



E-45-17

Contract Details

SERVICES: Outside counsel

NIFS ID #: CQAT17000002 NIFS Entry Date: 02/07/2017 Term: January 1, 2017 – December 31, 2018

New <input checked="" type="checkbox"/> Renewal <input type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Amendment <input type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Time Extension <input type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/> RES#	5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Agency Information

Vendor		County Department
Name	Vendor ID#	Department Contact
Bisceglie & Associates, P.C.	[REDACTED]	Jaclyn Delle
Address	Contact Person	Address
336 Whitehall Blvd S. Garden City, NY 11530	Angelo R. Bisceglie, Jr.	1 West St. Mineola, New York 11501
	Phone	Phone
	(212) 532-0002	(516) 571-3034

Routing Slip

DATE Rec'd.	DEPARTMENT	Internal Verification	DATE App'd & Fw'd.	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) <input type="checkbox"/> NIFS Appvl (Dept. Head) <input type="checkbox"/>		[Signature]	
2/10/17	OMB	NIFS Approval <input checked="" type="checkbox"/>	2/10/17	[Signature]	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Not required if blanket resolution
	County Attorney	CA RE&I Verification <input checked="" type="checkbox"/>	2/15/17	[Signature]	
	County Attorney	CA Approval as to form <input checked="" type="checkbox"/>	2/15/17	[Signature]	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	LEG	Legislative Affairs <input type="checkbox"/>			
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>	<input type="checkbox"/>			
	County Attorney	NIFS Approval <input type="checkbox"/>			
	County Comptroller	NIFS Approval <input type="checkbox"/>			
2/27/17	County Executive	Notarization <input type="checkbox"/> Filed with Clerk of the Leg. <input type="checkbox"/>	2/27/17	[Signature]	

CLERK OF THE COUNTY CLERK
NASSAU COUNTY
RECEIVED



Contract Summary

Description: New outside counsel contract.
Purpose: This is a new outside counsel contract to represent the County and/or such other party as the County may be required to defend in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified (listed in Appendix A of the agreement). Counsel has been advised not to begin services until all requisite County approvals of the contract have been obtained.
Method of Procurement: A Request for Qualification was issued and a panel established. The firm Bisceglie & Associates P.C. has been added to this panel. The firm has been determined to be qualified by the Department in the areas of law listed in Appendix A of the agreement based on the firm's experience and expertise in the subject matters.
Procurement History: See above for procurement method.
Description of General Provisions: As described above.
Impact on Funding / Price Analysis: 25,000.00
Change in Contract from Prior Procurement: N/A
Recommendation: Approve as submitted.

Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	DE502
Transaction:	

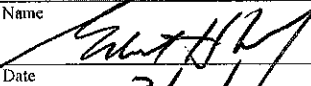
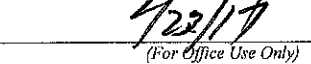
FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$25,000.00
Federal	\$
State	\$
Capital	\$
Other	\$
TOTAL	\$25,000.00

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$25,000.00
2		\$
3		\$
4		\$
5		\$
6		\$
TOTAL		\$25,000.00

RENEWAL	
% Increase	
% Decrease	

Document Prepared By: _____

Date: _____

NIFS Certification	Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.	I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name 
Name	Name	Date 
Date	Date	(For Office Use Only)
		E #:



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Bisceglie & Associates, P.C. (CQAT17000002)

2. Dollar amount requiring NIFA approval: \$ 25,000.00

Amount to be encumbered: \$ 25,000.00

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 1/1/2017-12/31/2018

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: _____

4. Funding Source:

☒ General Fund (GEN) ☐ Grant Fund (GRT) Federal % _____
☐ Capital Improvement Fund (CAP) State % _____
☐ Other County % 100

Is the cash available for the full amount of the contract? ☒ Yes ☐ No

If not, will it require a future borrowing? ☐ Yes ☐ No

Has the County Legislature approved the borrowing? ☐ Yes ☐ No ☒ N/A

Has NIFA approved the borrowing for this contract? ☐ Yes ☐ No ☒ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This is a new outside counsel contract to represent the County and/or such other party as the County may be required to defend in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified (listed in Appendix A of the agreement). Counsel is not currently providing any services on behalf of the County, but may receive an assignment from the Department upon approval of the contract.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

None

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Roseanna Della 2/14/17
Signature Title Date

Print Name

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

_____ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

_____ I certify that the bonding for this contract has been approved by NIFA.

_____ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Signature Title Date

Print Name

NIFA

Amount being approved by NIFA: _____

Signature Title Date

Print Name

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

RULES RESOLUTION NO. -- 2017

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE A PERSONAL SERVICES AGREEMENT BETWEEN THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU COUNTY ATTORNEY'S OFFICE, AND BISCEGLIE & ASSOCIATES, P.C.

WHEREAS, the County has negotiated a personal services agreement with Bisceglie & Associates, P.C. to provide legal services, a copy of which is on file with the Clerk of the Legislature; now, therefore, be it

RESOLVED, that the Rules Committee of the Nassau County Legislature authorize the County Executive to execute the said agreement with Bisceglie & Associates, P.C.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

**COMPTROLLER APPROVAL FORM FOR PERSONAL,
PROFESSIONAL OR HUMAN SERVICES CONTRACTS**

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Bisceglie & Associates, P.C. (CQAT17000002)

CONTRACTOR ADDRESS: 336 Whitehall Blvd S., Garden City NY 11530

FEDERAL TAX ID # [REDACTED]

Instructions: Please check the appropriate box ("☐") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☒ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. A Request for Qualification was issued and a panel established. The firm Bisceglie & Associates, P.C. has been added to this panel. The firm has been determined to be qualified by the Department in the areas of law listed in Appendix A of the agreement based on the firm's experience and expertise in the subject matters.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services

required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

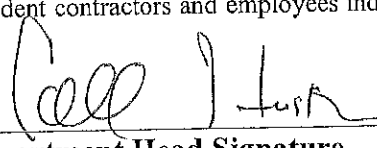
Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☒ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.


Department Head Signature


Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 03/16



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

No

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 7/22/2016

Vendor: Bisceglie & Associates, P.C.

Signed:

Print Name: Angelo R. Bisceglie, Jr.

