



E-153-16

Contract Details

SERVICES: Outside Counsel

NIFS ID #: CQAT16000014 NIFS Entry Date: 06/03/2016 Term: June 1, 2016 – May 31, 2017

New <input checked="" type="checkbox"/> Renewal <input type="checkbox"/>	1) Mandated Program:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Amendment <input type="checkbox"/>	2) Comptroller Approval Form Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Time Extension <input type="checkbox"/>	3) CSEA Agmt. § 32 Compliance Attached:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Addl. Funds <input type="checkbox"/>	4) Vendor Ownership & Mgmt. Disclosure Attached:	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Blanket Resolution <input type="checkbox"/>	5) Insurance Required	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
RES#		

Agency Information

Vendor		County Department	
Name Wilson Elser Moskowitz Edelman & Dicker LLP	Vendor ID# 132679447	Department Contact Jaclyn Delle	
Address 666 Old Country Road Suite 510 Garden City, New York 11530	Contact Person Robert A. Spolzino, Esq. Phone (914) 872-7497	Address 1 West St. Mineola, New York 11501 Phone (516) 571-3034	

Routing Slip

DATE Rec'd.	DEPARTMENT	Internal Verification	DATE App'd & Fw'd.	SIGNATURE	Leg. Approval Required
	Department	NIFS Entry (Dept) <input type="checkbox"/> NIFS Appvl (Dept. Head) <input type="checkbox"/>		<i>[Signature]</i>	
	OMB	NIFS Approval <input type="checkbox"/>	6/7/16	<i>[Signature]</i>	Yes <input type="checkbox"/> No <input type="checkbox"/> Not required if blanket resolution
6/9/16	County Attorney	CA RE&I Verification <input checked="" type="checkbox"/>	6/9/16	<i>[Signature]</i>	
6/9/16	County Attorney	CA Approval as to form <input checked="" type="checkbox"/>	6/9/16	<i>[Signature]</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	LEG	Legislative Affairs Fw'd Original K to CA <input type="checkbox"/>			
	Rules <input type="checkbox"/> / Leg. <input type="checkbox"/>				
	County Attorney	NIFS Approval <input type="checkbox"/>			
	County Comptroller	NIFS Approval <input type="checkbox"/>			
	County Executive	Notarization <input type="checkbox"/> Filed with Clerk of the Leg. <input type="checkbox"/>	6/14/16	<i>[Signature]</i>	



Contract Summary

Description: New outside counsel contract.
Purpose: New outside counsel contract to represent the County in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified. The initial case assigned to Counsel is John Restivo and Dennis Halstead, Plaintiffs, v. Nassau County, a municipality; Nassau County Attorney's Office; Carnell Foskey, in his official capacity; et al., Index No. 16-CV-2492.
Method of Procurement: A Request for Qualifications ("RFQ") was issued and a panel of firms qualified to provide legal services for the County has been established. Wilson Elser Moskowitz Edelman & Dicker LLP has been added to this panel and qualified in the following areas of law: Appellate; Bankruptcy; Commercial Litigation; Construction Litigation; Employment and Labor; Environmental; Federal Civil Rights Section 1983; Municipal; Real Property.
Procurement History: See method of procurement above. Additionally, Wilson Elser has other contracts with the County.
Description of General Provisions: As described above.
Impact on Funding / Price Analysis: \$250,000.00 max amount, but only \$125,000.00 initial encumbrance pursuant to the terms of the agreement.
Change in Contract from Prior Procurement: N/A
Recommendation: Approve as submitted.

Advisement Information

BUDGET CODES	
Fund:	GEN
Control:	AT
Resp:	1100
Object:	DE502
Transaction:	


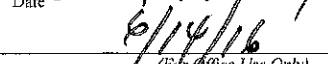
RENEWAL	
% Increase	
% Decrease	

FUNDING SOURCE	AMOUNT
Revenue Contract <input type="checkbox"/>	XXXXXXXX
County	\$125,000.00
Federal	\$
State	\$
Capital	\$
Other	\$
TOTAL	\$125,000.00

LINE	INDEX/OBJECT CODE	AMOUNT
1	ATGEN1100/DE502	\$125,000.00
2		\$
3		\$
4		\$
5		\$
6		\$
TOTAL		\$125,000.00

Document Prepared By: _____

Date: _____

NIFS Certification		Comptroller Certification	County Executive Approval
I certify that this document was accepted into NIFS.		I certify that an unencumbered balance sufficient to cover this contract is present in the appropriation to be charged.	Name 
Name		Name	Date 
Date		Date	(For Office Use Only)
		E #:	



Nassau County Interim Finance Authority

Contract Approval Request Form (As of January 1, 2015)

1. Vendor: Wilson Elser Moskowitz Edelman & Dicker LLP (CQAT16000014)

2. Dollar amount requiring NIFA approval: \$ 250,000.00

Amount to be encumbered: \$ 125,000.00

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 06/01/2016-05/31/2017

Has work or services on this contract commenced? ☒ Yes ☐ No

If yes, please explain: Due to time sensitivity of matter, may need to commence prior to approval.

4. Funding Source:

☒ General Fund (GEN) ☐ Grant Fund (GRT)
☐ Capital Improvement Fund (CAP) Federal % ☐
☐ Other State % ☐
County % 100

Is the cash available for the full amount of the contract? ☐ Yes ☐ No

If not, will it require a future borrowing? ☐ Yes ☐ No

Has the County Legislature approved the borrowing? ☐ Yes ☐ No ☐ N/A

Has NIFA approved the borrowing for this contract? ☐ Yes ☐ No ☐ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

New outside counsel contract to represent the County in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified. The initial case assigned to Counsel is John Restivo and Dennis Halstead, Plaintiffs, v. Nassau County, a municipality; Nassau County Attorney's Office; Camell Foskey, in his official capacity; et al., Index No. 16-CV-2492.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

CQAT15000011 \$50,000 encumbered 1/25/16 (CQAT15000024)
CQAT16000001 \$125,000 currently in approval process
CQAT15000022 \$75,000 encumbered 12/24/15

AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Signature Roseanne Allen Title _____ Date 6/8/16

Print Name _____

COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

☐ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

☐ I certify that the bonding for this contract has been approved by NIFA.

☐ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Signature _____ Title _____ Date _____

Print Name _____

NIFA

Amount being approved by NIFA: _____

Signature _____ Title _____ Date _____

Print Name _____

NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.

NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.

NIFA reserves the right to request additional information as needed.

George Maragos
Comptroller



OFFICE OF THE COMPTROLLER
240 Old Country Road
Mineola, New York 11501

COMPTROLLER APPROVAL FORM FOR PERSONAL, PROFESSIONAL OR HUMAN SERVICES CONTRACTS

Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.

CONTRACTOR NAME: Wilson Elser Moskowitz Edelman & Dicker LLP
(CQAT16000014)

CONTRACTOR ADDRESS: 666 Old Country Road, Suite 510, Garden City, New York 11530

FEDERAL TAX ID #: 132679447

Instructions: Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

I. ☐ The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids. The contract was awarded after a request for sealed bids was published in _____ [newspaper] on _____ [date]. The sealed bids were publicly opened on _____ [date]. _____ [#] of sealed bids were received and opened.

II. ☐ The contractor was selected pursuant to a Request for Proposals.

The Contract was entered into after a written request for proposals was issued on _____. Potential proposers were made aware of the availability of the RFP by advertisement in Newsday, posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on _____. _____ proposals were received and evaluated. The evaluation committee consisted of: _____. The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

III. ☐ This is a renewal, extension or amendment of an existing contract.

The contract was originally executed by Nassau County on _____ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after _____

[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

IV. ☒ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.

☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**

☒ B. A Request for Qualifications ("RFQ") was issued and a panel of firms qualified to provide legal services for the County has been established. Wilson Elser Moskowitz Edelman & Dicker LLP has been added to this panel. The firm has been qualified in the following areas of law: Appellate; Bankruptcy; Commercial Litigation; Construction Litigation; Employment and Labor; Environmental; Federal Civil Rights Section 1983; Municipal; Real property.

V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.

☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.

☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).

☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. _____, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.

- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated. Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services. The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

VIII. X Participation of Minority Group Members and Women in Nassau County Contracts. The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

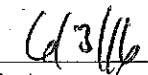
IX. ☐ Department MWBE responsibilities. To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to the contract being submitted to the Comptroller.

X. X Vendor will not require any sub-contractors.

In addition, if this is a contract with an individual or with an entity that has only one or two employees: ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.



Department Head Signature



Date

NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.

