


Nassau County

Department of Public Works

Staff Summary

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|---|
| Subject: Pump Station Repair and Mitigation Contract No. S3P311-10G |
| Department of Public Works |
| Commissioner Shila Shah Gavnaudias |
| Department Head Signature  |
| Project Manager Name: Thomas A. Immerso Phone Number: 571-7508 |

| |
|--|
| Date: June 13, 2016 |
| Vendor Name: Philip Ross Industries, Inc. 200 Long Island Ave., Wyandanch, NY 11798 |
| Contract Number S3P311-10G |
| Personal Services _____ Blanket Res _____ Calendar _____ |
| Bid <input checked="" type="checkbox"/> Rules Comm. _____ |
| Contract Manager Name: Phil Carlucci, President Phone Number: (631) 253-3077 |

| Proposed Legislative Action | | | | | |
|-----------------------------|---------------|------|----------|------|-------|
| | To | Date | Approval | Info | Other |
| | Assgn Comm | | | | |
| | Rules Comm | | | | |
| | Full Leg | | | | |

| Internal Approvals | | | |
|--------------------|-------------|--------------|-----------------|
| Date& Init. | Approval | Date & Init. | Approval |
| 8/17 | Dept. Head | | Counsel to C.E. |
| 8/25/16 | Budget | 8/29/16 | County Atty. |
| 9/2/16 | Deputy C.E. | | County Exec. |

| Narrative |
|--|
| <p>1. The first part of the narrative describes the initial phase of the project, which involved a thorough review of the existing literature and the identification of the research gaps.</p> <p>2. The second part of the narrative focuses on the methodology used in the study, including the selection of participants, the data collection procedures, and the analysis techniques.</p> <p>3. The third part of the narrative presents the results of the study, which show a significant positive correlation between the variables under investigation.</p> <p>4. The final part of the narrative discusses the implications of the findings and provides recommendations for future research.</p> |

Purpose: This contract consists of the repair/replacement of equipment and mitigation of two (2) wastewater pumping stations (Sage Lake and Lawrence) damaged by Superstorm Sandy.

Procurement History: The contract was publicly bid on May 17, 2016 and it is recommended that award be made to the lowest responsible bidder, Philip Ross Industries, Inc., who submitted a successful low bid of \$4,900,000.

Discussion: This construction contract provides for furnishing all labor, materials & equipment required for construction-related services. The contract is FEMA-eligible and includes repair/rehabilitation, code compliance & flood mitigation measures to 'harden' the stations against future storm surges, as occurred during Superstorm Sandy. It is envisioned to have a construction duration of twenty (20) months.

Impact on Funding: The bid for this Contract of \$4,900,000 is below the engineer's estimate and funding is available under Capital Project No. CSW 3P311. It is expected that this contract will be eligible for reimbursement by FEMA under the Robert T. Stafford Disaster Relief & Emergency Assistance Act.

Recommendation: It is recommended that this contract be approved as submitted.

JLD:TAl:rp

2016 OCT -3 P 10:09
RECEIVED
CLERK OF THE SUPERIOR COURT
NASSAQUINN COUNTY
Lawrence PS & Sage Lake



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Philip Ross Industries, Inc.

2. Dollar amount requiring NIFA approval: \$ 4,900,000.00

Amount to be encumbered: \$ 4,900,000.00

1/15 8/15

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: Twenty (20) months

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: _____

4. Funding Source:

☐ General Fund (GEN)
☒ Capital Improvement Fund (CAP)
☐ Other

☐ Grant Fund (GRT)

Federal % 100%
State % _____
County % _____

FEMA

Is the cash available for the full amount of the contract?

☒ Yes ☐ No

If not, will it require a future borrowing?

☐ Yes ☒ No

Has the County Legislature approved the borrowing?

☒ Yes ☐ No ☐ N/A

Has NIFA approved the borrowing for this contract?

☐ Yes ☐ No ☒ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

This construction contract provides for repair/rehabilitation, code compliance & flood mitigation measures needed to harden the Sage Lake & Lawrence sewage pumping stations located in Lawrence. Both were heavily damaged by Superstorm Sandy. The work is FEMA-eligible and will protect the facilities against future storm surges. A construction duration of twenty (20) months is envisioned.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Exceeds \$50K.

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Signature

Title

Date

Print Name

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

☐ I certify that the bonding for this contract has been approved by NIFA.

☐ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Signature

Title

Date

Print Name

NIFA

Amount being approved by NIFA: _____

Signature

Title

Date

Print Name

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Philip Ross Industries, Inc.

CONTRACTOR ADDRESS: 200 Long Island Ave., Wyandanch, NY 11798

FEDERAL TAX ID #: 11-2707717

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☑ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in Newsday [newspaper] on April 13, 2016 [date]. The sealed bids were publicly opened on May 17, 2016 [date]. Three (3) [# of] sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____ [date]. Potential proposers were made aware of the availability of the RFP by advertisement in _____ [newspaper], posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____ [date]. _____ [state #] proposals were received and evaluated. The evaluation committee consisted of: _____

_____ (list # of persons on committee and their respective departments). The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ **D.** Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No. 928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

Instructions with respect to Sections VIII, IX and X: All Departments must check the box for VIII. Then, check the box for either IX or X, as applicable.

VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

IX. ☒ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to submission of the first claim voucher, for services under this contract being submitted to the Comptroller.

X. ☐ Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41*, 1987-1 C.B. 296, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature

8/15/11

Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

NONE

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated:

7/27/16

Vendor:

Philip Ross Industries Inc

Signed:

Print Name:

Philip Carlucci

Title:

President

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

none

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

none

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

none

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

none

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

none

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

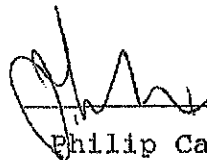
The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 5/17/16

Signed:

Print Name:

Title:



Philip Carlucci

pres.

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the ~~acquisition or disposition by the county of any interest in real property, with respect to a license~~ or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Philip Carlucci
Date of birth / /
Home address
City/state/zip
Business address 200 Long Island Ave
City/state/zip Wyandanch, NY 11798
Telephone 631-253-3077
Other present address(es)
City/state/zip
Telephone
List of other addresses and telephone numbers attached

2. Positions held in submitting business and starting date of each (check all applicable)
 President 6 / 24 / 84 Treasurer 6 / 24 / 84
 Chairman of Board / / Shareholder / /
 Chief Exec. Officer / / Secretary / /
 Chief Financial Officer / / Partner / /
 Vice President / / / /
 (Other) / /
3. Do you have an equity interest in the business submitting the questionnaire?
 YES x NO If Yes, provide details. 100% ownership
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES NO x If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO x
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency?
YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 17 day of May 2016

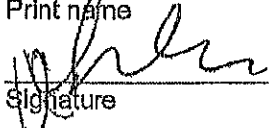

Notary Public

STEPHANIE SELIX KELLERMAN
NOTARY PUBLIC-STATE OF NEW YORK
No 015E4978492
Qualified in Suffolk County
My Commission Expires March 04, 2017

Philip Ross Industries, Inc.

Name of submitting business

Philip Carlucci
Print name


Signature

president
Title

5 / 17 / 16
Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 5/17/16 3

- 1) Proposer's Legal Name: Philip Rpss Industries, Inc.
2) Address of Place of Business: 200 Long Island Ave; Wyandanch, NY 11798

List all other business addresses used within last five years:

none

3) Mailing Address (if different): _____

Phone : 631-253-3077

Does the business own or rent its facilities? own

4) Dun and Bradstreet number: _____

5) Federal I.D. Number: 11-2707717

6) The proposer is a (check one): Corporation ☒ Sole Proprietorship ☐ Partnership ☐
x Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?
Yes ☐ No ☒ If Yes, please provide details: _____

8) Does this business control one or more other businesses? Yes ☒ No ☐ If Yes, please provide details:
Philip Ross Construction
Philip Ross Inc.