Title: Partner

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Angelo R. Bisceglie, Jr.
Date of birth [REDACTED]
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 112 Madison Avenue, 10th Floor
City/state/zip New York, New York 10016
Telephone 212-532-0002
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President ____/____/____ Treasurer ____/____/____
Chairman of Board ____/____/____ Shareholder ____/____/____
Chief Exec. Officer ____/____/____ Secretary ____/____/____
Chief Financial Officer ____/____/____ Partner 1 / 1 / 2013
Vice President ____/____/____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO ____ If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES ____ NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES ____ NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If "Yes", provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO X If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Angelo R. Bisceglie, Jr., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 21st day of July 2016

Mark I. Silberblatt
Notary Public

MARK I. SILBERBLATT
Notary Public, State of New York
No. 80-480-343
Qualified in Westchester County
Commission Expires Nov. 30, 2018

Bisceglie & Associates, PC

Name of submitting business

Angelo R. Bisceglie, Jr.

Print name

Signature

Partner

Title

7 / 21 / 2016

Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 7/21/2016

- 1) Proposer's Legal Name: Bisceglie & Associates, P.C.
- 2) Address of Place of Business: 112 Madison Avenue, 10th Floor, New York, New York 10016

List all other business addresses used within last five years:

- 3) Mailing Address (if different): 336 Whitehall Boulevard South, Garden City, NY 11530

Phone: 212-532-0002

Does the business own or rent its facilities? Rent

- 4) Dun and Bradstreet number: _____

- 5) Federal I.D. Number: [REDACTED]

- 6) The proposer is a (check one): Sole Proprietorship Partnership
Corporation X Other (Describe) _____

- 7) Does this business share office space, staff, or equipment expenses with any other business?
Yes No X If Yes, please provide details: _____

- 8) Does this business control one or more other businesses? Yes No X If Yes, please provide details: _____

- 9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ___ No X If Yes, provide details. _____
- 10) Has the proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ___ No X If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____
- 11) Has the proposer, during the past seven years, been declared bankrupt? Yes ___ No X If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____
- 12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ___ No X If Yes, provide details for each such investigation. _____
- 14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:
- a) Any felony charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- b) Any misdemeanor charge pending? Yes ___ No X If Yes, provide details for each such charge. _____
- c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? Yes ___ No X

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No X If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or
regulatory provisions? Yes ____ No X If Yes, provide details for each such
occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated
business had any sanction imposed as a result of judicial or administrative proceedings with
respect to any professional license held? Yes ____ No X; If Yes, provide details for
each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to
pay any applicable federal, state or local taxes or other assessed charges, including but not
limited to water and sewer charges? Yes ____ No X If Yes, provide details for each
such year. Provide a detailed response to all questions checked 'YES'. If you need more
space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space,
photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no
conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has
that may create a conflict of interest or the appearance of a conflict of interest in
acting on behalf of Nassau County.

No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County
public servant that may create a conflict of interest or the appearance of a conflict
of interest in acting on behalf of Nassau County.

No conflict exists.

(iii) Any other matter that your firm believes may create a conflict of interest or
the appearance of a conflict of interest in acting on behalf of Nassau County.

No conflict exists.

b) Please describe any procedures your firm has, or would adopt, to assure the
County that a conflict of interest would not exist for your firm in the future.

Review of any matters that the firm has handled on behalf of any person or entity that has asserted,
or that has considered asserting, a claim against the County.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified. *See attached*

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; *See attached*
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner;
- iii) Name, address and position of all officers and directors of the company;
- iv) State of incorporation (if applicable); *N/A*
- v) The number of employees in the firm;
- vi) Annual revenue of firm;
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

See attached

See attached

- B. Indicate number of years in business. *See attached*

- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Laborers Local 29

Contact Person Thomas Russo

Address 43-12 Ditmars Boulevard

City/State Astoria, New York 11105

Telephone 718-278-5800

Fax # 718-278-8111

E-Mail Address dblasters29@yahoo.com

Company ECA- Environmental Constructors Association
Contact Person Joyce Nastasi
Address 5 Penn Plaza, 19th Floor
City/State New York, New York 10001
Telephone 212-682-0062
Fax # 212-849-6948
E-Mail Address JoyECA@Earthlink.net

Company Upstate New York Bakery Drivers and Industry Pension Fund
Contact Person John Bulgaro
Address 151 Northern Concourse, Suite 3
City/State Syracuse, New York 13212
Telephone 518-489-5436
Fax # 315-476-5560
E-Mail Address jbulgaro@teamsterslocal294.org

CERTIFICATION

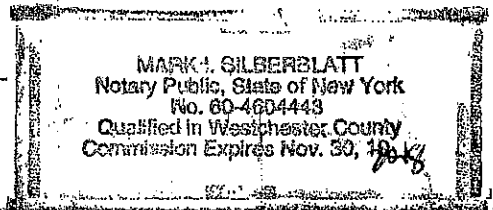
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Angelo R. Bisceglie, Jr., being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 21st day of July

2016

Mark J. Gilbert
Notary Public



Name of submitting business: Bisceglie & Associates, P.C.
By: Angelo R. Bisceglie, Jr.
Print name
Signature
Partner
Title
Date 7 / 21 / 2016

Delle, Jaclyn

From: Angelo Bisceglie <angelo@bisceglieassociates.com>
Sent: Wednesday, February 15, 2017 9:29 AM
To: Delle, Jaclyn
Cc: Suzan O'Shea
Subject: Re: FW: Nassau County outside counsel contract

Ms. Delle,

Please see the below responses to questions i), ii), iii), v) and vi) of the Business History disclosure form:

i) On or about December 2013.

ii) Angelo R. Bisceglie, Jr., Esq.
[REDACTED]
[REDACTED]

iii) Same as above.

v) Three (3)

vi) Confidential.

If you require any additional information, please advise. Thank you.

