commission on a timely basis.

Dr. Thomas P. Dolan - 5% or \$11,250

Dr. Kenneth K. Saunders - 5%, or \$9,000

Dr. Joseph Muscarella - 5%, or \$8,200

Inna Reznik -5%, or \$8,000

Carol Friedman –5% or \$5,915

Frank (Chuck) Cutolo --15%, or \$22,709

Dr. Jorge Gardyn - Chair of the Board of Trustees, uncompensated position.

Dr. Kathy Weiss, Vice Chair of the Board of Trustees, uncompensated position.

These amounts will be reflected for each of these individuals for the bi-monthly reports and semi-annual reports to be filed for 2015 and 2016 using the methodology suggested by the Commission (which is also the methodology that NCC has employed to date): "the total of the combined salary could be divided by the number of bi-monthly and semi-annual reports filed with this Commission." Using this methodology, for the 2015-2016 reporting period, the bi-monthly amounts for these employees is projected to be \$10,846 and the semi-annual amounts are projected to be \$32,537. The only variance from this methodology would be to accommodate those reporting periods in which there is an extra pay period (in which case, the amount of compensation devoted toward lobbying activities will be divided by 26 and then multiplied by the appropriate number of pay periods within the reporting period), or in the event of a change in personnel or in the event of salary modifications, including retroactive payments that might have been approved earlier by the College's Board of Trustees, but which, for one reason or another, were not processed and received by an employee until a later filing period. In the event of retroactive payments, the added amounts will be reported in the filing period during which the employee actually received this extra compensation.

I have also included the appropriate documentation for six of the seven individuals listed above with respect to their having taken the online course relating the laws and rules for advocacy that the Commission requires of all registered lobbyists. In all instances but one, this document is the "Certificate of Completion." However, in one instance the individual did not print out the Certificate. In that case, I have included the notification of completion that the individual received via e-mail from the Commission. The seventh individual, Dr. Jorge Gardyn, was first listed as a registered lobbyist in June of 2013. In a conversation with Melanie Diaz of the Commission's staff, I was informed that in light of that start date, Dr. Gardyn has until December 2015 to take the online course. It is, nevertheless, his intention to take the course early in 2015.

Finally, although it files separately as a retained lobbyist, I wanted to mention again as I did in earlier letters to you that Fisher Development Strategies will be informing you that it will continue to be lobbying on the local and State levels on behalf of the College.

I hope that this information is sufficient to meet the requirements of the lobbying law.

Sincerely.

Thomas P. Dolan Interim President

I, Thomas P. Dolan (Name of Chief Administrative Officer)

Interim President
(Title)

Nassau Community College, hereby designate (Name of Organization)

Frank (Chuck) Cutolo to make and file (Name of Designee)

NY STATE LOBBYIST STATEMENT OF REGISTRATIONL; NY STATE LOBBYIST BI-MONTHLY REPORT; and NY STATE CLIENT SEMI-ANNUAL REPORT

(Name of Statement(s) and/or Report(s)s)

2015-2016 (Year or Time period)

(Signature of Chief Administrative Officer)

Accepted by:	
Fresh (Cheek) lasted	General Counsel for Governmental &
Media Relations	
(Signature and Title of Designee)	