- Rev. 3-2016

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No x If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No x; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
we would schedule a meeting with the county before it's an issue.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 1984
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner:
Philip Carlucci
- iii) Name, address and position of all officers and directors of the company,
Philip Carlucci
- iv) State of incorporation (if applicable), NY
- v) The number of employees in the firm; 20+
- vi) Annual revenue of firm; \$20 million
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business. 32 years
- C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.
see contracts for 2G, 4G, 6G and 5G
- D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Nasau county DPW -East Hills Pump Station

Contact Person Steve Hearl, H2M Group

Address _____

City/State _____

Telephone 631-756-8000

Fax # _____

E-Mail Address shearl@h2m.com

Company Nasau County DPW-Loct Valley Pump Station

Contact Person Phil Campisi

Address _____

City/State _____

Telephone 516-579-5856

Fax # _____

E-Mail Address pcampisi@debruinengineering.com

Company East Farmingdale Water District-Plant4

Contact Person Rich HUMann-H2m Group

Address _____

City/State _____

Telephone 631-867 756-8000

Fax # _____


E-Mail Address rhumann@h2m.com

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 17 day of May 2017

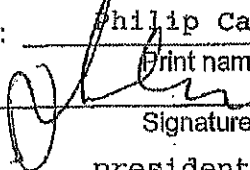


Notary Public

STEPHANIE SELIX KELLERMAN
NOTARY PUBLIC - STATE OF NEW YORK
No. 01SE4978492
Qualified in Suffolk County
My Commission Expires March 04, 2017

Name of submitting business: Philip Ross Industries, Inc.

By: Philip Carlucci

Print name


Signature

president

Title

5 / 17 / 16

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries Inc.

Address: 200 Long Islands Ave

City, State and Zip Code: Wyandanch, NY 11798

2. Entity's Vendor Identification Number: 11-2707717

3. Type of Business: Public Corp Partnership Joint Venture

 Ltd. Liability Co x Closely Held Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Philip Carlucci [REDACTED]

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Philip Carlucci [REDACTED]

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

none

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

~~(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):~~

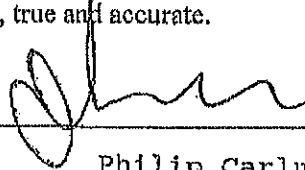
none

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 5/17/16

Signed:



Print Name: Philip Carlucci

Title: pres.

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

B35-16

RO -2016

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND PHILIP ROSS INDUSTRIES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S3P311-10G, PUMP STATION REPAIR & MITIGATION – SAGE LAKE AND LAWRENCE WASTEWATER PUMPING STATIONS ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of PHILIP ROSS INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 4,900,000 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

COUNTY OF NASSAU
Inter-Departmental Memo

TO: Office of the County Executive
Att: Richard R. Walker, Chief Deputy County Executive

FROM: Department of Public Works

DATE: August 25, 2016


SUBJECT: RECOMMENDATION OF AWARD

Contract No: S3P311-10G

**Title: CONTRACT S3P311-10G – PUMP STATION REPAIR & MITIGATION –
SAGE LAKE AND LAWRENCE WASTEWATER PUMPING STATIONS**

Bids received on: May 7, 2016

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **Philip Ross Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$4,900,000.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.


Shila Shah-Gavnoudias
Commissioner

SSG:KGA:jh



REQUEST TO INITIATE

RTI Number 16-0248

REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: PUMP STATION REPAIR AND MITIGATION (S3P311-10G)
Sage Lake and Lawrence Pump Stations

Department: Public Works Project Manager: Thomas A. Immerso Date: June 13, 2016

Service Requested: General Construction Contract

Justification: Necessary for repairs/replacements of equipment at two (2) sewage pump stations damaged as a result of Superstorm Sandy as well as flood mitigation measures for the pump stations listed above.

Requested by: Department of Public Works Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment) \$4,900,000.00
Circle appropriate phaseTotal Project Cost: \$6,500,000.00 (approx.)
Includes, design, construction and CMDate Start Work: September, 2016
Phase being requestedDuration: 550 Days
Phase being requestedCapital Funding Approval: YES ☒ NO ☐ Tom Immerso 7-6-16
SIGNATURE DATEFunding Allocation (Capital Project): 3P311
See Attached Sheet if multiyear ☐NIFS Entered: N/A DATE
SIGNATUREAIM Entered: Anthony Williams 7-6-16
SIGNATURE DATEFunding Code: 3P311-SAN010
Use this on all encumbrancesTimesheet Code: 16-0248
Use this on timesheetsState Environmental Quality Review Act (SEQRA):
Type II Action ☒ or, Environmental Assessment Form Required ☐
Supplemental Environmental DocumentationDepartment Head Approval: YES ☐ NO ☐ DATE
SIGNATUREDCE/Ops Approval: YES ☐ NO ☐ DATE
SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from responding vendors.

| Vendor | Quote | Comment | See Attached Sheet (bld results) |
|-------------------------------|----------------|-----------------------|----------------------------------|
| Phillip Ross Industries, Inc. | \$4,900,000.00 | 10% Bid Bond; Low Bid | |
| RJ Industries | \$5,575,500.00 | | |
| Posillico Civil, Inc. | \$5,835,500.00 | | |

DCE/Ops Approval: YES NO Signature _____

7/6/16
2/4/17

COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo

TO: Division of Administration
Att: Kenneth G. Arnold, Assistant to Commissioner

FROM: Division of Engineering
Water/Wastewater Engineering Unit

DATE: August 3, 2016


SUBJECT: RECOMMENDATION OF AWARD

| | |
|----------------------|--|
| Contract No: | S3P311-10G |
| Title: | Pump Station Repair & Mitigation Sage Lake and Lawrence Pump Stations |
| Engineer's Estimate: | \$5,320,537.00 |
| Bids Received On: | May 17, 2016 |

The bids received for the above-referenced contract have been examined, and the bid submitted by Philip Ross Industries, Inc., in the amount of \$4,900,000.00 is acceptable as the lowest responsible bidder.

Since the low bid is less than the engineer's estimate and adequate funds are available (Capital Project No. 3P311), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith, please find a completed Staff Summary, NIFA, Engineer's Bid Analysis, Recommendation of Award, Request to Initiate, CSEA and Comptroller's Approval forms, along with Contractor's proposed M/WBE Utilization Plan for your information and use.


Joseph L. Davenport
Chief Sanitary Engineer

JLD:cs
Attachments

c: Thomas A. Immerso, Sanitary Engineer II
Loretta V. Dionisio, Hydrogeologist II





GREELEY AND HANSEN

111 Broadway, Suite 2101
New York, New York 10006
p 212 227 1250
f 212 227 1229
www.greeley-hansen.com

June 10, 2016

Ms. Shila Shah-Gavnoudias, P.E.
Commissioner of Public Works
Office of the Commissioner
Nassau County Department of Public Works
1194 Prospect Avenue
Westbury, NY 11590

Attention: Mr. Thomas Immerso

Subject: Project No. PW – S3P311-10C: Pump Station Mitigation-Sage Lake and
Lawrence Pump Stations
Bid Evaluation of Contract 10C

Dear Ms. Shah-Gavnoudias:

We have completed our evaluation of the bids received on May 17, 2016 for Contract 10C. Our evaluation compared the bids received with the Engineer's Estimate of \$5,320,537 for the scope of work, including items added by Addendum 1 and 2. A total of 3 bids were received for this contract. Our evaluation is based on the bid results that were shared with us by the County on May 23, 2016.

The following summarizes the apparent low bidder for Contract 10C in comparison with the Engineer's Estimate dated April 08, 2016.

| <u>Contract</u> | <u>Engineer's Estimate</u> | <u>Apparent Low Bidder</u> | <u>Bid Price</u> |
|-----------------|----------------------------|------------------------------|------------------|
| 10C | \$5,320,537 | Philip Ross Industries, Inc. | \$4,900,000 |

The apparent low bidder was Philip Ross Industries, Inc. with a bid price of \$4,900,000 (including Allowances 1 and 2). This low bid is approximately 7.90% lower than the Engineer's Estimate of \$5,320,537. The second lowest bidder was RJ Industries with a bid of \$5,575,500 which is about 12.11% higher than the apparent low bid and about 4.8% lower than the Engineer's Estimate. The third lowest bidder was Posillico Civil, Inc with a bid of \$5,835,500 which is about 16.03% higher than the apparent low bid and about 8.8% lower than the Engineer's Estimate. Please find attached a copy of the summary of bid opening.

The average of the 3 bids for Contract 10C is \$5,437,000 and the highest bid was \$5,835,500.

The following table is a comparison of the apparent low bid from Philip Ross Industries, Inc to the Engineer's Estimate and the differences between the two.