Angelo R. Bisceglie, Jr., Esq.
BISCEGLIE & ASSOCIATES, P.C.
820 Second Avenue, 11th Floor
New York, New York 10017
212-532-0002
888-688-4206 fax
angelo@bisceglieassociates.com

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INTRODUCTION TO THE LAW FIRM OF
BISCEGLIE & ASSOCIATES, PC
A Professional Corporation

BISCEGLIE & ASSOCIATES, PC

FIRM'S COMMITMENT

Bisceglie & Associates, P.C. is committed to providing effective and practical solutions to your legal problems. Whether you operate in the public or private sector, today's organization works to steer through the minefield of regulation and the adversity of competition at the lowest cost possible without compromising results. Bisceglie & Associates, PC is devoted to an overriding goal of providing effective, cost-efficient, and result oriented legal representation to our clients. We seek to understand your organization, identify "real world" options to resolve your legal dilemmas, and do so at a reasonable cost. Preventative legal counseling, combined with high quality and highly reputable negotiation and litigation skills, are hallmarks of Bisceglie & Associates' approach to client representation.

FIRM'S HISTORY

Bisceglie & Associates, P.C. was founded by Angelo R. Bisceglie, Jr. and William J. DeMarco. The firm was initially founded as a litigation boutique specializing in commercial litigation, with significant emphasis in the construction industry, labor and employment law, white collar crime, internal investigation, ERISA, PERC, PERB and NLRB issues. The firm has expanded into other areas of specialization and provides representation in the pharmaceutical, food processing, insurance defense and health care industries, in addition to maintaining its general practice.

The scope of the firm's roster of clients includes numerous governmental institutions within the States of New York and New Jersey, and multi-employer ERISA benefit funds throughout the United States and foreign corporations operating outside the United States. Included within the firm's clients are Fortune 100 and Fortune 500 companies. The firm has extensive litigation experience in State Courts in New Jersey as well as in Federal Courts throughout the Northeastern portion of the United States.

FIRM'S PRACTICE

Bisceglie & Associates, P.C. represents public and private clients in a variety of sophisticated legal matters. Many issues have proven to be of significant public interest, setting trends in the legal community. Bisceglie & Associates, P.C. earned its early reputation by representing management in construction litigation and employment matters. We defend employers in employment litigation, sexual harassment cases, wrongful termination lawsuits, workplace safety issues, sex, race, and age discrimination cases, disability and handicap discrimination cases, employee benefit disputes, collective bargaining negotiations in public and private sectors, covering national, regional, statewide and local negotiations, ERISA law, grievance administration and arbitration, union representation disputes, human resource counseling, and wage and hour disputes. We regularly provide guidance in the development of personnel policies and employment agreements, litigation avoidance, corporate downsizing, reductions in force, and alternative dispute resolution. The firm has in depth experience in white collar crime, internal investigation and a wide variety of other criminal violations, both in State and Federal Courts. Our commercial litigation practice involves our firm in a variety of complex disputes which include insurance, contract claims, commercial transactions, partnership and corporate matters.

Angelo R. Bisceglie, Jr., Esq.
Bisceglie & Associates, P.C.

CURRICULUM VITAE

Mr. Bisceglie has practiced for over twenty-seven years as an attorney specializing in labor negotiations in the public and private sectors, as well as in the construction industry, including complex litigation matters in the construction and industrial sectors, as well as employment and labor law. He has negotiated numerous collective bargaining agreements and has participated in several hundred labor arbitrations and hearings. He has litigated before the National Labor Relations Board, the Federal and State Courts of New York, New Jersey and Pennsylvania and various administrative tribunals.

While attending Seton Hall Law School, Mr. Bisceglie served as Staff Assistant and Legislative Assistant to Assemblyman Ralph A. Loveys (R) and Robert Martin (R) Morris County, New Jersey and to Congressman Dean Gallo (R) Morris County, New Jersey. Former New Jersey Governor Thomas Kean appointed him as a State Labor Mediator for the New Jersey State Board of Mediation as well as serving as Special Labor Consultant to New Jersey Commissioner of Labor Charles Serraino during the Hon. Kean administration. He also served as an Assistant Prosecutor for Essex and Morris Counties. Previously, Mr. Bisceglie served as Special Labor Consultant to Governor Thomas Kean including, Special Labor Counsel to the New Jersey Casino Control Commission, the South Jersey Transportation Authority, Environmental Contractors Association of New York, Labor and Litigation Counsel to Essex County College, Plainfield Municipal Utility Authority, Essex County Vocational School, City of Irvington, Irvington Board of Education, City of Paterson and the East Orange Board of Education. Mr. Bisceglie was a panel member of the American Arbitration Association. As a former Regional Labor Relations Manager with Bechtel Corporation, Ann Arbor, Michigan, with projects totaling approximately \$12 billion over an eleven state area, he has served as the chief spokesperson on behalf of employers in labor negotiations covering 40,000 union construction workers throughout the United States on a local, regional and national basis, and has handled day-to-day contract administration and numerous arbitrations pursuant to national and local collective bargaining agreements. Under the supervision of Bechtel President George Shultz (former U.S. Secretary of Labor and U.S. Secretary of State), he developed and negotiated with the National Building Trades Unions the first nationwide Project Labor Agreement (PLA) covering the construction of nuclear power plants. He also negotiated on behalf of the New York District Council of Carpenters, N.Y.C., Laborers Local 731, N.Y.C., Laborers Local 29, New York City, Cement and Concrete District Council, N.Y.C., and serves as Funds ("ERISA") counsel to the New York Teamsters Bakery Fund. Presently serves as counsel to the Environmental Contractors Association ("ECA"), to the New York State Teamsters Bakery Pension Fund and to the Insulators Local 12A Benefit Funds.

He also represented clients before the NLRB, PERC, PERB handled discrimination cases, employee wrongful discharge and ERISA suits in state and federal courts. Mr. Bisceglie has represented pension and welfare funds throughout the eastern section of the United States in litigations involving millions of dollars in Federal trial courts and Federal courts of appeal on a variety of issues. He previously served as counsel to the New York Independent Contractors Association ("NYICA" or "Association"), wherein he represented the Association in contract negotiations, Labor Law 220 enforcement issues and developed contract language enabling unionized contractors to compete more effectively with non-union companies.

Mr. Bisceglie was admitted to the bar in New Jersey, Pennsylvania, New York, U.S. District Court - District of New Jersey, U.S. District Court of Eastern and Southern Districts of New York, U.S. Court of Appeals - Third Circuit (New Jersey), U.S. Court of Appeals - Second Circuit (New York) and U.S. Court of Appeals for the District of Columbia. Education: Kent State University (B.A.); Attended Cornell University, Graduate School, State School of Industrial and Labor Relations; University of Illinois (M.A.) (published thesis on jurisdictional disputes in the construction industry); Seton Hall University (J.D.). National Fellow, Institute of Collective Bargaining and Group Relations, New York. Labor Mediator, New Jersey State Board of Mediation. Panel Member, American Arbitration Association, Morris County Prosecutors Office and Essex County Prosecutor's Office. Previously served as an Interim with the United States Attorney's Office, Newark, New Jersey.