Compt. form Pers./Prof. Services Contracts: Rev. 09/15



COUNTY OF NASSAU

POLITICAL CAMPAIGN CONTRIBUTION DISCLOSURE FORM

1. Has the vendor or any corporate officers of the vendor provided campaign contributions pursuant to the New York State Election Law in (a) the period beginning April 1, 2016 and ending on the date of this disclosure, or (b), beginning April 1, 2018, the period beginning two years prior to the date of this disclosure and ending on the date of this disclosure, to the campaign committees of any of the following Nassau County elected officials or to the campaign committees of any candidates for any of the following Nassau County elected offices: the County Executive, the County Clerk, the Comptroller, the District Attorney, or any County Legislator? If yes, to what campaign committee?

NO

2. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

The undersigned further certifies and affirms that the contribution(s) to the campaign committees identified above were made freely and without duress, threat or any promise of a governmental benefit or in exchange for any benefit or remuneration.

Dated: 6/3/16

Vendor: Wilson Elson Moskowitz Obama - Dickson LLP

Signed: [Signature]

Print Name: Ross A. Spolinski

Title: Partner

PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered by all officers and any individuals who hold a ten percent (10%) or greater ownership interest in the proposer. Answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID OR PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John M. Flannery
Date of birth 1/1/
Home address [REDACTED]
City/state/zip [REDACTED]
Business address 1132 Westchester Ave
City/state/zip White Plains, NY 10604
Telephone 914 - 323 - 7000
Other present address(es) _____
City/state/zip _____
Telephone _____
List of other addresses and telephone numbers attached _____
2. Positions held in submitting business and starting date of each (check all applicable)
President / / Treasurer / /
Chairman of Board / / Shareholder / /
Chief Exec. Officer / / Secretary / /
Chief Financial Officer / / Partner / / - Regional Managing Partner
Vice President / / _____
(Other) _____
3. Do you have an equity interest in the business submitting the questionnaire?
YES X NO If Yes, provide details. Equity Partner
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? YES NO X If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? YES NO X;
If Yes, provide details.

6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? YES ___ NO X
If Yes, provide details.

NOTE: An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? YES ___ NO X If Yes, provide details for each such instance.
 - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? YES ___ NO X If Yes, provide details for each such instance.
 - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? YES ___ NO X If Yes, provide details for each such instance.
 - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? YES ___ NO X If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? YES ___ NO X If Yes, provide details for each such charge.
 - b) Is there any misdemeanor charge pending against you? YES ___ NO X If Yes, provide details for each such charge.
 - c) Is there any administrative charge pending against you? YES ___ NO X If Yes, provide details for each such charge.
 - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? YES ___ NO X If Yes, provide details for each such conviction.

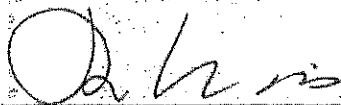
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? YES ____ NO X If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? YES ____ NO X If Yes, provide details for each such occurrence.
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? YES ____ NO X If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? YES ____ NO X If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? YES ____ NO X If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? YES ____ NO X If Yes, provide details for each such year.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, John M. Flannery, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 13th day of June 2016



Notary Public

PETER A. MEISELS
Notary Public, State of New York
No. 60-4965790
Qualified in Westchester County
Commission Expires April 30, 2017

Wilson Elser Moskowitz Edelman & Packer, LLP
Name of submitting business

John M. Flannery
Print name


Signature

Regional Managing Partner
Title

6 / 13 / 16
Date

Business History Form

In addition to the submission of bids/proposals, as applicable, each bidder/proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the bid/proposal.

NOTE: All questions require a response, even if response is "none" or "not-applicable." No blanks.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: March 30, 2016

1) Bidder's/Proposer's Legal Name: Wilson Elser Moskowitz Edelman & Dicker LLP

2) Address of Place of Business: 666 Old Country Road, Garden City, NY 11530

List all other business addresses used within last five years:

Our Garden City office address has not changed within the last five years. (We are also providing a list of all Wilson Elser offices.)

3) Mailing Address (if different): Not applicable

Phone : 516 - 228 - 8900

Does the business own or rent its facilities? Rent

4) Dun and Bradstreet number: 07-770-5770

5) Federal I.D. Number: 13-2679447

6) The bidder/proposer is a (check one): Corporation ☐ Sole Proprietorship ☒ Partnership ☐ Other (Describe) _____

7) Does this business share office space, staff, or equipment expenses with any other business?
Yes ☐ No ☒ If Yes, please provide details: _____

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: _____

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☐ No ☒ If Yes, provide details: _____

10) Has the bidder/proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). _____

11) Has the bidder/proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒
If Yes, state date, court jurisdiction, amount of liabilities and amount of assets _____

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. _____

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. _____

b) Any misdemeanor charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. _____

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? No ☒ Yes ☐ If Yes, provide details for each such conviction _____

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? No ☒ Yes ☐ If Yes, provide details for each such conviction. _____

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? No ☒ Yes ☐ If Yes, provide details for each such _____

occurrence. _____

- 15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? No ☒ Yes ____; If Yes, provide details for each such instance. _____

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? No ☒ Yes ____ If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. _____

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

- a) Please disclose any conflicts of interest as outlined below. **NOTE: If no conflicts exist, please expressly state "No conflict exists."**

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. No conflict exists.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. Wilson Elser has more than 1,400 employees. We are not aware of any existing conflicts.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting on behalf of Nassau County. No conflict exists.

- b) Please describe procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future. Wilson Elser has policies and procedures in place to effectively identify conflicts of interest at the outset of a matter. Wilson Elser maintains a conflicts of interest database and uses conflicts of interest software as part of its policies and procedures. The firm's Office of the General Counsel, and specifically the firm's Conflicts of Interest Counsel, oversees and manages compliance with the firm's conflicts of interest policies and procedures. Any identified conflicts of interest are resolved in accordance with all applicable rules of professional responsibility.

- A. Include a resume or detailed description of the bidder's/proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the bidder/proposer be other than an individual, the bid/proposal **MUST** include:

- i) Date of formation; December 1978
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; See attached Partner Listing.
- iii) Name, address and position of all officers and directors of the company; Not applicable.
- iv) State of incorporation (if applicable); Not applicable.
- v) The number of employees in the firm; 1,463
- vi) Annual revenue of firm; Since we are a private partnership, our financial information remains confidential. You may contact our Independent CPA: John Fitzgerald of Berdon LLP, 212 - 832 - 0400
- vii) Summary of relevant accomplishments See attached Firm Resume.
- viii) Copies of all state and local licenses and permits. All attorneys working with Nassau County are licensed in the state of New York.

- B. Indicate number of years in business. 37 years

- C. Provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services. See attached Firm Resume.

- D. Provide names and addresses for no fewer than three references for whom the bidder/proposer has provided similar services or who are qualified to evaluate the bidder's/proposer's capability to perform this work.

Company City of Yonkers

Contact Person Michael Curti, Esq. - Corporation Counsel

Address 40 South Broadway, Room 300

City/State Yonkers, NY 10701

Telephone 914 - 377 - 6250

Fax # Not available

E-Mail Address michael.curti@yonkersny.gov

BHF (02/2016)

Company New York City Comptroller's Office
Contact Person Kathryn Diaz, Esq. - General Counsel
Address One Centre St, Municipal Building, 602
City/State New York, NY 10007
Telephone 212 - 669 - 2065
Fax # 212 - 669 - 2884
E-Mail Address kdiaz@comptroller.nyc.gov

Company City of New Rochelle
Contact Person Kathleen Gill, Corporation Counsel
Address 515 North Avenue
City/State New Rochelle, NY 10804
Telephone 914 - 654 - 2025
Fax # 914 - 654 - 2174
E-Mail Address kgill@ci.new-rochelle.ny.us

- E. Please provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

See attached Firm Resume.

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID OR FUTURE BIDS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, ROBERT A SPOLZINO, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 3rd day of June

2016

Rimma Altshuler
Notary Public

RIMMA ALTSHULER
NOTARY PUBLIC, State of New York
No. 01AL6156219
Qualified in Westchester County
Commission Expires November 6, 2016

Name of submitting business: Wilson Ellen Moskowitz Esq. & Dickson LLP

By: ROBERT A SPOLZINO
Print name
[Signature]
Signature
PARTNER
Title

6 3 16
Date

Wilson Elser

Firm Resume





FIRM RESUME

About Wilson Elser

Wilson Elser serves clients of all sizes, across multiple industries and around the world. We provide full-service, first-class legal services, spanning the spectrum of litigation and related areas. We apply the discipline and diligence of effective litigation to virtually all areas of the law, drawing on a comprehensive roster of legal services to create multidisciplinary teams that give each client matter the best of the firm's collective intelligence and capabilities.