GREELEY AND HANSEN

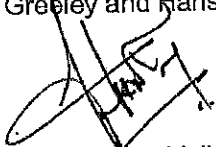
| Description | Engineer's Estimate (EE) | Apparent Low Bidder | Difference | Percentage Lower than EE |
|--------------|--------------------------|---------------------|------------------|--------------------------|
| Lump Sum | \$5,220,537 | \$4,800,000 | \$420,537 | 8.05% |
| Allowances | \$100,000 | \$100,000 | --- | --- |
| Total | \$5,320,537 | \$4,900,000 | \$420,537 | 8.05% |

From the attached 15 point County Questionnaire responses, it is understood that Philip Ross Industries, Inc. has experience with similar pump station jobs and are currently working on pump station mitigation jobs for the County under the same program.

Based on above evaluation, we recommend the apparent low bidder Philip Ross Industries, Inc. be awarded Contract 10C.

Thank you very much for this opportunity to serve Nassau County. If you have any questions or require additional information, please do not hesitate to contact me at (212) 693-9567.

Very truly yours,
Greeley and Hansen LLC



Vijesh Karatt Vellatt P.E.
Project Manager

Enclosure

c: Joseph Davenport, P.E. Nassau County
Sundaram Solai, P.E., Greeley and Hansen
Rick Underhill, P.E., Greeley and Hansen
Chris Leal, P.E. PM-JV

**COUNTY OF NASSAU
DEPARTMENT OF PUBLIC WORKS
Inter-Departmental Memo**

TO: Civil Service Employees Association, Nassau Local 830
Att: Ronald Gurrieri, Executive Vice President

FROM: Department of Public Works

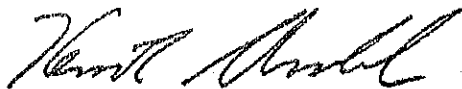
DATE: June 22, 2016

SUBJECT: CSEA Notification of a Proposed DPW Contract
Pump Station Mitigation – General Construction
Proposed Contract No. S3P311-10G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:
General construction for repairs/replacement work resulting from Hurricane Sandy, as well as requirements for Code/OSHA compliance items and flood mitigation measures for two (2) wastewater pump stations.
2. The work involves the following:
Scope of Work: General construction contract for the replacement of flood damaged process, mechanical and electrical equipment; elevating critical equipment above the five-hundred (500) year base flood elevation (BFE); flood proofing structures to prevent damage from potential future storm events.
3. An estimate of the cost is: \$4,900,000.00
4. An estimate of the duration is: Twenty (20) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold
Assistant to Commissioner

KGA:WSN:JLD:cs

- c: Christopher Fusco, Director, Office of Labor Relations
Brian Libert, Deputy Director, Office of Labor Relations
Keith Cromwell, Office of Labor Relations
William S. Nimmo, Deputy Commissioner
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit
Patricia Kivo, Unit Head, Human Resources Unit
Thomas A. Immerso, Sanitary Engineer II
Loretta V. Dionisio, Hydrogeologist II
Jonathan Lesman, Management Analyst II



BID BOND

FORM OF BID BOND

IMPORTANT The bidder shall instruct the Surety Company to USE THIS FORM PROVIDED as the use of ANY OTHER FORM may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned Philip Ross Industries, Inc.

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of

Ten Percent of Proposal Price dollars (\$ 10% of P.P.) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 10th day of May, 2016

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under Contract No. S3P311-10G for the

Pump Station Mitigation-Saga Lake and Lawrence Pump Stations

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

NO TEXT ON THIS PAGE

Provided, however, that this bond is subject to the following additional conditions and limitations.

a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

NO TEXT ON THIS PAGE

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Phillip Ross Industries, Inc.
200 Long Island Avenue, Wyandanch, NY 11798
Contractor

by [Signature] (L.S.) (Corporate seal of Contractor
Title if a corporation)

by _____ (L.S.) Title

by _____ (L.S.) Title

Liberty Mutual Insurance Company
175 Berkeley Street, Boston, MA 02116

Surety

by [Signature] (L.S.)
Title of Officer Susan Lupski, Attorney-In-Fact

Witness: [Signature] (L.S.) (Corporate seal of Surety)
XXXXXX XXXXXXXXXXXXXXXX

NO TEXT ON THIS PAGE

(Acknowledgment by Contractor if a corporation)

STATE OF N.Y.)

SS.:
COUNTY OF Suffolk)

On this 17 day of MAY, 2016, before me personally came Philip Conway to me known, who, being by me duly sworn, did depose and say for himself, that he resides in [REDACTED] that he is the [REDACTED] of the Philip Ross Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

NOTARY PUBLIC - STATE OF NEW YORK

No. 015E4978492
Qualified in Suffolk County
My Commission Expires March 04, 2017

Stephen J. Bell
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF _____)

SS.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF _____)

SS.:
COUNTY OF _____)

On this _____ day of _____, 20____, before me personally came _____ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

Notary Public

NO TEXT ON THIS PAGE

Page 8

(Acknowledgment by Surety Company)

STATE OF New York)

ss.:
COUNTY OF Nassau)

On this 10th day of May , 2016 , before me personally came Susan Lupski to me Known, who being by me duly sworn, did depose and say that he resides in Nassau County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company , the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said Dana Granice further said that he is acquainted with Susan Lupski and knows him to be the Attorney-In-Fact of said company; that the signature of the said Susan Lupski subscribed to the within instrument is in the genuine handwriting of the said Susan Lupski and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Dana Granice

DANA GRANICE
Notary Public, State of New York
No. 01GR6099128
Qualified in Suffolk County
Commission Expires 9/22/2019


Notary Public

NO TEXT ON THIS PAGE

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 7121395

American Fire and Casualty Company
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company
West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint Camille Maitland; Colette R. Chisholm; Deslee Cardlin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Mia Woo-Warren; Nelly Renchliwch; Peter F. Jones; Rita Sagliano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh

all of the city of Uniondale state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 22nd day of September 2015



American Fire and Casualty Company
The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss
COUNTY OF MONTGOMERY

On this 22nd day of September, 2015, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Plymouth Twp., Montgomery County
My Commission Expires March 20, 2017
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this MAY 10 2016 day of MAY, 2016.



By: Gregory W. Davenport
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-810-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY
FINANCIAL STATEMENT — DECEMBER 31, 2015

| Assets | | Liabilities | |
|---|--------------------------------|---|--------------------------------|
| Cash and Bank Deposits..... | \$753,038,641 | Unearned Premiums..... | \$6,580,520,311 |
| *Bonds — U.S Government | 1,547,613,446 | Reserve for Claims and Claims Expense..... | 16,917,138,677 |
| *Other Bonds..... | 11,088,162,545 | Funds Held Under Reinsurance Treaties..... | 210,794,503 |
| *Stocks | 9,919,835,033 | Reserve for Dividends to Policyholders..... | 358,033 |
| Real Estate..... | 295,926,247 | Additional Statutory Reserve..... | 29,659,093 |
| Agents' Balances or Uncollected Premiums..... | 4,487,501,643 | Reserve for Commissions, Taxes and | |
| Accrued Interest and Rents..... | 120,872,424 | Other Liabilities | <u>2,789,478,276</u> |
| Other Admitted Assets..... | <u>14,130,266,527</u> | Total | <u>\$26,527,948,893</u> |
| | | Special Surplus Funds..... | \$67,890,944 |
| | | Capital Stock..... | 10,000,000 |
| | | Paid in Surplus..... | 8,829,183,823 |
| | | Unassigned Surplus..... | 6,908,192,846 |
| | | Surplus to Policyholders | <u>15,815,267,613</u> |
| Total Admitted Assets..... | <u>\$42,343,216,506</u> | Total Liabilities and Surplus | <u>\$42,343,216,506</u> |



* Bonds are stated at amortized or investment value; Stocks at Association Market Values.
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2015, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 15th day of March, 2016.

T. Mikolajewski

Assistant Secretary

**STATE OF NEW YORK
DEPARTMENT OF FINANCIAL SERVICES**

**CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK
INSURANCE LAW**

It is hereby certified that

**LIBERTY MUTUAL INSURANCE COMPANY
Of Boston, Massachusetts**

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$15,815,267,613. (Capital \$ 10,000,000.) as is shown by its sworn financial statement for the year ended December 31, 2015, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-
unto set my hand and affixed the
official seal of this Department
at the City of Albany, this 15th
day of March, 2016.