PRACTICE AREAS: Complex commercial litigation with emphasis in the construction industry, labor and employment law – public and private sectors; ERISA; contract law; general litigation.

Mark I. Silberblatt, Esq.
Bisceglie & Associates, P.C.

EMPLOYMENT

Bisceglie & Associates, PC New York, NY	Counsel, 2013 to present
Bisceglie & DeMarco, LLC West Paterson, NJ	Counsel, 2006 to 2013
Epstein Becker & Green Newark, NY	Associate, 2005 to 2006
Bisceglie & Friedman Newark, NY	Counsel, 2005
Novack Burnbaum & Crystal New York, NY	Counsel, 2000 to 2005
Lynch Rowin Novack Bunbaum & Crystal New York, NY	Counsel, 1989 to 1999
Fischbein Badillo Wagner New York, NY	Counsel, 1989 to 1990
Dunnington Bartholow & Miller New York, NY	Partner, 1983 to 1989
Hale Russell & Gray New York, NY	Associate, 1977 to 1983
Fried Frank Harris Shriver & Jacobson New York, NY	Associate, 1973 to 1977
Law Clerk to Hon. John F. Dooling, Jr., United States District Judge for the Eastern District of New York	1972 to 1973

EDUCATION

Harvard Law School	1972 (J.D.)
Harvard College	1969 (A.B., magna cum laude)

EXPERIENCE

All aspects of civil litigation, with emphasis in labor related matters on behalf of employees, employers, unions and benefit plans (including arbitrations, arbitration related matters and ERISA), including pretrial proceedings (discovery and motion practice) and appellate work (motions, briefs and oral argument) in Federal and state courts. In addition to labor related matters, cases handled include bankruptcy, complex commercial and contract disputes, construction claims, real estate and related matters, and discrimination, civil rights and personal injury claims.

Adjunct Instructor, New York Law School	1981 to 1982
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ADMISSIONS

New York State; United States Court of Appeal for the Second Circuit; United States District Court for the Southern, Eastern and Northern Districts of New York; United States Tax Court; pro hac vice admissions to the United States Court of Appeal for the Third Circuit and the United States District Court for the District of New Jersey.

**PUBLISHED DECISIONS ON CASES HANDLED BY
ATTORNEYS OF BISCEGLIE & ASSOCIATES, PC**

Published Decisions - Angelo R. Bisceglie, Jr., Esq.

Aldo Colella v. The Port Authority of New York and New Jersey, 2012 NY Slip Op 32222, SUPREME COURT OF THE STATE OF NEW YORK PART 59 (August 21, 2012)

OVERVIEW: In a personal injury/negligence action growing out of a construction accident at the Freedom Tower, where plaintiff was seriously injured while operating a jackhammer that was not “tied in” to prevent its falling on plaintiff’s foot, court grants plaintiff’s cross-motion for summary judgment as to liability with respect to Labor Law § 240 (1) (“scaffold law”).

Carollo v. Cement & Concrete Workers District Council Pension Plan, 96 CV. 3152, UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF NEW YORK (March 6, 1998)

OVERVIEW: Because employee benefit plan's variable rate of accrual was conditioned on a temporary break in service, it violated ERISA, and employee who had had break in service was entitled to summary judgment in action against plan and board of trustees.

Drywall Tapers & Pointers, Local 1974 of I.B.P.A.T. v. Local 530 of the Operative Plasterers' & Cement Masons' International Association, 93-CV-0154 (JG), 98-CV-7076 (JG), UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF NEW YORK (November 19, 2002)

OVERVIEW: Skimcoaters' union was in contempt of an injunction that prohibited it from taking jurisdiction over worksites unless the sites' owners or agents required skimcoating the entire drywall surface to eliminate shadowing and color or sheen variations.

Gallo v. Madera, Docket No. 97-7815, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 136 F.3d 326 (February 10, 1998)

OVERVIEW: Efforts of officials overseeing employees' retirement fund under ERISA to import requirement of no break in service to provision governing employee's benefits and thus to deny enhanced benefits was impermissible, even under deferential review.

Howard v. Bd. Of Education, Docket No. 03-1969, UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT , 90 Fed. Appx. 571 (December 29, 2003)

OVERVIEW: In a former superintendent's § 1983 retaliatory termination action, summary judgment in favor of a school board, a board member, and the mayor was remanded to determine if the district court considered the superintendent's evidence of retaliation.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners, 97 Civ. 5538 (CSH) (October 9, 1997)

OVERVIEW: A motion for injunction restraining a restructuring plan which merged several local unions of an international union of carpenters was denied because the locals failed to prove that the international union was acting with discriminatory intent.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners, 97 Civ. 5538 (CSH), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (December 23, 1997)

OVERVIEW: Because the local unions could not have proved by clear and convincing evidence that the union's placing the local unions under supervision violated clear and unambiguous terms of the court's previous oral order, no contempt order was issued.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners, 97 Civ. 5538 CSH), 98 Civ. 1778 (CSH) UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (September 30, 1998)

OVERVIEW: Motion to consolidate two actions was granted. The most cursory examination of the two complaints revealed an abundance of common questions of law or fact.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners, 97 Civ. 5538 (CSH), 98 Civ. 1778 (CSH) UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (February 5, 1999)

OVERVIEW: Plaintiffs were not entitled to have their motion for partial summary judgment granted because to terminate the trusteeship of the district council would have been hazardous because of an absence of leadership.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners of America, 97 Civ. 5538 (CSH), 98 Civ. 1778 (CSH), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (August 3, 2001)

OVERVIEW: Plaintiffs' motion to further amend their complaint was granted; contrary to defendants' broad contentions, it was apparent that the doctrines of res judicata and collateral estoppel had scant application to the claims plaintiffs wished to assert.