Since our founding in 1978, we have enjoyed steady growth to become one of the largest and most influential law firms in the nation. With nearly 800 attorneys in 30 offices strategically located throughout the United States and another in London, Wilson Elser is ranked within *The American Lawyer* 200 and *National Law Journal* 350. A listing of our offices is appended to this firm resume.

Our firm's uncommonly high concentration of seasoned senior litigators gives us an advantage when handling our clients' most challenging and technical cases. More broadly, many of our attorneys have specialized degrees in business, engineering, medicine and accounting, as well as extensive on the ground professional experience, which translate into client solutions that work in the real world.

While our heritage is in insurance coverage and defense, we have since broadened our offering with impressive credentials in government affairs, especially in our home state of New York, as well as financial and transactional services.

Municipal/Local Government Experience

Wilson Elser offers municipalities, quasi-public agencies and other government entities the services of a significant Municipal/Local Government practice that enjoys an enviable track record of success.

Acknowledging that our municipal clients' first priority is to advance the objectives of their communities or organizations, we bring to bear the relevant experience required to thoroughly and sensibly analyze their most complex issues and to identify appropriate, cost-effective recommendations. We frequently assist clients through early assessment and negotiations, alternative dispute resolution methods or summary judgment motions. When early resolutions are not practical, we do not hesitate to try cases in court. In fact, we have earned our reputation as one of the largest and most successful trial firms in the country.

As clients increasingly express interest in working with an integrated legal team, Wilson Elser has been quick to accommodate them. Our practice team model ensures that the firm's vast municipal experience is concentrated within one manageably sized group that is responsive to each client through a single point of contact.

Behind our Municipal/Local Government practice is a full-service firm, any part of which can be leveraged on our clients' behalf. Collaboration among Wilson Elser attorneys from various disciplines is frequent and spawns innovative solutions to complex legal challenges. Whether mounting a defense at trial, filing a motion for appeal or leveraging our experience in related practice areas, we are committed to providing our clients with capable assistance in all matters of municipal law.



Assisting Public Entities with Operating Efficiencies

Our attorneys are frequently engaged by municipal and other government officials to advise them with respect to a broad range of operational and compliance issues. Matters include contracts and bidding, budget and finance, creation of special districts, public/private partnerships, inter-municipal agreements, consolidation, annexation, referenda, sewer and water systems, environmental compliance, home rule, drafting local laws as well as ordinances and resolutions, board practices and procedures, freedom of information, ethics compliance, investigations, utility franchises and self-insurance.

Defending Public Safety Providers

We regularly defend police officers, firefighters, social services personnel and other first responders, as well as the government agencies for which they work. Cases involve civil rights claims under 42 USC § 1983 and other claims alleging wrongful arrest, Fourth Amendment search and seizure violations, use of excessive force, Eighth Amendment violations, failure to protect and negligence in the performance of their duty.

Representing Government Interests

Our attorneys routinely advise public bodies and their officials with respect to matters that are, or are likely to be, litigated. We appear on their behalf in courts and other tribunals to defend them in matters such as employment discrimination cases, article 78 proceedings, First Amendment and other constitutional claims, land use litigation, punitive damage claims, assessment and tax-exemption litigation, eminent domain proceedings, GML 207-a and 207-c issues, general municipal liability defense, and asbestos and lead paint claims.

Advocating for Public Entities on Appeal

When litigated controversies result in appeals, our active and accomplished team of appellate attorneys is prepared to assist our municipal clients by navigating the unique procedural aspects of appellate practice. They prepare and deliver arguments that present the most likely chance of success on appeal, as they endeavor to influence the development of the law in ways that are favorable to clients' interests.

Advancing Relationships Among Government Entities

Some issues that public entities face are more efficiently and effectively resolved by working with state legislators and regulators to develop mutually beneficial results. Our Government Affairs team, which is the largest lobbying firm in New York, is especially skilled at identifying those issues and navigating the intricacies of government at all levels to address and resolve them.

Supporting Municipalities in the Exercise of Their Regulatory Authority

We understand the municipality's perspective on land use and other regulatory issues. We regularly advise and represent governing boards, planning boards, zoning boards of appeal and government regulators in matters involving subdivision and site-plan review, comprehensive plans, SEQRA compliance, variances, special-use permits, rezoning, architectural review, historic preservation, development agreements, impact fees, RLUIPA, wireless telecommunications and zoning enforcement.



A Uniquely Local Presence

Wilson Elser's dedicated Municipal/Local Government practice is staffed by accomplished attorneys who have worked with numerous municipalities throughout the state. We serve as a "one-stop shop" for virtually all related legal needs yielding cost and time efficiencies that many of our municipal clients appreciate.

Our Garden City office places us in the middle of Long Island, with its more than 7 million inhabitants and its vibrant business and industrial community. In addition to Garden City, our NYC 42nd Street and White Plains offices round out our "New York Metro" presence. Our resident attorneys bring to bear the relevant experience required to thoroughly and sensibly analyze Nassau County's most complex issues and to identify appropriate, cost-effective recommendations.

In addition to Nassau County, following is a list of municipal clients that we have represented:

- Athens Local Development Corporation
- Battery Park City Authority
- Catskill Local Development Corporation
- City of Long Beach, New York
- City of Newburgh, New York
- City of New Rochelle, New York
- City of Peekskill, New York
- City of Rye, New York
- City of White Plains, New York
- City of Yonkers, New York
- New York City Housing Authority
- Putnam County
- Town of Clarkstown, New York
- Town of Coeymans, New York
- Town of Colonie, New York
- Town of Eastchester, New York
- Town of Greenburgh, New York
- Town of Haverstraw, New York
- Town of Orangetown, New York
- Town of Rensselaerville, New York
- Town of Thompson, New York
- Town of Wawarsing, New York Planning Board
- Village of Catskill, New York
- Village of Catskill Water Department, New York
- Village of Freeport, New York
- Village of Mamaroneck, New York
- Village of Pelham, New York
- Village of Pelham Manor, New York
- Village of Saugerties, New York
- Village of Saugerties Water Department, New York
- Westchester County

OFFICES

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410.539.1800

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Beaumont, TX 77701
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BOSTON
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Boston, MA 02110
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Chicago, IL 60603
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214.698.8000

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Denver, CO 80202
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Edwardsville, IL 62025
618.307.0200

GARDEN CITY
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Garden City, NY 11530
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HARTFORD
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Hartford, CT 06103
860.249.7129

HOUSTON
909 Fannin Street
Houston, TX 77010
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100 Mallard Creek Road
Louisville, KY 40207
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Philadelphia, PA 19103
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San Diego, CA 92101
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SAN FRANCISCO
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San Francisco, CA 94105
415.433.0990

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Stamford, CT 06901
203.388.9100

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703.245.9300

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Washington, DC 20001
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WEST PALM BEACH
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West Palm Beach, FL 33401
561.515.4000

WHITE PLAINS
1133 Westchester Avenue
White Plains, NY 10604
914.323.7000



Coverage accurate at time of publication.
Information is subject to change.

WILSON ELSENER

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: WILSON ELSEN MOSKOWITZ EDELMAN & DICKER LLP
Address: 666 OLD GULFEN ROAD, SUITE 570
City, State and Zip Code: GARDEN CITY NY 11530
2. Entity's Vendor Identification Number: 132679447
3. Type of Business: ☐ Public Corp ☒ Partnership ☐ Joint Venture
☐ Ltd. Liability Co ☐ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

SEE ATTACHED

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation, include a copy of the 10K in lieu of completing this section.