Maria T. Vullo
Acting Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo
Special Deputy Superintendent



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

S3P311-10G
CONTRACT NO.

| | | | | | |
|---|--------------------------|---|--|---|--|
| Contractor's Name and Address Philip Ross Industries 200 Long Island Ave Federal Heights, NY 11-2707717 | | Project Description (Project Title, Facility Name and Address): Pump station repair & mitigation 10G | | Bid Date: 5/17/16 | Total Contract Amt: |
| Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <input checked="" type="checkbox"/> Plumbing and Gas Fitting <input checked="" type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures | | | | | |
| If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign lines required. | | | | | |
| Check () only one. | | | | | |
| Subcontractor's Name, Address and Federal ID No. Hwick Electric Federal ID No. 11-2215346 | Plumbing and Gas Fitting | Steam Heating, Hot Water Heating, Ventilating and AC Apparatus | Electric Wiring and Standard Illuminating Fixtures | General Description of Work Electrical | Subcontractor's Contract Amt. \$805,000 |
| Federal ID No. | | | | | |
| Federal ID No. | | | | | |
| This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed. Failure to complete this form accurately and in accordance, may result in a non responsive bid determination. | | | | | |
| Contractor Authorized Signature | | | | Date: 5/17/16 | |

NO TEXT ON THIS PAGE

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU
STATE OF NEW YORK

PROPOSAL

FOR

DEPARTMENT OF PUBLIC WORKS
NASSAU COUNTY, NEW YORK
PUMP STATION REPAIR AND MITIGATION - Sage Lake and Lawrence Pump
Stations

Contract No. S3P311-10G

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: Philip Ross Industries, Inc.

Bidder: corp
(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 200 Long Island Ave; Wyandanch, NY 11798

Telephone: 631-253-3077 Date of Bid: 5/17/16

Fax: 631-253-0180 E-Mail: _____

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: _____

Residence of Individual: _____

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: _____

Name and Residence of Partner: _____

Name and Residence of Partner: _____

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: NY

Name and Residence of President: Philip Carlucci

Name and Residence of Vice-President: _____

Name and Residence of Secretary: _____

Name and Residence of Treasurer: _____

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

GENERAL CONSTRUCTION

THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

PROPOSAL

I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
- ~~6. A determination that the bidder is not responsible is made in accordance with law.~~

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

++ NO TEXT ON THIS PAGE ++

JOINT APPRENTICESHIP COMMITTEE OF PLUMBERS LOCAL NO. 200

DANNY GRODOTZKE
CO-CHAIRMAN

UNION
MARIO MATTERA
ART GIPSON

375 CENTRAL AVENUE
BOHEMIA, NEW YORK 11716
PHONE 631-567-3083
FAX 631-210-0033

TRAINING COORDINATOR
KEITH GORDON

FRANK COLASURDO
CO-CHAIRMAN

EMPLOYERS
JOHN BOTTO
ROBERT RUGGIERO

May 18, 2015

To Whom It May Concern:

Please be advised that Philip Ross Industries is signatory to a Collective Bargaining Agreement with Plumbers Local Union #200 and is a contractor in good standing.

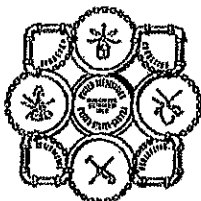
Plumbers Local Union #200 has an apprenticeship Training Program (Sponsor Code 00337, ATP Code 13180) that is approved and recognized by both, the federal Department of Labor and the New York State Department of Labor. Being signatory to our agreements, Philip Ross Industries. may, at their discretion, employ apprentices that are indentured in our program.

If you have any questions regarding the Apprenticeship Training Program of Plumbers Local Union #200, please contact me at any time.

Sincerely,



Keith Gordon
Training Coordinator





APPRENTICESHIP AND TRAINING - JOURNEYMEN - RETRAINING SCHOOL
INTERNATIONAL UNION OF OPERATING ENGINEERS
LOCAL UNIONS 138, 138A, 138B Nassau & Suffolk Counties

SCHOOL (631) 286-8677
FAX (631) 286-8689

217-0

UNION - P.O. BOX 206, FARMINGDALE, N.Y. 11735-0206

SCHOOL - 575 HORSEBLOCK ROAD, BROOKHAVEN, N.Y. 11719

May 13, 2016

Nassau County Dept. of Public Works
1194 Prospect Ave
Westbury, NY 11590

Re: Local 138 Apprenticeship School (the "Apprenticeship School")
NYS Sponsor # 00422
NYS ATP Code # 18318
Phillip Ross Industries

Project No. S3P311-10G

To Whom It May Concern,

This letter serves to confirm that the **Apprenticeship School** currently conducts a fully registered and approved New York State Training (ATP). The ATP is co-sponsored by Local 138 and all employers/signatories, including but not limited to Phillip Ross Industries, to the Local 138 Agreement Covering Working Conditions, dated June 1, 2015 to May 31, 2019. The ATP complies with all provisions of Suffolk and Nassau County Law.

Any further inquiries should be directed to the undersigned's attention.

Sincerely,

JOHN DUFFY
BUSINESS MANAGER & TREASURER
CHAIRMAN BOARD OF TRUSTEES
LOCAL 138 APPRENTICESHIP TRAINING FUND

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S3P311-10G

| ITEM NO. | APPROXIMATE QUANTITIES | ITEMS WITH UNIT PRICE WRITTEN IN WORDS | UNIT BID PRICE | | AMOUNT BID PRICE | |
|----------|------------------------|---|----------------|-------|------------------|-------|
| | | | DOLLARS | CENTS | DOLLARS | CENTS |
| 1 | Lump Sum | Base Bid for furnishing all Labor, Materials and Equipment required for all Construction work ready for operation. <i>four million eight hundred and twenty five thousand</i> DOLLARS CENTS | | N/A | 4,800,000 | - |
| 2 | Allowance | An allowance associated with Remedial Work for Unforeseen Construction Items (Section 01020). Fifty Thousand No DOLLARS CENTS | N/A | N/A | \$50,000 | 00 |
| 3 | Allowance | An allowance associated with Remedial Work for Unforeseen Hazardous Materials (Section 01020). Fifty Thousand No DOLLARS CENTS | N/A | N/A | \$50,000 | 00 |

++ NO TEXT ON THIS PAGE ++

P-10
52

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING
A BID ON GENERAL CONSTRUCTION
CONTRACT NO. S3P311-10G

| ITEM NO. | APPROXIMATE QUANTITIES | ITEMS WITH UNIT PRICE WRITTEN IN WORDS | UNIT BID PRICE | | AMOUNT BID PRICE | |
|----------|------------------------|---|----------------|-------|------------------|-------|
| | | | DOLLARS | CENTS | DOLLARS | CENTS |
| | | TOTAL BASE BID (Bid Item Nos. 1 thru 3) \$ 4,800,000.00 | | | | |
| | | TOTAL BASE BID (Bid Item Nos. 1 thru 3): MUST BE WRITTEN IN WORDS: four million, eight hundred DOLLARS THOUSAND DOLLARS 2- CENTS 2200 cents | | | | |

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P-12
54

ALLOWANCES: It is expressly understood and agreed that the total Bid presented in this Proposal is the basis for establishing the amount of the Bid Security and includes the following allowances:

1. Item No. 2: An allowance of \$50,000 for costs associated with Remedial Work for Unforeseen Construction Items (Section 01020).
2. Item No. 3: An allowance of \$50,000 for costs associated with Remedial Work for Unforeseen Hazardous Material (Section 01020).

All in accordance with the requirements of Division 1, Special Conditions; Section 01010, Summary of Work; Section 01020, Allowances; and Section 01500 - Temporary Facilities and Controls.

Final Contract Payment for allowance items shall be based upon actual payments, and not on the approximate amounts cited herein.

~~DETERMINATION OF LOW BID:~~ Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

++ NO TEXT ON THIS PAGE ++

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

| <u>Specification Number</u> | <u>Description</u> | <u>Manufacturer and/or Supplier</u> |
|-----------------------------|---|---|
| 05542 | Floor Access Hatch Covers | Bileo, Halliday or equal |
| 08390 | Stainless Steel Doors and Frames | PS Doors, Flood Safe or equal |
| 10200 | Louvers and Vents | Construction specialties Arlite Co. or equal |
| 11116 | Submersible End Suction Pumps - Dry Pit | Xylem/Flygt, HES/Sulzer, or Homa or equal |
| 11342 | Grinding Equipment (Comminutors) | JWC + Franklin Miller |
| 13851 | Fire Alarm and Smoke Detection System | Simplex Time Recorder Co., Cerberus Pyrotechnics, Fernal, Notifier or equal |
| 15100 | Process and Civil Valves | Sezuik, Class Valve Co. or equal |
| 15830 | Fans | Greenback Fan Corp or equal |
| 15836 | Electric Unit Heaters | Indeco or equal |
| 15946 | Gas Detection System | MSA |
| 16230 | Packaged Engine Generator Systems | Kohler, Cummins, Power Generation, Caterpillar Inc or equal |
| 16471 | Mini Power Centers | SQUARE D |
| 15670 | Condensing Unit | Rheem Manufacturing Co., The Trane Co., Carrier Corp, or equal |

NO TEXT ON THIS PAGE

IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- X a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership, society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- _____ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.