Local Unions 20 v. United Brotherhood of Carpenters & Joiners of America, 97 Civ. 5538 (CSH), 98 Civ. 1778 (CSH), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK, 223 F. Supp. 2d 491 (August 15, 2002)

OVERVIEW: Court had no subject matter jurisdiction on private discrimination claims under a labor law since union members did not allege they were singled out for discriminatory treatment or had been denied rights or privileges extended to other members.

Local 530 v. District Council No. 9, 99 Civ. 2703 (JSR), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (November 5, 1999)

OVERVIEW: Arbitrator looked to relevant precedent and sought to interpret it in accordance with familiar principles. Petitioners' allegations did not amount to evident partiality by the arbitrator.

Meagher ex rel. Pension Plan of the Cement & Concrete Workers District Council Pension Fund v. Board of Trustees of the Pension Plan of the Cement and Concrete Workers District Pension Fund, 94 Civ. 0426 (MJL), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK, 921 F. Supp. 161 (April 30, 1995)

OVERVIEW: A suit brought by an employee on behalf of a pension fund and against the fund's trustees, was barred by res judicata where, in a prior action, the employee had sued the fund on his own behalf, because there was an identity of parties and issues.

Meagher ex rel. Pension Plan of the Cement & Concrete Workers Dist. Council Pension Fund v. Board of Trustees of the Pension Plan of the Cement & Concrete Workers Dist. Council Pension Plan, Docket No. 95-7611, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 79 F.3d 256 (March 14, 1996)

Operative Plasterers v. Superior Wall Sys., No. 02 Civ. 1277 (LTS) (DCF), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (August 26, 2002)

Operative Plasterers & Cement Masons Int'l Local 530 Welfare and Ins. Fund v. J & El Assocs., 01 Civ. 6380 (RLC)(KNF), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (June 30, 2003)

OVERVIEW: As plaintiffs' counsel's affidavit did not identify attorneys or indicate date on which any attorney rendered legal services to plaintiffs or the hours expended by any attorney, the magistrate recommended that award for attorney's fees be denied.

Phil Neto Construction Co. v. Local Union 964, United Brotherhood of Carpenters & Joiners, 94 Civ. 8700 (LAK), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (March 10, 1995)

OVERVIEW: An employer was able to have an arbitration award against and in favor of a union for compensation issues because the arbitration and the award extended beyond the issues that were stated in the contractual notice to arbitrate.

Russo v. Ryerson, Civil Action No. 01-CV-4458 (JLL), UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY (February 27, 2006)

Trs of Bricklayers & Allied Craftworkers, Local 5 N.Y. Retirement, Welfare, Apprenticeship Training & Journeymen Upgrading & Labor-Management Funds v. Plaster Master, Inc., 99 Civ. 5194 (BDP), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (January 9, 2001)

W. Mohegan Tribe & Nation v. Orange County, Docket No. 04-0449-CV, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 395 F.3d 18 (December 23, 2004)

OVERVIEW: Tribe's action against State of New York was barred, where it sought declaration that State's exercise of fee title remained subject to the tribe's rights, i.e., determination that lands in question were not within regulatory jurisdiction of State.

Zarzana v. United Brotherhood & Joiners of America, 98 Civ. 4364 (TPG), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (December 15, 2003)

OVERVIEW: Summary judgment was granted in a union office holder's claims that his free speech rights were violated because the statutory free speech protections were generally meant to protect rank-and-file union members and not union officers.

Published Decisions - Mark I. Silberblatt, Esq.

AXA Marine & Aviation Ins. (UK) v. Seajet Indus., Docket No. 95-7821, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 84 F.3d 622 (1996)

OVERVIEW: The court affirmed the district court's judgment declaring that appellee insurers were not required to defend or indemnify appellant insureds because the court properly determined that appellants' notice was untimely.

Cohen v. Varig Airlines (S.A. Empresa de Viacao Aerea Rio Grandense), [NO NUMBER IN ORIGINAL], Supreme Court of New York, Appellate Division, First Department, 62 A.D.2d 324 (1978)

OVERVIEW: An airline's willful misconduct justified not limiting passengers' damages for lost luggage under the Warsaw Convention, but it did not warrant awarding damages for mental suffering.

Conrad R. Sump & Co. v. Home Ins. Co., 1998-08875, SUPREME COURT OF NEW YORK, APPELLATE DIVISION, SECOND DEPARTMENT, 267 A.D.2d 415 (1999)

OVERVIEW: Insurer did not meet its burden of demonstrating allegations against insured were wholly within exclusions and no factual or legal basis existed upon which it could have been held obligated to indemnify insured under policy

Ferdman v. Consulate Gen. of Isr., No. 98 C 1555, UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION, 1999 U.S. Dist. LEXIS 1775 (1999)

OVERVIEW: While a lack of subject matter jurisdiction was fatal to any proceeding, a lack of personal jurisdiction was not and could have been waived by a failure to assert the defense, express submission, or conduct.

Howard Berger Co. v. Ye, 1999-07290, SUPREME COURT OF NEW YORK, APPELLATE DIVISION, SECOND DEPARTMENT, 272 A.D.2d 445; 708 N.Y.S.2d 310 (2000)

OVERVIEW: Summary judgment for defendants in action to enjoin them from using trade secrets reversed because triable issues existed as to whether plaintiff's relationship with suppliers in China was such that lists at issue constituted trade secrets.

International Soc. for Krishna Consciousness, Inc. v. Air Canada, No. 83-7415, No. 003 -- August Term, 1983, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 727 F.2d 253 (1984)

OVERVIEW: Interlocutory appeal of dismissal of appellant domestic and international airlines' motion to dismiss appellees' section 1983 action was improper; unresolved fact issues prevented review of state action claim.

J&D Evans Construction Corp. v. Vincenzo Iannucci, et. al., 84 A.D.3d 1171, NEW YORK COURT OF APPEALS, SECOND DEPARTMENT (May 24, 2011)

OVERVIEW: Appellate Division affirms decision of Supreme Court- Suffolk County, and holds that homeowners had stated legally sufficient claims against home improvement contractor and its principal for fraud and injurious falsehood based on misrepresentation with respect to insurance coverage and the filing of an inaccurate notice of mechanic's lien.