SEE ATTACHED

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

NONE

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

NONE

(b) Describe lobbying activity of each lobbyist. See below for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 6/3/15

Signed: [Signature]

Print Name: Robert A. Stalczewski

Title: Partner

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EMPLID	Name	ALT	Job Code	Office Location	Office Main Phone Number	Office Address
	Hoffman, Jerry S	A	Equity Partner	Albany	518-449-8893	577 Broadway, Albany, NY 12207
	Lauricella, Peter A	A	Equity Partner	Albany	518-449-8883	577 Broadway, Albany, NY 12207
	Marrello, Lisa M	A	Equity Partner	Albany	518-449-8893	577 Broadway, Albany, NY 12207
	Shapiro, Kenneth L	A	Equity Partner	Albany	518-449-8893	577 Broadway, Albany, NY 12207
	Russell, Angela Williams	A	Equity Partner	Baltimore	410-539-1800	500 East Pratt Street - Suite 600, Baltimore, MD 21202
	Boagaert, William T	A	Equity Partner	Boston	617-422-5300	260 Franklin Street - 14th Floor, Boston, MA 02110
	Rockas, George C	A	Equity Partner	Boston	617-422-5300	260 Franklin Street - 14th Floor, Boston, MA 02110
	Bozich, Paul	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Heiler, Bennett R	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Holmes, David M	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	McMatron, Daniel J	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Murray Jr, Thomas F	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Rothmann, Rebecca M	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Savaiano, Dominick W	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Schlom, Curt J	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Thurston, James K	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Tone, Michael P	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Vitoli, Michael L	A	Equity Partner	Chicago	312-704-0550	55 West Monroe Street - Suite 3800, Chicago, IL 60603
	Cameron, Lee L	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
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	Henderson, John R	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Horres Jr, E. Stratton	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Levine, Toni S	P	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Marshall, Jeffrey O	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Noah Jr, R Douglas	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Schwartz, Susan A	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
	Stimmel, Linda M	A	Equity Partner	Dallas	214-698-8000	Bank of America Plaza - Suite 3800, Chicago, IL 60603
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	Adams, Kent M	A	Equity Partner	Houston	713-353-2000	909 Fannin Street, Suite 3300, Houston, TX 77010
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	Thorne, Sheri M	A	Equity Partner	Las Vegas	702-727-1400	300 South 4th Street - 11th Floor, Las Vegas, NV 89101
	Corless, Thomas C	A	Equity Partner	Los Angeles	213-443-5100	555 S. Flower Street - Suite 2900, Los Angeles, CA 90071
	Deniston, Martin K	A	Equity Partner	Los Angeles	213-443-5100	555 S. Flower Street - Suite 2900, Los Angeles, CA 90071
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	Joffe, Steven J	A	Equity Partner	Los Angeles	213-443-5100	555 S. Flower Street - Suite 2900, Los Angeles, CA 90071
	Kunowski, Herbert P	A	Equity Partner	Los Angeles	213-443-5100	555 S. Flower Street - Suite 2900, Los Angeles, CA 90071
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	Lee, Matthew W	A	Equity Partner	McLean	703-245-9300	8444 Westpark Drive - Suite 510, McLean, VA 22102
	Strasius, Anthony P	A	Equity Partner	Miami	305-374-4400	100 Southeast Second Street - Suite 3800, Miami, FL 33131
	Eads, John T	A	Equity Partner	Michigan	313-327-3100	Laurel Office Park III, 17197 N. Laurel Park Drive, Suite 201, Livonia, MI 48152
	Katt, William J	A	Equity Partner	Milwaukee	414-276-8815	740 N. Plankinton Avenue - Suite 600, Milwaukee, WI 53203
	Leibowitz, Samuel J	A	Equity Partner	Milwaukee	414-276-8816	740 N. Plankinton Avenue - Suite 600, Milwaukee, WI 53203
	Billek, Maxwell L	A	Equity Partner	New Jersey	973-624-0800	200 Campus Drive, Florham Park, NJ 07932

EMPLID	Name	A/LT	Job Code	Office Location	Office Main Phone Number	Office Address
	Brown, Kenneth M	A	Equity Partner	New Jersey	973-624-0800	200 Campus Drive, Florham Park, NJ 07932
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	Quinn, Thomas F	A	Equity Partner	New Jersey	973-624-0800	200 Campus Drive, Florham Park, NJ 07932
	Rilina, William J	A	Equity Partner	New Jersey	973-624-0800	200 Campus Drive, Florham Park, NJ 07932
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	Boule, Eugene T	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Caiazzo, Nicholas R	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Dell, Gregory J	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
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	Endick, Marshall	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
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	Hyland, Thomas	A	GPP	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Kent, Steven S	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Leghorn, Thomas	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Lum, Larry H	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Mafia, Frances	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Ottobriano, Lois K	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Pariser, Robert J	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Roe, Ricki Ellen	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Rosen, Adam B	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Rubenstein, Richard H	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Schaffer, Scott R	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Sheffer, David S	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Stevens, Michael N	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Stopnik, Scott H	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Tompkins III, George N	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Tonorezos, Anastasios P	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Turner, Ryan M	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Weber, Robert M	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Wilson Jr, Thomas W	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Zibas, Jura C	A	Equity Partner	New York	212-490-3000	150 East 42nd Street, New York, NY 10017
	Freeman, Nicholas D	A	Equity Partner	Orlando	407-203-7599	111 North Orange Avenue, Orlando, FL 32801
	McDonough, Sean M	A	Equity Partner	Orlando	407-203-7599	111 North Orange Avenue, Orlando, FL 32801
	Bachrach, Joshua	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Bogutz, Marc L	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Cawley, Michael J	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Clemente, Salvatore A	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Dryer, Jonathan	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Kavanagh, Kevin T	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103
	Wilkinson, Kathleen D	A	Equity Partner	Philadelphia	215-627-6900	Two Commerce Square, 2001 Market Street, Suite 3100, Philadelphia, PA 19103

EMPLID	Name	ALUT	Job Code	Office Location	Office Main Phone Number	Office Address
	Bushner, Ronald S.	A	Equity Partner	San Francisco	415-433-0990	525 Market Street - 17th Floor, San Francisco, CA 94105
	Dopson, Genevise K	A	Equity Partner	San Francisco	415-433-0990	525 Market Street - 17th Floor, San Francisco, CA 94105
	Garson, Edward P	A	Equity Partner	San Francisco	415-433-0990	525 Market Street - 17th Floor, San Francisco, CA 94105
	Hake, William M	A	Equity Partner	San Francisco	415-433-0990	525 Market Street - 17th Floor, San Francisco, CA 94105
	Publicover, Adrienne C	A	Equity Partner	San Francisco	415-433-0990	525 Market Street - 17th Floor, San Francisco, CA 94105
	Balocco, Joseph C	A	Equity Partner	Stamford	203-388-9100	1010 Washington Boulevard, Stamford, CT 06901
	Brown, Stephen P	A	Equity Partner	Stamford	203-388-9100	1010 Washington Boulevard, Stamford, CT 06901
	Del Gatto, Brian T	A	Equity Partner	Stamford	203-388-9100	1010 Washington Boulevard, Stamford, CT 06901
	Goodson, Robert W	A	Equity Partner	Washington DC	202-626-7660	700 11th Street, NW - Suite 400, Washington, D.C. 20001
	Sandza, Elizabeth B	A	Equity Partner	Washington DC	202-626-7660	700 11th Street, NW - Suite 400, Washington, D.C. 20001
	Wallace, Robert B	A	GPP	Washington DC	202-626-7660	700 11th Street, NW - Suite 400, Washington, D.C. 20001
	Janis, Rodney J	A	Equity Partner	West Palm Beach	561-515-4000	222 Lakeview Avenue - Suite 800, West Palm Beach, FL 33401
	Beron, Helmut	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Boulhosa, Michael L	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Breit, Harry P	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Derrico, Donald G	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Despotakis, Constantine A	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Elick, Ross J	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Feinberg, Glen S	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Flannery, John M	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Friedberg, Alan	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Gambardella, Thomas	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Geraghty, Patrick D	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Jordan, Laura B	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Larkin, Peter J	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Ledwith, Mark G	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Manchisi, Francis P	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Manisero, Thomas R	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Marcellino, Stephen	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Marsels, Peter A	A	GPP	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Mermelstein, Richard	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Miller, Stuart A	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Morio, John D	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	O'Brien, H Michael	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	O'Brien, James F	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Pemlone, Carl J	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Quaranta, Philip	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Rabinowitz, Wayne I	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Roarke, Robert F	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Ross, Matthew P	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Sauter, Eric J	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Spolano, Robert A	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Tillman, David L	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Tobin, Thomas W	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Tumbarello, Philip	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604
	Vignali, Rosario M	A	Equity Partner	White Plains	914-323-7000	1133 Westchester Avenue, White Plains, NY 10604



OFFICES

Albany	677 Broadway Albany, NY 12207 Directions	p. 518.449.8893 f. 518.449.8927
Austin	2000 East 6th Street Suite 7 Austin, TX 78702 Directions	p. 512.921.1951
Baltimore	500 East Pratt Street Suite 600 Baltimore, MD 21202 Directions	p. 410.539.1800 f. 410.962.8758
Beaumont	Century Tower 550 Fannin Street, Suite 800 Beaumont, TX 77701 Directions	p. 409.600.8100
Boston	260 Franklin Street 14th Floor Boston, MA 02110 Directions	p. 617.422.5300 f. 617.423.6917
Chicago	55 West Monroe Street Suite 3800 Chicago, IL 60603 Directions	p. 312.704.0550 f. 312.704.1522