Signature/Date

Philip Carlucci, pres.

Print Name and Position

NO TEXT ON THIS PAGE

MacBride Fair Employment Principles

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

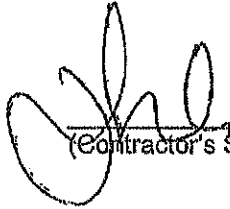
(1) have business operations in Northern Ireland,

Yes ___ No x

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ___ No ___


(Contractor's Signature)

Philip Ross Industries, Inc.
(Name of Business)

NO TEXT ON THIS PAGE

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: Philip Ross Industries Inc.

(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: _____

Federal ID No: 11-2707717

Municipal License ID Number: _____

Municipal Licensing Agency: _____

By: 

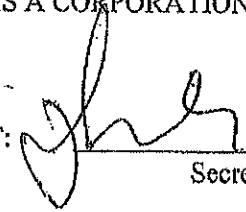
Date: 5/17/16

(Signature of Individual, Partner or Corporate Officer)

(Print): Philip Carlucci

Title: pres

WHERE BIDDER IS A CORPORATION, ADD

ATTEST: 

Secretary

(CORPORATE)
(SEAL)

++ NO TEXT ON THIS PAGE ++

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____, Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing Bid and that the several matters therein
stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

_____, Being duly sworn, deposes and says:
That he is a member of _____, the firm described in and which
executed the foregoing Bid; that he duly subscribed the name of the firm thereunto on behalf of the firm; and
that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This _____ day of _____ 20_____.

Notary Public

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++ NO TEXT ON THIS PAGE ++



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

NONE

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 7/27/16

Vendor: Philip Ross Industries Inc

Signed: [Signature]

Print Name: Philip CanLucci

Title: President

Exhibit B



COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization. The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

none

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

none

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

none

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

none

5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

none

6. If such lobbyist is retained or employed pursuant to a written agreement of retainer or employment, you must attach a copy of such document; and if agreement of retainer or employment is oral, attach a written statement of the substance thereof. If the written agreement of retainer or employment does not contain a signed authorization from the client by whom you have been authorized to lobby, separately attach such a written authorization from the client.

7. Has the lobbyist/lobbying organization or any of its corporate officers provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

none

I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

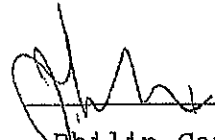
The undersigned further certifies and affirms that the contribution(s) to the campaign committees listed above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 5/17/16

Signed:

Print Name:

Title:


Philip Carlucci
pres.

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

The term "lobbying" or "lobbying activities" does not include: Persons engaged in drafting legislation, rules, regulations or rates; persons advising clients and rendering opinions on proposed legislation, rules, regulations or rates, where such professional services are not otherwise connected with legislative or executive action on such legislation or administrative action on such rules, regulations or rates; newspapers and other periodicals and radio and television stations and owners and employees thereof, provided that their activities in connection with proposed legislation, rules, regulations or rates are limited to the publication or broadcast of news items, editorials or other comment, or paid advertisements; persons who participate as witnesses, attorneys or other representatives in public rule-making or rate-making proceedings of a County agency, with respect to all participation by such persons which is part of the public record thereof and all preparation by such persons for such participation; persons who attempt to influence a County agency in an adjudicatory proceeding, as defined by § 102 of the New York State Administrative Procedure Act.

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Philip Carlucci
Date of birth 03 / 02 / 03
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 200 Long Island Ave
City/state/zip Wyandanch, NY 11798
Telephone 631-253-3077
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President 6 / 24 / 84 Treasurer 6 / 24 / 84
Chairman of Board _____ / _____ / _____ Shareholder _____ / _____ / _____
Chief Exec. Officer _____ / _____ / _____ Secretary _____ / _____ / _____
Chief Financial Officer _____ / _____ / _____ Partner _____ / _____ / _____
Vice President _____ / _____ / _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES _____ NO _____ If Yes, provide details. see question 2
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES _____ NO x If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES _____ NO x If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ____ NO x
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ____ NO x If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ____ NO x If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ____ NO x If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ____ NO x If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ____ NO x If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ____ NO x If Yes, provide details for each such conviction.

- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO x If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO x If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO x If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO x If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO x If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO x If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 1 day of May 2016

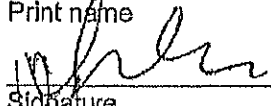

Notary Public

STEPHANIE SELIX KELLERMAN
NOTARY PUBLIC-STATE OF NEW YORK
No 015E4978492
Qualified in Suffolk County
My Commission Expires March 04, 2017

Philip Ross Industries, Inc.

Name of submitting business

Philip Carlucci
Print name


Signature
president

Title

5 / 17 / 16
Date

Business History Form

The contract shall be awarded to the responsible proposer who, at the discretion of the County, taking into consideration the reliability of the proposer and the capacity of the proposer to perform the services required by the County, offers the best value to the County and who will best promote the public interest.

In addition to the submission of proposals, each proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the Proposal.

**NOTE: All questions require a response, even if response is "none" or "not-applicable."
No blanks.**

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 5/17/16 3

- 1) Proposer's Legal Name: Philip Rpss Industries, Inc.
2) Address of Place of Business: 200 Long Island Ave; Wyandanch, NY 11798

List all other business addresses used within last five years:

none

- 3) Mailing Address (if different): _____

Phone : 631-253-3077

Does the business own or rent its facilities? own

- 4) Dun and Bradstreet number: _____

- 5) Federal I.D. Number: 11-2707717

- 6) The proposer is a (check one): Sole Proprietorship Partnership
Corporation x Other (Describe) _____

- 7) Does this business share office space, staff, or equipment expenses with any other business?

Yes No x If Yes, please provide details: _____

- 8) Does this business control one or more other businesses? Yes x No If Yes, please provide details: _____

Philip Ross Construction
Philip Ross Inc.

- Rev. 3-2016

If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor?
Yes ____ No x If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? Yes ____ No x If Yes, provide details for each such occurrence. _____

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? Yes ____ No x; If Yes, provide details for each such instance. _____

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? Yes ____ No x If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County.
no conflict exists

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.
we would schedule a meeting with the county before it's an issue.

- A. Include a resume or detailed description of the Proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the proposer be other than an individual, the Proposal **MUST** include:

- i) Date of formation; 1984
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner:
Philip Carlucci, [REDACTED]
- iii) Name, address and position of all officers and directors of the company,
Philip Carlucci, [REDACTED]
- iv) State of incorporation (if applicable), NY
- v) The number of employees in the firm; 20+
- vi) Annual revenue of firm; \$20 million
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

B. Indicate number of years in business. 32 years

C. Provide any other information which would be appropriate and helpful in determining the Proposer's capacity and reliability to perform these services.

see contracts for 2G, 4G, 6G and 5G

D. Provide names and addresses for no fewer than three references for whom the Proposer has provided similar services or who are qualified to evaluate the Proposer's capability to perform this work.

Company Nasau county DPW -East Hills Pump Station

Contact Person Steve Hearl, H2M Group

Address

City/State

Telephone 631-756-8000

Fax #

E-Mail Address shear1@h2m.com

Company Nasau County DPW-Loct Valley Pump Station

Contact Person Phil Campisi

Address _____

City/State _____

Telephone 516-579-5856

Fax # _____

E-Mail Address pcampisi@debruinengineering.com

Company East Farmingdale Water District-Plant4

Contact Person Rich HUmann-H2m Group

Address _____

City/State _____

Telephone 631-867 756-8000

Fax # _____

E-Mail Address rhumann@h2m.com

CERTIFICATION

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I, Philip Carlucci, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 17 day of May 2017

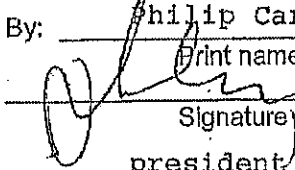

Notary Public

STEPHANIE SELIX KELLERMAN
NOTARY PUBLIC, STATE OF NEW YORK
No 01SE4978492
Qualified in Suffolk County
My Commission Expires March 04, 2017

Name of submitting business: Philip Ross Industries, Inc.