Katzman v. Citibank, Docket No. 07-3718, UNITED STATES COURT OF APPEALS, SECOND CIRCUIT (November 4, 2008)

OVERVIEW: United States Court of Appeals for the Second Circuit reverses decision of United States District Court for the Northern District of New York, and holds that summary judgment should not have been granted to defendant bank based on plaintiff's failure for twenty years to seek payment with respect to a certificate of deposit purchased by her deceased husband in 1981.

Kimmell v. Schaefer, No. 233, COURT OF APPEALS OF NEW YORK, 89 N.Y.2d 257 (1996)

OVERVIEW: The relationship between the investors and a lawyer was sufficient to render the lawyer liable to the investors for the tort of negligent misrepresentation because a special relationship existed that required the lawyer to speak with care.

Marotta v. Palm Management Corporation, Docket No. 05-cv-10688, UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK (S.D.N.Y. February 25, 2009)

OVERVIEW: United States District Judge adopts report and recommendation of United States Magistrate Judge with respect to motion by defendant restaurant to dismiss plaintiff's premises liability and Dram Shop Act claims for injuries resulting from a New Year's Eve assault on plaintiff at defendant's Palm One restaurant.

Millard v. Millard, [NO NUMBER IN ORIGINAL], Supreme Court of New York, Special Term, New York County, 87 Misc. 2d 477 (1975)

OVERVIEW: The wife was granted leave to amend her complaint to allege that a support provision in a separation agreement was invalid, where, by proper use of the Internal Revenue Code, the husband was able to manipulate his income to avoid paying support.

Old Republic Ins. Co. v. Hansa World Cargo Serv., Inc., 92 Civ. 0119 (DNE), UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK, 51 F. Supp. 2d 457 (1999)

OVERVIEW: The court granted broker and steel companies' motion for reconsideration in part and dismissed insurer's claims for breach of fiduciary duty and intentional misrepresentation, but upheld claims for reimbursement, indemnification, and fraud.

Reprosystem, B.V. v. SCM Corp., Nos. 83-7011, 83-7067, No. 1228 -- August Term 1982, UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT, 727 F.2d 257 (1984)

OVERVIEW: Where corporation selling subsidiaries entered into informal agreements with purchasers, the corporate seller did not intend to be bound prior to execution of a formal, written contract and was free to withdraw its offer to sell the subsidiaries.

Torres v. Monumental Life Ins. Co., Case No. 97-CV-822 (FB), UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF NEW YORK, 1998 U.S. Dist. LEXIS 12145 (1998)

OVERVIEW: The return of life insurance premiums upon the insured's apparent suicide served as adequate consideration for the release of the beneficiary's claims under the policy.

W. 41st St. Realty Llc v. N.Y. State Urban Dev. Corp., 938, M-7263, 939, M-7234, 940, M-7235, 941, M-7285, SUPREME COURT OF NEW YORK, APPELLATE DIVISION, FIRST DEPARTMENT, 298 A.D.2d 1 (2003)

OVERVIEW: The finding of an urban development corporation was affirmed because, in seizing private property, a public purpose was dominant, even though a private entity benefited substantially from its involvement in the city redevelopment project.

Zaffarese v. Iona College, 63 A.D. 3d 727, APPELLATE DIVISION OF THE SUPREME COURT OF NEW YORK, SECOND DEPARTMENT.

OVERVIEW: Appellate Division reverses decision by Supreme Court- Westchester County, and holds that plaintiff student athlete who contended that he had contracted MRSA as a result of exposure to MRSA bacteria in defendant college's athletics facilities, had stated a legally sufficient claim for premises liability.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Bisceglie & Associates, P.C.

Address: 112 Madison Avenue, 10th Floor

City, State and Zip Code: New York, New York 10016

2. Entity's Vendor Identification Number: [REDACTED]

3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☐ Closely Held Corp ☒ Professional Corp. ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Angelo R. Bisceglie, Jr., Partner

[REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Angelo R. Bisceglie, Jr., Partner

[REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

None

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

None

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

None

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

None

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 7/22/2016

Signed:

Print Name: Angelo R. Bisceglie, Jr.

Title: Partner

The term **lobbying** shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

CONTRACT FOR SERVICES

THIS AGREEMENT, (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement"), dated as of the date (the "Effective Date") that this Agreement is executed by Nassau County, is entered into by and between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Bisceglie & Associates, P.C., with an office located at 112 Madison Avenue, 10th Floor, New York, New York 10016 ("Counsel" or "Contractor").

WITNESSETH:

WHEREAS, the County desires to hire Counsel to perform the services described in this Agreement; and

WHEREAS, Counsel is eminently qualified and ready to provide the necessary services; and

WHEREAS, this is a personal service contract within the intent and purview of Section 2206 of the County Charter.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. This Agreement shall commence on January 1, 2017 and shall terminate on December 31, 2018 unless sooner terminated in accordance with the provisions of this Agreement, provided that the County may renew this Agreement under the same terms and conditions for three (3) additional one (1) year periods.

2. Services. The services to be provided by Counsel under this Agreement shall consist of representing Nassau County, and/or such other party as the County may be required to defend, in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified ("Services"). The areas of law in which the Department has determined Counsel to be qualified to represent the County are described in the attached Appendix A. Services shall include, but not be limited to: motion practice; pre-trial discovery; trial; appeals; transactional related issues; and such other Services as may be required to fully represent the County. When providing such Services, Counsel must comply with Nassau County's Litigation Management Guidelines ("Guidelines") provided under separate cover and incorporated by reference herein. Except as otherwise provided in this Agreement, such Services shall conclude no earlier than entry of a verdict or a settlement or of a court-order terminating the litigation.

3. Payment. (a) Amount of Consideration. (1) The amount to be paid to Counsel as full consideration for Counsel's Services for at least during the initial stages of representation under this Agreement, including disbursements, shall not exceed the sum of Twenty-five Thousand Dollars (\$25,000.00) ("Maximum Amount"). Compensation for professional services shall be paid at an hourly rate according to the following fee schedule:

(i) Angelo R. Bisceglie, Jr.: \$250.00

(ii) Mark I. Silberblatt: \$250.00

(2) Any appearances before the County Legislature, or any committee thereof for the purpose of the approval of this Agreement or any amendment thereto, are to be construed as part of

the fee negotiation and approval process and Counsel agrees that no fee will be charged for any such appearances.