		Bank of America Plaza	
Dallas		901 Main Street, Suite 4800	p. 214.698.8000 f. 214.698.1101
		Dallas, TX 75202	
		Directions	
		1225 17th Street	
		27th Floor	
Denver		Denver, CO	p. 303.572.5300 f. 303.572.5301
		80202	
		Directions	
		101 West Vandalia Street	
Edwardsville		Edwardsville, IL	p. 618.307.0200 f. 618.307.0221
		62025	
		Directions	
		666 Old Country Road	
Garden City		Suite 510	p. 516.228.8900
		Garden City, NY	f. 516.228.0200
		11530	
		Directions	
		100 Pearl Street	
		14th Floor	
Hartford		Hartford, CT	p. 860.249.7129 f. 860.249.7001
		06103	
		Directions	
		909 Fannin Street	
		Suite 3300	
Houston		Houston, TX	p. 713.353.2000 f. 713.785.7780
		77010	
		Directions	
		100 Mallard Creek Road	
Kentucky		Suite 250	p. 502.238.8500
		Louisville, KY	f. 502.238.7995
		40207	
		Directions	
		300 South 4th Street	
Las Vegas		11th Floor	p. 702.727.1400
		Las Vegas, NV	f. 702.727.1401
		89101	
		Directions	
		65 Fenchurch Street	
London		London, EC3M	p. +44.20.7553.8383
		4BE	f. +44.20.7553.8399
		United Kingdom	
		Directions	

Los Angeles	555 S. Flower Street Suite 2900 Los Angeles, CA 90071 Directions	p. 213.443.5100 f. 213.443.5101
Miami	100 Southeast Second Street Suite 3800 Miami, FL 33131 Directions	p. 305.374.4400 f. 305.579.0261
Michigan	Laurel Office Park III 17197 N. Laurel Park Drive, Suite 201 Livonia, MI 48152 Directions	p. 313.327.3100 f. 313.327.3101
Milwaukee	740 North Plankinton Avenue Suite 600 Milwaukee, WI 53203 Directions	p. 414.276.8816 f. 414.276.8819
New Jersey	200 Campus Drive Florham Park, NJ 07932 Directions	p. 973.624.0800 f. 973.624.0808
New Orleans	650 Poydras Street Suite 2005 New Orleans, LA 70130 Directions	p. 504.702.1710 f. 504.702.1715
New York	150 East 42nd Street New York, NY 10017 Directions	p. 212.490.3000 f. 212.490.3038
Orlando	111 North Orange Avenue Suite 1200 Orlando, FL 32801 Directions	p. 407.203.7599 f. 407.648.1376
	Two Commerce Square	

Philadelphia	2001 Market	
	Street, Suite 3100	p. 215.627.6900
	19103Directions	f. 215.627.2665
San Diego	655 West	
	Broadway	
	Suite 900	p. 619.321.6200
	San Diego, CA 92101	f. 619.321.6201
San Francisco	525 Market Street	
	17th Floor	
	San Francisco, CA 94105	p. 415.433.0990
	Directions	f. 415.434.1370
Stamford	1010 Washington	
	Boulevard	
	Stamford, CT 06901	p. 203.388.9100
	Directions	f. 203.388.9101
Virginia	8444 Westpark	
	Drive	
	Suite 510	p. 703.245.9300
	McLean, VA 22102	f. 703.245.9301
Washington, DC	700 11th Street, NW	
	Suite 400	p. 202.626.7660
	Washington, DC 20001	f. 202.628.3606
	Directions	
West Palm Beach	777 South Flagler	
	Drive	
	Suite 800	p. 561.515.4000
	West Palm Beach, FL 33401	f. 561.515.4001
White Plains	1133 Westchester	
	Avenue	
	White Plains, NY 10604	p. 914.323.7000
	Directions	f. 914.323.7001

Wilson Elser

Nearly 800 attorneys strong, our firm serves clients of all sizes, across multiple industries. Wilson Elser has 30 strategically located offices in the United States and another

scale has made us one of the nation's most influential law firms, ranked in the Am Law 200 and in the top 50 of the National Law Journal 350.

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RULES RESOLUTION NO. – 2016

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE
TO EXECUTE A PERSONAL SERVICES AGREEMENT BETWEEN
THE COUNTY OF NASSAU, ACTING ON BEHALF OF THE NASSAU
COUNTY ATTORNEY'S OFFICE, AND WILSON ELSE
MOSKOWITZ EDELMAN & DICKER LLP

WHEREAS, the County has negotiated a personal services agreement
with Wilson Elser Moskowitz Edelman & Dicker LLP to provide legal
services, a copy of which is on file with the Clerk of the Legislature; now,
therefore, be it

RESOLVED, that the Rules Committee of the Nassau County
Legislature authorize the County Executive to execute the said agreement
with Wilson Elser Moskowitz Edelman & Dicker LLP

CONTRACT FOR SERVICES

THIS AGREEMENT, (together with the schedules, appendices, attachments and exhibits, if any, this "Agreement"), dated as of the date (the "Effective Date") that this Agreement is executed by Nassau County, is entered into by and between (i) Nassau County, a municipal corporation having its principal office at 1550 Franklin Avenue, Mineola, New York 11501 (the "County"), acting for and on behalf of the Office of the Nassau County Attorney, having its principal office at One West Street, Mineola, New York 11501 (the "Department"), and (ii) Wilson Elser Moskowitz Edelman & Dicker LLP, with an office located at 666 Old Country Road, Suite 510, Mineola, New York 11501 ("Counsel" or "Contractor").

WITNESSETH:

WHEREAS, the County desires to hire Counsel to perform the services described in this Agreement; and

WHEREAS, Counsel is eminently qualified and ready to provide the necessary services; and

WHEREAS, this is a personal service contract within the intent and purview of Section 2206 of the County Charter.

NOW, THEREFORE, in consideration of the promises and mutual covenants contained in this Agreement, the parties agree as follows:

1. Term. This Agreement shall commence on June 1, 2016 and shall terminate on May 31, 2017, unless sooner terminated in accordance with the provisions of this Agreement, provided that the County may renew this Agreement under the same terms and conditions for four (4) additional one (1) year periods.

2. Services. The services to be provided by Counsel under this Agreement shall consist of representing Nassau County, and/or such other party as the County may be required to defend, in various matters as requested by the County Attorney, or their designee, within the areas of law in which the Department has determined Counsel to be qualified ("Services"). The initial case assigned to Counsel, as well as the areas of law in which the Department has determined Counsel to be qualified to represent the County, are described in the attached Appendix A. Services shall include, but not be limited to: motion practice; pre-trial discovery; trial; appeals; transactional related issues; and such other Services as may be required to fully represent the County. Except as otherwise provided in this Agreement, such Services shall conclude no earlier than entry of a verdict or a settlement or of a court-order terminating the litigation.

3. Payment. (a) Amount of Consideration. (1) The amount to be paid to Counsel as full consideration for Counsel's Services for at least during the initial stages of representation under this Agreement, including disbursements, shall not exceed the sum of Two Hundred Fifty Thousand Dollars (\$250,000.00) ("Maximum Amount"). Compensation for professional services shall be paid at an hourly rate according to the following fee schedule:

- | | |
|----------------------------|----------|
| (i) Partner/Of Counsel: | \$275.00 |
| (ii) Associate: | \$255.00 |
| (iii) Paralegal/Law Clerk: | \$90.00 |

(2) Any appearances before the County Legislature, or any committee thereof for the purpose of the approval of this Agreement or any amendment thereto, are to be construed as part of the fee negotiation and approval process and Counsel agrees that no fee will be charged for any such

appearances.

(3) Partial Encumbrance. Counsel acknowledges that the County will partially encumber funds to be applied toward the Maximum Amount throughout the term of this Agreement. Counsel further acknowledges that the first encumbrance shall be One Hundred Twenty-five Thousand Dollars (\$125,000.00). Thereafter, the Department shall notify Counsel of the availability of monies, which written notice shall include the amount encumbered. Such notification shall serve as notice to proceed.