By: Philip Carlucci

Print name


Signature

president

Title

5 / 17 / 16

Date

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: Philip Ross Industries Inc.

Address: 200 Long Islands Ave

City, State and Zip Code: Wyandanch, NY 11798

2. Entity's Vendor Identification Number: 11-2707717

3. Type of Business: Public Corp Partnership Joint Venture

 Ltd. Liability Co x Closely Held Corp Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Philip Carlucci 6 Northholls Dr, Melville, NY

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

Philip Carlucci 6 Northholls Dr, Melville, NY

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

none

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). If none, enter "None." The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

none

~~(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):~~

none

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 5/17/16

Signed: 

Print Name: Philip Carlucci

Title: pres.

Page 4 of 4

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

NO TEXT ON THIS PAGE

QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name? 32
2. How many years experience in the construction work of a similar type as this contract has your firm had;
 - a. as a Prime Contractor 32
 - b. as a Subcontractor 32
3. List below the construction projects your firm has under way as of this date:

| Contract Amount | Class of work | Percent Completed | Name and Address of Owner or Contracting Officer |
|--------------------|------------------|----------------------|---|
|--------------------|------------------|----------------------|---|

see attached list

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

| Contract Amount | Class of work | Percent Completed | Name and Address of Owner or Contracting Officer |
|--------------------|------------------|----------------------|---|
|--------------------|------------------|----------------------|---|

see attached list

(use additional blank sheets if additional space is necessary)

5. Have you:
 - a. ever failed to complete any work awarded to You? no
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
 - b. ever been defaulted on a contract? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

NO TEXT ON THIS PAGE



Philip Ross Industries, Inc.
200 Long Island Avenue
Wyandanch, NY 11798
(631) 253-3077

CERTIFIED NY STATE DEPT OF ENVIRONMENTAL CONSERVATION
WELL DRILLER SINCE 1997 (LICENSE # 1776)

PROJECT REFERENCES

| | |
|--------------|---|
| PROJECT: | Improvements to Waste Water Pollution Plant |
| LOCATION: | Greenport |
| OWNER: | Inc. Village of Greenport |
| CONTACT: | Rich Hults (516) 364-9880 |
| VALUE: | \$6,180,000 |
| COMPLETED: | Completed 8/12 |
| DESCRIPTION: | Dewater existing sludge facilities; install concrete Tanks; BNR system; new screening facilities; Ultra-Violet light disinfection systems; sludge holding tanks; aerobic holding tank; new clarifier internals. |
| PROJECT: | VOC Treatment at Plant 1 |
| LOCATION: | South Farmingdale, NY |
| OWNER: | South Farmingdale Water District |
| CONTACT: | Rich Humann (631) 756-8000 |
| VALUE: | \$6,747,000 |
| COMPLETION: | Completed 7/11 |
| DESCRIPTION: | Site work; removals; cast in place concrete; new VOC & Iron treatment bldg; air stripper & iron removal vessels; Mechanical piping; blowers & pumps; HVAC; metals. |
| PROJECT: | Packed Tower Aeration System |
| LOCATION: | Community Drive |
| OWNER: | Water Authority of Great Neck North |
| CONTACT: | Bill Merklin (516) 364-9880 |
| VALUE: | \$4,578,000 |
| COMPLETED: | Completed 6/13 |
| DESCRIPTION: | Install packed aeration system; well pumps; piping; Control systems; site work; chemical equipment; asphalt; Sodium hypochlorite system; electrical. |

PROJECT: Construction of Locust Valley Sewer Pump Station
LOCATION: Locust Valley
OWNER: Nassau County
CONTACT: Phil Campisi (516) 579-5856
VALUE: \$1,695,000
COMPLETED: Completed 6/11
DESCRIPTION: Site clearing; construction pump bldg; comminutor
& pumps; piping; wet well; fuel tank; odor control;
Flow elements; electrical; HVAC; plumbing & start up

PROJECT: Digester Cover Gas Valve Improvements
LOCATION: Cedar Creek Water Pollution Plant
OWNER: Nassau County
CONTACT: Bob Petersen (516) 571-7389
VALUE: \$440,000
COMPLETED: Completed 6/11
DESCRIPTION: Replace valves & digester plugs in 9 digesters;
Repair Lightening Protection systems; replace
Stair assemblies as needed.

PROJECT: Granular Activated Carbon System
LOCATION: Plant 7- Contract G & P
OWNER: So Huntington water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,638,000
COMPLETED: Completed 1/14
DESCRIPTION: Removals; construction pump station bldg.; install
GAC treatment facility; fuel tank; chemical treatment
System; disinfection; GAC vessels; piping

PROJECT: Nitrate Removal at Plant 8
LOCATION: Hicksville
OWNER: Hicksville Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,405,000
COMPLETED: Completed 4/10
DESCRIPTION: Site Clearing; Fencing; Landscaping; Concrete; Masonry;
Carpentry; Waterproofing; Painting; Airstripping System;
Chemical Monitoring; Mechanical Piping

PROJECT: Nitrate Removal System
LOCATION: Well 4
OWNER: Town of North Hempstead & the Pt Washington water Dis.
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,404,000
COMPLETED: Completed 3/13
DESCRIPTION: Construction of nitrate system bldg.; paving; replace diesel Engine; concrete; solenoid valves; GAC vessel coating;pumps

PROJECT: VOC Removal at Plant 4
LOCATION: East Farmingdale, NY
OWNER: Town of Babylon
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,051,000
COMPLETION: Completed 4/11
DESCRIPTION: Removals; new treatment bldg; mechanicals for New bldg; HVAC system; new chemical treatment System; site work; air stripping tower & assoc. equip

PROJECT: GAC Filter at Plant 15
LOCATION: South Huntington
OWNER: South Huntington Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$2,045,608
COMPLETED: Completed 2/10
DESCRIPTION: Site work; Concrete; Masonry; Stairs; Carpentry; Waterproofing; Modular Absorption System; Caustic; Chemical Monitoring Equip; Mechanical Piping; Electric.

PROJECT: GAC Treatment at Well 7
LOCATION: Roosevelt Field Water District
OWNER: Town of Hempstead
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,186,000
COMPLETION: Completed 4/11
DESCRIPTION: Replace well pump; construct new carbon treatment bldg; All mechanical piping & fittings; HVAC; new carbon absorption system.

PROJECT: VOC Removal at Plant 11
LOCATION: Greenlawn
OWNER: Greenlawn Water District
CONTACT: Rich Humann (631) 756-8000

VALUE: \$1,197,000
COMPLETED: Completed 9/09
DESCRIPTION: Site clearing; Concrete; Masonry; Metals; Carpentry;
Modular adsorption system; Mechanical; Electric.

PROJECT: Airstripping for VOC Removal at Well1&2
LOCATION: Franklin Square
OWNER: Franklin Square Water District
CONTACT: Rich Humann (631)- 756-8000
VALUE: \$1,347,000
COMPLETED: Completed 8/09
DESCRIPTION: Site Clearing; excavation; paving; concrete; site
Water lines; disinfection; leaching pool; landscaping;
Floor coating; turbine pumps; air stripping tower; piping.

PROJECT: GAC Treatment for VOC Removal at Well 7
LOCATION: Garden City, NY
OWNER: Inc Vlg of Garden City
CONTACT: Rich Humann (631) 756-8000
VALUE: \$592,345
COMPLETED: 11/08
DESCRIPTION: Site clearing; site water lines; fencing; seeding; concrete;
Structural steel; metals; water treatment system; carbon;
Piping; modular absorption system; painting.

PROJECT: GAC Treatment for VOC Removal at Plant 11
LOCATION: Hicksville, NY
OWNER: Hicksville Water District
CONTACT: Thomas Carrahill (631) 756-8000
VALUE: \$560,000
COMPLETED: 10/08
DESCRIPTION: Site clearing; trenching; concrete; fencing; structural steel;
Modular absorption system; carbon; piping; valves

PROJECT: Swimming Pool Rehabilitation
LOCATION: Salisbury Ave.
OWNER: Inc. Village of Stewart Manor
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,378,075
COMPLETED: 6/08
DESCRIPTION: Replace filter bldg; concrete decks; pool gutter;
Landscaping; retaining wall; piping; paving; pool liner;
Refreshment stand; drainage structures; ventilation.