(b) Vouchers; Voucher Review, Approval and Audit. Payment shall be made to Counsel in arrears and shall be contingent upon (i) Counsel submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) is accompanied by a contemporaneous record of hours billed stating the person(s) performing the Services and indicating with reasonable specificity, the Services provided and the payment requested in consideration for such Services, or contains a detailed, itemized list of allowable expenses; (b) certifies that the Services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by documentation satisfactory to the County supporting the amount claimed, and upon (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. Counsel shall submit its claim no later than three (3) months following the County's receipt of the services that are the subject of the claim, and no more frequently than once a month.

(d) Expenses and Disbursement. Counsel shall be compensated within the Maximum Amount for all reasonable expenses and disbursements actually incurred, including but not limited to out-of-pocket disbursements for investigators, trial preparation services, court reporting services, interpreters, and other legitimate expenses in accordance with the Guidelines. Counsel shall obtain prior written approval from the County Attorney or his designee for all non-routine expenses and disbursements as specified in the Guidelines.

(e) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between Counsel and any funding source including the County.

(f) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to Counsel following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after Counsel received notice that the County did not desire to receive such services.

4. Independent Contractor. Counsel is an independent contractor of the County. Counsel shall not, nor shall any officer, director, employee, servant, agent or independent contractor of Counsel (a "Counsel Agent"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "Person" means any individual person, entity (including partnerships, corporations and limited liability companies), and government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

5. No Arrears or Default. Counsel is not in arrears to the County upon any debt or contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

6. Compliance with Law. (a) Generally. Counsel shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, disclosure of information and vendor registration in connection with its

performance under this Agreement. In furtherance of the foregoing, Counsel is bound by and shall comply with the terms of Appendix EE attached hereto and with the County's registration protocol. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) Nassau County Living Wage Law. Pursuant to LL 1-2006, as amended, and to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, Counsel agrees as follows:

- (i) Counsel shall comply with the applicable requirements of the Living Wage Law, as amended;
- (ii) Failure to comply with the Living Wage Law, as amended, may constitute a material breach of this Agreement, the occurrence of which shall be determined solely by the County. Counsel has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.
- (iii) It shall be a continuing obligation of Counsel to inform the County of any material changes in the content of its certification of compliance, attached to this Agreement as Appendix L, and shall provide to the County any information necessary to maintain the certification's accuracy.

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. Counsel acknowledges that Counsel Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify Counsel of such request prior to disclosure of the Information so that the Counsel may take such action as it deems appropriate.

7. Ownership of Records. All County Information provided to Counsel by the County shall remain the property of the County. All reports, documents or information created by Counsel on behalf of the County shall be deemed the property of the County. Upon the County's request, completion of Services, or termination of this Agreement, all such County Information, reports, documents or information shall be returned to the County.

8. Service Standards. Regardless of whether required by Law: (a) Counsel shall, and shall cause Counsel Agents to, conduct his or her activities in connection with this Agreement so as not to endanger or harm any Person or property.

(b) Counsel shall deliver Services under this Agreement in a professional manner consistent with the best practices of the legal profession. Counsel shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and

maintaining, and causing all Counsel Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. No Conflict Representation. During the term of this Agreement, Counsel shall not represent any party whose interest is or may be adverse to or in conflict with, or whose interest may appear to be adverse to or in conflict with the County, nor shall it commence any action or proceeding, or act as Counsel in any action or proceeding that is adverse to the County or any County officer or employee, without the County's prior written consent.

10. Indemnification; Defense; Cooperation. (a) Counsel shall indemnify, defend and hold harmless the County, the Department and its officers, employees, and agents (the "Indemnified Parties") from and against any and all liabilities arising out of or in connection with performance under this Agreement by Counsel or a Counsel Agent, provided, however, that the Counsel shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) Counsel shall, upon the County's demand and at the County's direction, promptly and diligently defend, at Counsel's own risk and expense, any and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which Counsel is responsible under this Section, and, further to Counsel's indemnification obligations, Counsel shall pay and satisfy any judgment, decree, loss or settlement in connection therewith.

(c) Counsel shall, and shall cause Counsel Agents to, cooperate with the County and the Department in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement, including the acts or omissions of Counsel and/or a Counsel Agent in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

11. Insurance. (a) Types and Amounts. Counsel shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name "Nassau County" as an additional insured and have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per occurrence and Two Million Dollars (\$2,000,000.00) aggregate coverage, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per claim (iii) compensation insurance for the benefit of the Counsel's employees ("Workers' Compensation Insurance"), which insurance is in compliance with the New York State Workers' Compensation Law, and (iv) such additional insurance as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by Counsel pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed to do business in New York State and acceptable to the County, and which is (ii) in form and substance acceptable to the County. Counsel shall be solely responsible for the payment of all deductibles to which such policies are subject. Counsel shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to be carried by Counsel under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the Department. Not less than thirty (30) days prior to the date

of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, Counsel shall provide written notice to the Department of the same and deliver to the Department renewal or replacement certificates of insurance. Counsel shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take or omit to take any action that would suspend or invalidate any of the required coverages. The failure of Counsel to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of Counsel to maintain the other required coverages shall be deemed a material breach of this Agreement upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

12. Assignment; Amendment; Waiver; Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

13. Termination. (a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to Counsel, (ii) for "Cause" by the County immediately upon the receipt by Counsel of written notice of termination, (iii) upon mutual written Agreement of the County and the Counsel, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By Counsel. This Agreement may be terminated by Counsel if performance becomes impracticable through no fault of the Counsel, where the impracticability relates to Counsel's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by Counsel delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that Counsel is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Counsel's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Counsel Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Counsel shall, regardless of the reason for termination, take all actions reasonably requested by the County (including those set forth in other provisions of this Agreement) to assist the County in transitioning Counsel's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

14. Accounting Procedures; Records. Counsel shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance under this Agreement. Records shall be maintained

in accordance with Generally Accepted Accounting Principles or such other standard accounting principles that are acceptable within the industry and, if Counsel is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the Comptroller, the Department, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

15. Limitations on Actions and Special Proceedings against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief, Counsel shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. Counsel shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and the (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of Counsel shall allege that the above-described actions and inactions preceded Counsel's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

16. Work Performance Liability. The Counsel is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Counsel is using a Counsel Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Counsel Agent has been approved by the County.

17. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

18. Notices. Any notice, request, demand or other communication required to be given or made in connection with this Agreement shall be (a) in writing, (b) delivered or sent (i) by hand delivery, evidenced by a signed, dated receipt, (ii) postage prepaid via certified mail, return receipt requested, or (iii) overnight delivery via a nationally recognized courier service, (c) deemed given or made on the date the delivery receipt was signed by a County employee, three (3) business days after it is mailed or one (1) business day after it is released to a courier service, as applicable, and (d)(i) if to the Department, to the attention of the Commissioner at the address specified above for the Department, (ii) if to an Applicable DCE, to the attention of the Applicable DCE (whose name Counsel shall obtain from the Department) at the address specified above for the County, (iii) if to the Comptroller, to the attention of the Comptroller at 240 Old Country Road, Mineola, NY 11501, and (iv) if to Counsel, to the attention of the person who executed this Agreement on behalf of Counsel at

the address specified above for Counsel, or in each case to such other persons or addresses as shall be designated by written notice.

19. All Legal Provisions Deemed Included; Severability; Supremacy. (a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) Unless the application of this subsection will cause a provision required by Law to be excluded from this Agreement, in the event of an actual conflict between the terms and conditions set forth above the signature page to this Agreement and those contained in any schedule, exhibit, appendix, or attachment to this Agreement, the terms and conditions set forth above the signature page shall control. To the extent possible, all the terms of this Agreement should be read together as not conflicting.

(d) Each party has cooperated in the negotiation and preparation of this Agreement. Therefore, in the event that construction of this Agreement occurs, it shall not be construed against either party as drafter.

20. Section and Other Headings. The section and other headings contained in this Agreement are for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

21. Administrative Service Charge. Counsel agrees to pay the County an administrative service charge of One Hundred Sixty Dollars (\$160.00) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 128-2006. The administrative service charge shall be due and payable to the County by Counsel upon signing this Agreement.

22. Executory Clause. Notwithstanding any other provision of this Agreement:

(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all requisite County and other governmental approvals have been obtained, including, if required, approval by the County Legislature and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the state and/or federal governments, then beyond funds available to the County from the state and/or federal governments.

23. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

IN WITNESS WHEREOF, Counsel and the County have executed this Agreement as of the Effective Date.

BISCEGLIE & ASSOCIATES, P.C.

By: _____

Name: _____

Title: _____

Date: _____

Angelo R. Bisceglie, Jr.

Owner

2/3/17

NASSAU COUNTY

By: _____

Name: _____

Title: County Executive

☐ Deputy County Executive

Date: _____

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)
)ss.:
COUNTY OF NASSAU)

On the 3rd day of February in the year 2017 before me personally came Angelo R. Biscaglia Jr. to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of Nassau; that he or she is the owner of Biscaglia + Associates, PC., the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.

NOTARY PUBLIC

PETER IANNACE
Notary Public, State of New York
No. 021A6039924
Qualified in Westchester County
Commission Expires April 10, 2018

STATE OF NEW YORK)
COUNTY OF NASSAU)ss.:

On the _____ day of _____ in the year 20__ before me personally came _____ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of _____; that he or she is a **County Executive of the County of Nassau**, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

Appendix A

Cases and/or matters may be assigned by the County Attorney, or their designee, to Counsel throughout the term of this Agreement in the following areas of law in which Counsel has been determined to be qualified by the Department:

1. Appellate
2. Bankruptcy Law
3. Casualty
4. Class Action
5. Commercial Litigation
6. Construction Litigation
7. Discrimination Disciplinary Hearings (Education Law 3020a/Civil Service 75)
8. Election Law
9. Employment and Labor Law
10. Environmental
11. Family and Matrimonial Law
12. Federal Civil Rights Section 1983
13. Health Law
14. Insurance
15. Land Use
16. Mediation
17. Medical Malpractice
18. Municipal Law
19. Real Property
20. Secured Lending
21. Tax Certiorari/SCAR
22. Transactions
23. Torts
24. Zoning

The Department may qualify Counsel in additional areas of law.

Appendix EE

Equal Employment Opportunities for Minorities and Women

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

- (a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.
- (b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.
- (e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.
- (f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

- (g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.
- (h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may require the Contractor to submit such documentation at any time after Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.
- (i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.
- (j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.
- (k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.
- (l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:
 - a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law

No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.

- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a

manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term “Best Efforts Checklist” shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term “County Contract” shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term “County Contract” does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term “County Contractor” means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term “County Contractor” shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE “Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises” shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor’s affidavit with a notary’s signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining

the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation

- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
- d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
- e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.
- f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation
- g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
- h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation
- i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.

As used in this Appendix EE the term "Executive Director" shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term "Subcontract" shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term "Subcontractor" shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction

services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

Appendix L

Certificate of Compliance

In compliance with Local Law 1-2006, as amended (the "Law"), Counsel hereby certifies the following:

1. The chief executive officer of Contractor is:

..... Angelo R. Bisceglie, Jr. (Name)
112 Madison Avenue, 10th Fl, NY, NY 10016 (Address)
212-532-0002 (Telephone Number)

2. The Contractor agrees to either (1) comply with the requirements of the Nassau County Living Wage Law or (2) as applicable, obtain a waiver of the requirements of the Law pursuant to section 9 of the Law. In the event that the Contractor does not comply with the requirements of the Law or obtain a waiver of the requirements of the Law, and such Contractor establishes to the satisfaction of the Department that at the time of execution of this Agreement, it had a reasonable certainty that it would receive such waiver based on the Law and Rules pertaining to waivers, the County will agree to terminate the contract without imposing costs or seeking damages against the Contractor
3. In the past five years, Contractor _____ has _____ has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed against the Contractor, describe below:

4. In the past five years, an administrative proceeding, investigation, or government body-

initiated judicial action _____ has _____ has not been commenced against or relating to the Contractor in connection with federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If such a proceeding, action, or investigation has been commenced, describe below:

5. Contractor agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

Dated

7/25/16

Signature of Chief Executive Officer

Name of Chief Executive Officer

Angelo R. Bisceglie

Sworn to before me this

22nd day of July, 2016.

Notary Public

MARK I. SILBERBLATT
Notary Public, State of New York
No. 60-4604443
Qualified in Westchester County
Commission Expires Nov. 30, 2018