(b) Vouchers; Voucher Review, Approval and Audit. Payment shall be made to Counsel in arrears and shall be contingent upon (i) Counsel submitting a claim voucher (the "Voucher") in a form satisfactory to the County, that (a) is accompanied by a contemporaneous record of hours billed stating the person(s) performing the Services and indicating with reasonable specificity, the Services provided and the payment requested in consideration for such Services, or contains a detailed, itemized list of allowable expenses; (b) certifies that the Services rendered and the payment requested are in accordance with this Agreement, and (c) is accompanied by documentation satisfactory to the County supporting the amount claimed, and upon (ii) review, approval and audit of the Voucher by the Department and/or the County Comptroller or his or her duly designated representative (the "Comptroller").

(c) Timing of Payment Claims. Counsel shall submit its claim no later than three (3) months following the County's receipt of the services that are the subject of the claim, and no more frequently than once a month.

(d) Expenses and Disbursement. Counsel shall be compensated within the Maximum Amount for all reasonable expenses and disbursements actually incurred. Counsel shall obtain prior written approval from the County Attorney or his designee for all non-routine expenses and disbursements.

(e) No Duplication of Payments. Payments under this Agreement shall not duplicate payments for any work performed or to be performed under other agreements between Counsel and any funding source including the County.

(f) Payments in Connection with Termination or Notice of Termination. Unless a provision of this Agreement expressly states otherwise, payments to Counsel following the termination of this Agreement shall not exceed payments made as consideration for services that were (i) performed prior to termination, (ii) authorized by this Agreement to be performed, and (iii) not performed after Counsel received notice that the County did not desire to receive such services.

4. Independent Contractor. Counsel is an independent contractor of the County. Counsel shall not, nor shall any officer, director, employee, servant, agent or independent contractor of Counsel (a "Counsel Agent"), be (i) deemed a County employee, (ii) commit the County to any obligation, or (iii) hold itself, himself, or herself out as a County employee or Person with the authority to commit the County to any obligation. As used in this Agreement the word "Person" means any individual person, entity (including partnerships, corporations and limited liability companies), and government or political subdivision thereof (including agencies, bureaus, offices and departments thereof).

5. No Arrears or Default. Counsel is not in arrears to the County upon any debt or contract and it is not in default as surety, contractor, or otherwise upon any obligation to the County, including any obligation to pay taxes to, or perform services for or on behalf of, the County.

6. Compliance with Law. (a) Generally. Counsel shall comply with any and all applicable Federal, State and local Laws, including, but not limited to those relating to conflicts of interest, human rights, a living wage, disclosure of information and vendor registration in connection with its performance under this Agreement. In furtherance of the foregoing, Counsel is bound by and shall comply with the terms of Appendix EE attached hereto and with the County's registration protocol. As used in this Agreement the word "Law" includes any and all statutes, local laws, ordinances, rules, regulations, applicable orders, and/or decrees, as the same may be amended from time to time, enacted, or adopted.

(b) Nassau County Living Wage Law. Pursuant to LL 1-2006, as amended, and to the extent that a waiver has not been obtained in accordance with such law or any rules of the County Executive, Counsel agrees as follows:

(i) Counsel shall comply with the applicable requirements of the Living Wage Law, as amended;

(ii) Failure to comply with the Living Wage Law, as amended, may constitute a material breach of this Agreement, the occurrence of which shall be determined solely by the County. Counsel has the right to cure such breach within thirty days of receipt of notice of breach from the County. In the event that such breach is not timely cured, the County may terminate this Agreement as well as exercise any other rights available to the County under applicable law.

(iii) It shall be a continuing obligation of Counsel to inform the County of any material changes in the content of its certification of compliance, attached to this Agreement as Appendix L, and shall provide to the County any information necessary to maintain the certification's accuracy.

(c) Records Access. The parties acknowledge and agree that all records, information, and data ("Information") acquired in connection with performance or administration of this Agreement shall be used and disclosed solely for the purpose of performance and administration of the contract or as required by law. Counsel acknowledges that Counsel Information in the County's possession may be subject to disclosure under Article 6 of the New York State Public Officer's Law ("Freedom of Information Law" or "FOIL"). In the event that such a request for disclosure is made, the County shall make reasonable efforts to notify Counsel of such request prior to disclosure of the Information so that the Counsel may take such action as it deems appropriate.

7. Ownership of Records. All County Information provided to Counsel by the County shall remain the property of the County. All reports, documents or information created by Counsel on behalf of the County shall be deemed the property of the County. Upon the County's request, completion of Services, or termination of this Agreement, all such County Information, reports, documents or information shall be returned to the County.

8. Service Standards. Regardless of whether required by Law: (a) Counsel shall, and shall cause Counsel Agents to, conduct his or her activities in connection with this Agreement so as

not to endanger or harm any Person or property.

(b) Counsel shall deliver Services under this Agreement in a professional manner consistent with the best practices of the legal profession. Counsel shall take all actions necessary or appropriate to meet the obligation described in the immediately preceding sentence, including obtaining and maintaining, and causing all Counsel Agents to obtain and maintain, all approvals, licenses, and certifications ("Approvals") necessary or appropriate in connection with this Agreement.

9. No Conflict Representation. During the term of this Agreement, Counsel shall not represent any party whose interest is or may be adverse to or in conflict with, or whose interest may appear to be adverse to or in conflict with the County, nor shall it commence any action or proceeding, or act as Counsel in any action or proceeding that is adverse to the County or any County officer or employee, without the County's prior written consent.

10. Indemnification; Defense; Cooperation. (a) Counsel shall indemnify, defend and hold harmless the County, the Department and its officers, employees, and agents (the "Indemnified Parties") from and against any and all liabilities arising out of or in connection with performance under this Agreement by Counsel or a Counsel Agent, provided, however, that the Counsel shall not be responsible for that portion, if any, of a Loss that is caused by the negligence of the County.

(b) Counsel shall, upon the County's demand and at the County's direction, promptly and diligently defend, at Counsel's own risk and expense, any and all suits, actions, or proceedings which may be brought or instituted against one or more Indemnified Parties for which Counsel is responsible under this Section, and, further to Counsel's indemnification obligations, Counsel shall pay and satisfy any judgment, decree, loss or settlement in connection therewith.

(c) Counsel shall, and shall cause Counsel Agents to, cooperate with the County and the Department in connection with the investigation, defense or prosecution of any action, suit or proceeding in connection with this Agreement, including the acts or omissions of Counsel and/or a Counsel Agent in connection with this Agreement.

(d) The provisions of this Section shall survive the termination of this Agreement.

11. Insurance. (a) Types and Amounts. Counsel shall obtain and maintain throughout the term of this Agreement, at its own expense: (i) one or more policies for commercial general liability insurance, which policy(ies) shall name "Nassau County" as an additional insured and have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per occurrence and Two Million Dollars (\$2,000,000.00) aggregate coverage, (ii) if contracting in whole or part to provide professional services, one or more policies for professional liability insurance, which policy(ies) shall have a minimum single combined limit of liability of not less than One Million Dollars (\$1,000,000.00) per claim (iii) compensation insurance for the benefit of the Counsel's employees ("Workers' Compensation Insurance"), which insurance is in compliance with the New York State Workers' Compensation Law, and (iv) such additional insurance as the County may from time to time specify.

(b) Acceptability; Deductibles; Subcontractors. All insurance obtained and maintained by Counsel pursuant to this Agreement shall be (i) written by one or more commercial insurance carriers licensed to do business in New York State and acceptable to the County, and which is (ii) in form and substance acceptable to the County. Counsel shall be solely responsible for the payment of all deductibles to which such policies are subject. Counsel shall require any subcontractor hired in connection with this Agreement to carry insurance with the same limits and provisions required to

be carried by Counsel under this Agreement.

(c) Delivery; Coverage Change; No Inconsistent Action. Prior to the execution of this Agreement, copies of current certificates of insurance evidencing the insurance coverage required by this Agreement shall be delivered to the Department. Not less than thirty (30) days prior to the date of any expiration or renewal of, or actual, proposed or threatened reduction or cancellation of coverage under, any insurance required hereunder, Counsel shall provide written notice to the Department of the same and deliver to the Department renewal or replacement certificates of insurance. Counsel shall cause all insurance to remain in full force and effect throughout the term of this Agreement and shall not take or omit to take any action that would suspend or invalidate any of the required coverages. The failure of Counsel to maintain Workers' Compensation Insurance shall render this contract void and of no effect. The failure of Counsel to maintain the other required coverages shall be deemed a material breach of this Agreement upon which the County reserves the right to consider this Agreement terminated as of the date of such failure.