PROJECT: Packed Tower Aeration System at Well 12
LOCATION: Corporate Dr., Westbury
OWNER: Town of Hempstead & Roosevelt Field Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,402,236
COMPLETED: 11/07
DESCRIPTION: Modify existing pump; construct new aeration bldg;
Install packed tower; pumps & blowers; electrical;
Control system; ventilation system.

PROJECT: Perchlorate Removal at Well BDG-1
LOCATION: Plainview Rd
OWNER: Bethpage Water District
CONTACT: Rich Humann (631) 756-8000
VALUE: \$1,178,000
COMPLETED: 8/08
DESCRIPTION: Well head treatment; Site work; Concrete; Masonry;
Waterproofing; Modular Iron Exchange System; Piping

PROJECT: Packed Aeration System at Well 2A
LOCATION: Old Farm Rd
OWNER: Town of Hempstead & Levittown Water District
CONTACT: Bill Merklin (516) 364-9880
VALUE: \$1,100,000
COMPLETED: 12/05
DESCRIPTION: Replace well pump& motor; build aeration bldg &
clearwell; install packed tower; piping; booster pump;
Ventilation equipment.

PROJECT: Airstripping for VOC removal at Plant 2
LOCATION: Whitson Rd
OWNER: Plainview Water District
CONTACT: Tony Trombino (631) 756-8600
VALUE: \$2,300,000
COMPLETED: 5/07
DESCRIPTION: Install air stripping tower; grading; piping; precast concrete;
Fencing; landscaping; masonry; concrete; carpentry; metal
work; vertical turbine pumps; HVAC; backflow prevention.

PROJECT: Airstripping at Plant #10
LOCATION: Whitson Road
OWNER: So Huntington water District
CONTACT: Tony Trombino (631) 756-8000
VALUE: \$1,749,000
COMPLETED: 6/05

DESCRIPTION: Excavation; booster pumps; piping; mechanical;
Chemical storage systems; air stripping system.

PROJECT: Construction of Wells 11 & 12

LOCATION: Corporate Dr.-Westbury

OWNER: Roosevelt Field Water District/
Town of Hempstead

CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,770,000

COMPLETED: 6/05

DESCRIPTION: Carpentry; roofing; foundations; piping & pumping
Equipment; chemical treatment equipment; electrical;
Disinfection.

PROJECT: Olympic Pool Rehab

LOCATION: Hendrickson Park

OWNER: Inc. Village of Valley Stream

CONTACT: Bill Merklin (516) 364-9880

VALUE: \$1,550,000

COMPLETED: 6/04

DESCRIPTION: Removal of all existing filtration system & swimming
pool; Install new swimming pool, decking, lighting,
Filtration system; drainage & swimming pool accessories.

c. ever been declared a non-responsible bidder by any municipality or public agency? no
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

d. ever been barred from bidding municipal or public contracts? no
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

a. that failed to complete a construction contract? no
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

b. that has ever been defaulted on a contract? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

c. that has ever been declared a non-responsible bidder by any municipality or public agency? no
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

NO TEXT ON THIS PAGE

d. that has ever been barred from bidding municipal or public contracts? no

If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no
If so, state name of individual, name of owner and reason therefor:

-
8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

none

NO TEXT ON THIS PAGE

11. What is the construction experience of the principal individuals of your firm?

| Individual's Name | Present Position or Office | Years of Construction Experience | Magnitude and type of work | In what Capacity |
|----------------------|----------------------------------|--|----------------------------------|------------------------|
| Philip Carlucci | pres. | 35+ | all phases | owner |

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

| Item | Description, Size Capacity, Year, etc. | Years of Service | Present Location |
|------|---|---------------------|---------------------|
|------|---|---------------------|---------------------|

see attached list

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

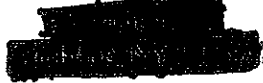
not applicable

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PHILIP ROSS INDUSTRIES, INC.
200 LONG ISLAND AVE
WYANDANCH, NY 11798

| Quantity | Make | Model | Equipment | Serial # |
|----------|-------------|---------|---------------------|------------|
| 1 | Hitachi | EX200LC | Hydraulic Excavator | 14767886 |
| 1 | Hitachi | EX50 | Hydraulic Excavator | 1BUP240227 |
| 1 | Ideco | MES2500 | Hydraulic Breaker | 1157 |
| 1 | JCB | 1700B | Backhoe | 333427 |
| 1 | '87 Ford | 555B | Backhoe | C773880 |
| 1 | Ditch Witch | 6510 | Trencher | 430807 |
| 1 | Godwin | WP200 | 8" Well Point Pump | 91488870 |
| 1 | Godwin | WP200 | 8" Well Point Pump | 90572275 |
| 1 | Vermeer | 88 | Trencher | |
| 1 | | | Forklift | |
| 1 | Skidsteer | 960 | Loader | 563128N |
| 5 | | | Office Trailers | |
| 1 | Grove | | 18 Ton Iron Crane | 69708RT418 |
| 1 | Cat | DC3XL | Dozer Crawler | 4TS1013 |
| 1 | Volvo | L120C | Loader | 10924 |
| 3 | Interstate | 14RBS | Tag along Trailers | |
| 1 | Hitachi | 2X35U5 | Compact Excavator | |

Philip Carlucci



Employment:

1984 – Present Philip Ross Industries, Inc., Wyandanch, NY- President and Project Manager

Experience:

- Sole owner responsible for all phases and departments of company
- Supervisor of all field and administrative personnel
- Project management and coordination.
- Oversight of bids and estimating department in order to continually improve win ratios.
- Sales meetings and making presentations to current and potential customers.
- Oversight of billing and accounting department.
- Field engineering & interpretation engineering blueprints & specifications to identify and describe work scope changes.
- Setting the profit margin for the project and responsible to track job progress, schedule and material costs.
- Attend project meetings with the owners and engineers continually communicating with the various parties and reacting to their needs in a timely manner.
- Oversight of implementation of new technologies and software both in the office and in the field.
- Supervision of all project managers and subcontractor relationships.

Skill Areas:

- Excellent communication skills, both verbal and written.
- Proven engineering/project management skills.
- Ability to identify, prioritize, delegate and conduct appropriate follow up on all variations of project phases.
- Strong planning & organizational skills.
- OSHA oversight and compliance.

- Identify project costs and devise creative alternate solutions/options to common industry obstacles with saved the company time and money.
- Developed relationships with qualified, reliable & competitive subcontractors and vendors.

Education:

State University of NY- Farmingdale Campus
Associates in Business Administration- 1983

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?
Explain in detail.

Per plans & specs.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Per plans & specs

-
16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

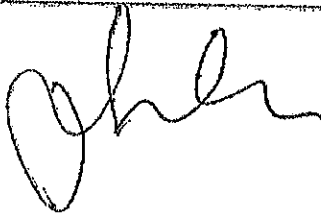
Philip carlucci

17. Insurance carried by your firm:

| Type | Company | Limits of Coverage | Term |
|--------------|----------------------|--------------------|-------------|
| g.l. | Harleysville ins | 2 mill | 11/15-11/16 |
| auto | Harleysville ins | 1 mill | 11/15-11/16 |
| workers comp | State Insurance Fund | statutory | 12/15-12/16 |
| Umbrella | Navigators INS | 10 mill | 11/15-11/16 |

NO TEXT ON THIS PAGE

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.

A handwritten signature in cursive script, appearing to read 'J. H. Lee', is written below a horizontal line.

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NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

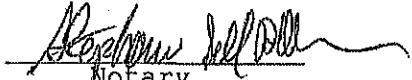
(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Philip Carlucci Being duly sworn, deposes and says:
That he resides at [REDACTED] Street,
in the City of [REDACTED] that he is the pres of

Philip Ross Industries, Inc.
the corporation described in and which executed the foregoing
instrument; that he knows the seal of said corporation; that the seal
affixed to the said instrument is such corporate seal and was affixed
by order of the Board of Directors of said corporation; that he signed
his name thereto by like order; and that he has knowledge of the
several matters therein stated and they are in all respects true.