12. Assignment; Amendment; Waiver; Subcontracting. This Agreement and the rights and obligations hereunder may not be in whole or part (i) assigned, transferred or disposed of, (ii) amended, (iii) waived, or (iv) subcontracted, without the prior written consent of the County Executive or his or her duly designated deputy (the "County Executive"), and any purported assignment, other disposal or modification without such prior written consent shall be null and void. The failure of a party to assert any of its rights under this Agreement, including the right to demand strict performance, shall not constitute a waiver of such rights.

13. Termination. (a) Generally. This Agreement may be terminated (i) for any reason by the County upon thirty (30) days' written notice to Counsel, (ii) for "Cause" by the County immediately upon the receipt by Counsel of written notice of termination, (iii) upon mutual written Agreement of the County and the Counsel, and (iv) in accordance with any other provisions of this Agreement expressly addressing termination.

As used in this Agreement the word "Cause" includes: (i) a breach of this Agreement; (ii) the failure to obtain and maintain in full force and effect all Approvals required for the services described in this Agreement to be legally and professionally rendered; and (iii) the termination or impending termination of federal or state funding for the services to be provided under this Agreement.

(b) By Counsel. This Agreement may be terminated by Counsel if performance becomes impracticable through no fault of the Counsel, where the impracticability relates to Counsel's ability to perform its obligations and not to a judgment as to convenience or the desirability of continued performance. Termination under this subsection shall be effected by Counsel delivering to the commissioner or other head of the Department (the "Commissioner"), at least sixty (60) days prior to the termination date (or a shorter period if sixty days' notice is impossible), a notice stating (i) that Counsel is terminating this Agreement in accordance with this subsection, (ii) the date as of which this Agreement will terminate, and (iii) the facts giving rise to the Counsel's right to terminate under this subsection. A copy of the notice given to the Commissioner shall be given to the Deputy County Executive who oversees the administration of the Department (the "Applicable DCE") on the same day that notice is given to the Commissioner.

(c) Counsel Assistance upon Termination. In connection with the termination or impending termination of this Agreement the Counsel shall, regardless of the reason for termination, take all actions reasonably requested by the County (including those set forth in other provisions of this

Agreement) to assist the County in transitioning Counsel's responsibilities under this Agreement. The provisions of this subsection shall survive the termination of this Agreement.

14. Accounting Procedures; Records. Counsel shall maintain and retain, for a period of six (6) years following the later of termination of or final payment under this Agreement, complete and accurate records, documents, accounts and other evidence, whether maintained electronically or manually ("Records"), pertinent to performance under this Agreement. Records shall be maintained in accordance with Generally Accepted Accounting Principles or such other standard accounting principles that are acceptable within the industry and, if Counsel is a non-profit entity, must comply with the accounting guidelines set forth in the federal Office of Management & Budget Circular A-122, "Cost Principles for Non-Profit Organizations." Such Records shall at all times be available for audit and inspection by the Comptroller, the Department, any other governmental authority with jurisdiction over the provision of services hereunder and/or the payment therefore, and any of their duly designated representatives. The provisions of this Section shall survive the termination of this Agreement.

15. Limitations on Actions and Special Proceedings against the County. No action or special proceeding shall lie or be prosecuted or maintained against the County upon any claims arising out of or in connection with this Agreement unless:

(a) Notice. At least thirty (30) days prior to seeking relief, Counsel shall have presented the demand or claim(s) upon which such action or special proceeding is based in writing to the Applicable DCE for adjustment and the County shall have neglected or refused to make an adjustment or payment on the demand or claim for thirty (30) days after presentment. Counsel shall send or deliver copies of the documents presented to the Applicable DCE under this Section to each of (i) the Department and the (ii) the County Attorney (at the address specified above for the County) on the same day that documents are sent or delivered to the Applicable DCE. The complaint or necessary moving papers of Counsel shall allege that the above-described actions and inactions preceded Counsel's action or special proceeding against the County.

(b) Time Limitation. Such action or special proceeding is commenced within the earlier of (i) one (1) year of the first to occur of (A) final payment under or the termination of this Agreement, and (B) the accrual of the cause of action, and (ii) the time specified in any other provision of this Agreement.

16. Work Performance Liability. The Counsel is and shall remain primarily liable for the successful completion of all work in accordance this Agreement irrespective of whether the Counsel is using a Counsel Agent to perform some or all of the work contemplated by this Agreement, and irrespective of whether the use of such Counsel Agent has been approved by the County.

17. Consent to Jurisdiction and Venue; Governing Law. Unless otherwise specified in this Agreement or required by Law, exclusive original jurisdiction for all claims or actions with respect to this Agreement shall be in the Supreme Court in Nassau County in New York State and the parties expressly waive any objections to the same on any grounds, including venue and forum non conveniens. This Agreement is intended as a contract under, and shall be governed and construed in accordance with, the Laws of New York State, without regard to the conflict of laws provisions thereof.

18. Notices. Any notice, request, demand or other communication required to be given or made in connection with this Agreement shall be (a) in writing, (b) delivered or sent (i) by hand delivery, evidenced by a signed, dated receipt, (ii) postage prepaid via certified mail, return receipt

requested, or (iii) overnight delivery via a nationally recognized courier service, (c) deemed given or made on the date the delivery receipt was signed by a County employee, three (3) business days after it is mailed or one (1) business day after it is released to a courier service, as applicable, and (d)(i) if to the Department, to the attention of the Commissioner at the address specified above for the Department, (ii) if to an Applicable DCE, to the attention of the Applicable DCE (whose name Counsel shall obtain from the Department) at the address specified above for the County, (iii) if to the Comptroller, to the attention of the Comptroller at 240 Old Country Road, Mineola, NY 11501, and (iv) if to Counsel, to the attention of the person who executed this Agreement on behalf of Counsel at the address specified above for Counsel, or in each case to such other persons or addresses as shall be designated by written notice.

19. All Legal Provisions Deemed Included; Severability; Supremacy. (a) Every provision required by Law to be inserted into or referenced by this Agreement is intended to be a part of this Agreement. If any such provision is not inserted or referenced or is not inserted or referenced in correct form then (i) such provision shall be deemed inserted into or referenced by this Agreement for purposes of interpretation and (ii) upon the application of either party this Agreement shall be formally amended to comply strictly with the Law, without prejudice to the rights of either party.

(b) In the event that any provision of this Agreement shall be held to be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

(c) Unless the application of this subsection will cause a provision required by Law to be excluded from this Agreement, in the event of an actual conflict between the terms and conditions set forth above the signature page to this Agreement and those contained in any schedule, exhibit, appendix, or attachment to this Agreement, the terms and conditions set forth above the signature page shall control. To the extent possible, all the terms of this Agreement should be read together as not conflicting.

(d) Each party has cooperated in the negotiation and preparation of this Agreement. Therefore, in the event that construction of this Agreement occurs, it shall not be construed against either party as drafter.

20. Section and Other Headings. The section and other headings contained in this Agreement are for reference purposes only and shall not affect the meaning or interpretation of this Agreement.

21. Administrative Service Charge. Counsel agrees to pay the County an administrative service charge of Five Hundred Thirty-three Dollars (\$533.00) for the processing of this Agreement pursuant to Ordinance Number 74-1979, as amended by Ordinance Number 128-2006. The administrative service charge shall be due and payable to the County by Counsel upon signing this Agreement.

22. Executory Clause. Notwithstanding any other provision of this Agreement:

(a) Approval and Execution. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person unless (i) all requisite County and other governmental approvals have been obtained, including, if required, approval by the County Legislature and (ii) this Agreement has been executed by the County Executive (as defined in this Agreement).

(b) Availability of Funds. The County shall have no liability under this Agreement (including any extension or other modification of this Agreement) to any Person beyond funds appropriated or otherwise lawfully available for this Agreement, and, if any portion of the funds for this Agreement are from the state and/or federal governments, then beyond funds available to the County from the state and/or federal governments.