Subscribed and sworn to before me
this 17 day of May 2016
STEPHANIE BELIX KELLERMAN
NOTARY PUBLIC-STATE OF NEW YORK
No. 01SE4978492
Qualified in Suffolk County


Notary

My Commission Expires March 04, 2017

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is a member of
the firm described in and which executed the foregoing bid; that he
duly subscribed the name of the firm hereunto on behalf of the firm;
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 201 .

Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

Being duly sworn, deposes and says:
That he is the person described in and who executed the foregoing bid
and that the several matters therein stated are in all respects true.
Subscribed and sworn to before me
this day of , 201 .

Notary

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Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 1: MUNICIPAL INFORMATION

| | | | |
|---|-----------|--------------|------------------------------|
| Recipient/Municipality: | | County: | |
| SRF Project No.: | GIGP No.: | Contract ID: | Registration No. (NYC only): |
| Minority Business Officer: | Email: | Phone #: | |
| Address of MBO: | | | Date: |
| Signature of MBO: (Required even if Authorized Rep. is filled out) | | | |
| <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief. | | | |
| <i>Complete if applicable: MBO may authorize representative to complete & submit quarterly payment reports.</i> | | | |
| Authorized Representative: | Title: | Phone #: | Date: |
| Authorized Rep. Company: | Email: | | |
| Electronic Signature of Authorized Rep.: | | | Date: |
| <input type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief. | | | |

SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION

| | | | |
|--|-----------------------|---|-----------------------------|
| If contract is performed through an MWBE Joint Venture or Teaming Arrangement please submit the additional form found at www.efc.ny.gov/mwbe | | | |
| Firm Name: Philip Ross Industries | | Contract Type: <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Other Services | |
| Prime Firm is Certified as: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Other: | | | |
| Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE. | | | |
| Address: 200 Long Island Ave, Wyandanch, NY, 11798 | | Phone #: 631-253-3077 | |
| Fed. Employer ID #: 11-2707717 | | | |
| Description of Work: Pump Station Mitigation work 10G | | | |
| Award Date: | Start Date: | Completion Date: | |
| Total Contract Amount: \$ 4,900,000 | MWBE GOAL Total | | PROPOSED MWBE Participation |
| MWBE Eligible Contract Amount: \$ 980,000 | MBE: 10% \$ 490,000 | WBE: 10% \$ 457,200 | |
| (MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers) | Total: 20% \$ 980,000 | Total: 20 % \$ 980,000 | |
| If waivers are requested, documentation must be attached: <input type="checkbox"/> Full Waiver (No Participation) <input type="checkbox"/> Partial Waiver (Short of the MWBE Goal) | | | |
| <input type="checkbox"/> Specialty Equipment/Services Waiver (must be of SIGNIFICANT cost - list of equipment and cost & good faith effort documentation must be attached) | | | |
| Electronic Signature of Contractor: <input checked="" type="checkbox"/> I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function. | | | Date: 6/5/16 |
| Name (Please Type): Philip Carlucci | | | |

Minority & Women Owned Business Enterprise (M/WBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

SECTION 3: UTILIZATION PLAN

| | | | | | |
|--|--|--|--|---------------------|--|
| This Submittal is: <input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #: NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications) | | Contract Amount: MBE (\$) WBE (\$) | | For EFC Use: | |
| Name: NY Plumbing supply Address: 931 Columbus Ave; NY, NY Scope of Work: Plbg & pipe sply Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: Full Contract Amount: \$ 55,000 | | Fed. Employer ID#: Phone #: 917-755-8177 Email: jean@nypsl.com Start Date: Completion Date: | | 55,000 | |
| Name: Mace Contracting Corp. Address: 35 Portman Rd; New Rochelle, NY Scope of Work: Sply & install Pumps & valves Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: Full Contract Amount: \$ 335,800 | | Fed. Employer ID#: Phone #: 914-576-0606 Email: vladimir@macecontracting.com Start Date: Completion Date: | | \$335,800 | |
| Name: FCS Group LLC Address: 57-12 Grand Ave; Maspeth, NY Scope of Work: Painting Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: Full Contract Amount: \$ 29,000 | | Fed. Employer ID#: Phone #: 516-599-4100 Email: nsingh@fcsnewyork.com Start Date: Completion Date: | | 29,000 | |
| Name: CMC Environmental Address: 85-26 149 th Ave; Howard Beach, NY Scope of Work: abatement Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: Full Contract Amount: \$ | | Fed. Employer ID#: Phone #: 718-845-1387 Email: cmcenvironcorp@aol.com Start Date: Completion Date: | | 40,000 | |
| Name: DARR Construction Equipment Address: 870 Sylvan Ave, Bayport, NY 11705 Scope of Work: Excavation & Force Main Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other: | | Fed. Employer ID#: 11-26-7941 Phone #: 631-419-1801 Email: Darradmin@darrcons.com Start Date: | | \$257,200 | |

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

| | | | | | |
|--|---|-------------------------|-----------------|---------------------|--|
| Full Contract Amount: \$ 257,200 | | Completion Date: | | | |
| NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications) | | | | | |
| Name: Bendlin Inc. | Fed. Employer ID#: | Contract Amount: | | For EFC Use: | |
| Address: 1037 Rt 46; Clifton, NJ | Phone #: 973-574-0000 | MBE (\$) | WBE (\$) | | |
| Scope of Work: Supply Commitment | Email: b.bendlin@bendlininc.com | 130,000 | | | |
| Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other: | Start Date: | | | | |
| Full Contract Amount: \$ 130,000 | Completion Date: | | | | |
| <hr/> | | | | | |
| Name: Park Ave Bldg Sply Co. | Fed. Employer ID#: | | | | |
| Address: 2120 Atlantic Ave; Brooklyn, NY | Phone #: 718-403-0100 | | | | |
| Scope of Work: building and carpentry splys | Email: rayrivera@parkavebuilding.com | 60,000 | | | |
| Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | Start Date: | | | | |
| Full Contract Amount: \$ 60,000 | Completion Date: | | | | |
| <hr/> | | | | | |
| Name: Cassone Trailers | Fed. Employer ID#: | | | | |
| Address: 1950 Lakeland Ave; Ronkonkoma, NY | Phone #: 631-585-7800 | | | | |
| Scope of Work: Trailers & Storage Containers | Email: jduva@cassone.com | 10,000 | | | |
| Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other: | Start Date: | | | | |
| Full Contract Amount: \$ 10,000 | Completion Date: | | | | |
| <hr/> | | | | | |
| Name: Architectural Sign Group | Fed. Employer ID#: | | | | |
| Address: 145 Meacham Ave; Elmont, NY | Phone #: 516-326-1800 | | | | |
| Scope of Work: Site Signage | Email: ajaffer@archsigngroup.com | \$3,000 | | | |
| Select Only One: <input checked="" type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | Start Date: | | | | |
| Full Contract Amount: \$3,000 | Completion Date: | | | | |
| <hr/> | | | | | |
| Name: Rodbusters Inc. | Fed. Employer ID#: | | | | |
| Address: 36 Broadmoor Lane; Westbury, NY | Phone #: 516-333-7604 | | | | |
| Scope of Work: rebar | Email: rodbust396@aol.com | 60,000 | | | |
| Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other: | Start Date: | | | | |

Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form
(Revised 09/2014)

| | | | | | |
|--|--|---------------------------|--|--|--|
| Full Contract Amount: 60,000 | | Completion Date: | | | |
| Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe | | | | | |
| Name: | | Fed. Employer ID#: | | | |
| Address: | | Phone #: | | | |
| Scope of Work: | | Email: | | | |
| Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | | Start Date: | | | |
| Full Contract Amount: | | Completion Date: | | | |
| Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe | | | | | |
| Name: | | Fed. Employer ID#: | | | |
| Address: | | Phone #: | | | |
| Scope of Work: | | Email: | | | |
| Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | | Start Date: | | | |
| Full Contract Amount: \$ | | Completion Date: | | | |
| Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe | | | | | |
| Name: | | Fed. Employer ID#: | | | |
| Address: | | Phone #: | | | |
| Scope of Work: | | Email: | | | |
| Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | | Start Date: | | | |
| Full Contract Amount: \$ | | Completion Date: | | | |
| Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe | | | | | |
| Name: | | Fed. Employer ID#: | | | |
| Address: | | Phone #: | | | |
| Scope of Work: | | Email: | | | |
| Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other: | | Start Date: | | | |
| Full Contract Amount: \$ | | Completion Date: | | | |
| Additional Pages can be found under MWBE Materials at www.efc.ny.gov/mwbe | | | | | |