(c) NIFA Approval. County contracts with a Maximum Amount equal to or greater than Fifty Thousand Dollars (\$50,000.00) require the approval of the Nassau County Interim Finance Authority ("NIFA") during the control period declared by NIFA on January 26, 2011, with limited exceptions. NIFA also requires that when the aggregate of contracts issued to a particular vendor for the provision of similar services is equal to or greater than \$50,000 in any 12-month period they be subject to NIFA approval even if each individual contract is less than \$50,000. NIFA has advised the County that NIFA's approval is subject, among other things, to the following limitation: payment to Counsel under this Agreement for Services, including related expenses and disbursements, rendered prior to the later of (i) the date of NIFA approval or (ii) full execution of the Agreement (such date, the "Approval Date") shall not exceed the sum of Fifty Thousand Dollars (\$50,000.00). Counsel therefore acknowledges that charges incurred over Fifty Thousand Dollars (\$50,000.00) prior to the Approval Date shall not be approved by NIFA, and shall not be paid by the County, unless NIFA makes an exception to its policy. Accordingly, to mitigate against exposure, Counsel shall provide the following notice to the Department:

- (A) If Counsel anticipates incurring costs in excess of Fifty Thousand Dollars (\$50,000.00) prior to the Approval Date, Counsel shall provide written notice to the Department at least Forty-five (45) days prior to the date on which Counsel anticipates reaching the Fifty Thousand Dollar (\$50,000.00) cap.
- (B) If Counsel has reached or anticipates reaching the Fifty Thousand Dollar (\$50,000.00) cap prior to the Approval Date, and in less than Forty-five (45) days, Counsel shall provide the Department with immediate written notice.

Upon receipt of such notice, the Department will review and advise Counsel of the Department's intended course of action, which, in appropriate cases as determined by the Department, may include seeking a waiver from NIFA.

23. Entire Agreement. This Agreement represents the full and entire understanding and agreement between the parties with regard to the subject matter hereof and supersedes all prior agreements (whether written or oral) of the parties relating to the subject matter of this Agreement.

[Remainder of Page Intentionally Left Blank.]

IN WITNESS WHEREOF, Counsel and the County have executed this Agreement as of the Effective Date.

WILSON ELSEER MOSKOWITZ EDELMAN &
DICKER LLP

By: *[Signature]*

Name: ROBERT A SPOLZIELLO

Title: PARTNER

Date: 6/8/18

NASSAU COUNTY

By: _____

Name: _____

Title: County Executive

☐ Deputy County Executive

Date: _____

PLEASE EXECUTE IN BLUE INK

STATE OF NEW YORK)

)ss.:
COUNTY OF NASSAU)

On the 3rd day of June in the year 2016 before me personally came Robert Spolzingo to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of [REDACTED]; that he or she is the partner of Wilson Elser, the corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto by authority of the board of directors of said corporation.


NOTARY PUBLIC

RIMMA ALTSHULER
NOTARY PUBLIC, State of New York
No. 01AL6155219
Qualified in Westchester County
Commission Expires November 6, 2018

STATE OF NEW YORK)

)ss.:
COUNTY OF NASSAU)

On the _____ day of _____ in the year 20____ before me personally came _____ to me personally known, who, being by me duly sworn, did depose and say that he or she resides in the County of _____; that he or she is a **County Executive of the County of Nassau**, the municipal corporation described herein and which executed the above instrument; and that he or she signed his or her name thereto pursuant to Section 205 of the County Government Law of Nassau County.

NOTARY PUBLIC

Appendix A

Case assigned to Counsel as of the commencement of this Agreement:

JOHN RESTIVO and DENNIS HALSTEAD, Plaintiffs, v. NASSAU COUNTY, a municipality; NASSAU COUNTY ATTORNEY'S OFFICE; CARNELL FOSKEY, in his official capacity; et al., Index No. 16-cv-2492

Additional cases and/or matters may be assigned by the County Attorney, or their designee, to Counsel throughout the term of this Agreement in the following areas of law in which Counsel has been determined to be qualified by the Department:

1. Appellate
2. Bankruptcy
3. Commercial Litigation
4. Construction Litigation
5. Employment and Labor
6. Environmental
7. Federal Civil Rights Section 1983
8. Municipal
9. Real Property

The Department may qualify Counsel in additional areas of law.

Appendix EE

Equal Employment Opportunities for Minorities and Women

The provisions of this Appendix EE are hereby made a part of the document to which it is attached.

The Contractor shall comply with all federal, State and local statutory and constitutional anti-discrimination provisions. In addition, Local Law No. 14-2002, entitled "Participation by Minority Group Members and Women in Nassau County Contracts," governs all County Contracts as defined herein and solicitations for bids or proposals for County Contracts. In accordance with Local Law 14-2002:

- (a) The Contractor shall not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status in recruitment, employment, job assignments, promotions, upgradings, demotions, transfers, layoffs, terminations, and rates of pay or other forms of compensation. The Contractor will undertake or continue existing programs related to recruitment, employment, job assignments, promotions, upgradings, transfers, and rates of pay or other forms of compensation to ensure that minority group members and women are afforded equal employment opportunities without discrimination.
- (b) At the request of the County contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, union, or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability, or marital status and that such employment agency, labor union, or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- (c) The Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the County Contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- (d) The Contractor shall make best efforts to solicit active participation by certified minority or women-owned business enterprises ("Certified M/WBEs") as defined in Section 101 of Local Law No. 14-2002, for the purpose of granting of Subcontracts.
- (e) The Contractor shall, in its advertisements and solicitations for Subcontractors, indicate its interest in receiving bids from Certified M/WBEs and the requirement that Subcontractors must be equal opportunity employers.
- (f) Contractors must notify and receive approval from the respective Department Head prior to issuing any Subcontracts and, at the time of requesting such authorization, must submit a signed Best Efforts Checklist.

- (g) Contractors for projects under the supervision of the County's Department of Public Works shall also submit a utilization plan listing all proposed Subcontractors so that, to the greatest extent feasible, all Subcontractors will be approved prior to commencement of work. Any additions or changes to the list of subcontractors under the utilization plan shall be approved by the Commissioner of the Department of Public Works when made. A copy of the utilization plan any additions or changes thereto shall be submitted by the Contractor to the Office of Minority Affairs simultaneously with the submission to the Department of Public Works.
- (h) At any time after Subcontractor approval has been requested and prior to being granted, the contracting agency may require the Contractor to submit Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises. In addition, the contracting agency may require the Contractor to submit such documentation at any time after Subcontractor approval when the contracting agency has reasonable cause to believe that the existing Best Efforts Checklist may be inaccurate. Within ten working days (10) of any such request by the contracting agency, the Contractor must submit Documentation.
- (i) In the case where a request is made by the contracting agency or a Deputy County Executive acting on behalf of the contracting agency, the Contractor must, within two (2) working days of such request, submit evidence to demonstrate that it employed Best Efforts to obtain Certified M/WBE participation through proper documentation.
- (j) Award of a County Contract alone shall not be deemed or interpreted as approval of all Contractor's Subcontracts and Contractor's fulfillment of Best Efforts to obtain participation by Certified M/WBEs.
- (k) A Contractor shall maintain Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises for a period of six (6) years. Failure to maintain such records shall be deemed failure to make Best Efforts to comply with this Appendix EE, evidence of false certification as M/WBE compliant or considered breach of the County Contract.
- (l) The Contractor shall be bound by the provisions of Section 109 of Local Law No. 14-2002 providing for enforcement of violations as follows:
 - a. Upon receipt by the Executive Director of a complaint from a contracting agency that a County Contractor has failed to comply with the provisions of Local Law

No. 14-2002, this Appendix EE or any other contractual provisions included in furtherance of Local Law No. 14-2002, the Executive Director will try to resolve the matter.

- b. If efforts to resolve such matter to the satisfaction of all parties are unsuccessful, the Executive Director shall refer the matter, within thirty days (30) of receipt of the complaint, to the American Arbitration Association for proceeding thereon.
- c. Upon conclusion of the arbitration proceedings, the arbitrator shall submit to the Executive Director his recommendations regarding the imposition of sanctions, fines or penalties. The Executive Director shall either (i) adopt the recommendation of the arbitrator (ii) determine that no sanctions, fines or penalties should be imposed or (iii) modify the recommendation of the arbitrator, provided that such modification shall not expand upon any sanction recommended or impose any new sanction, or increase the amount of any recommended fine or penalty. The Executive Director, within ten days (10) of receipt of the arbitrators award and recommendations, shall file a determination of such matter and shall cause a copy of such determination to be served upon the respondent by personal service or by certified mail return receipt requested. The award of the arbitrator, and the fines and penalties imposed by the Executive Director, shall be final determinations and may only be vacated or modified as provided in the civil practice law and rules ("CPLR").

(m) The contractor shall provide contracting agency with information regarding all subcontracts awarded under any County Contract, including the amount of compensation paid to each Subcontractor and shall complete all forms provided by the Executive Director or the Department Head relating to subcontractor utilization and efforts to obtain M/WBE participation.

Failure to comply with provisions (a) through (m) above, as ultimately determined by the Executive Director, shall be a material breach of the contract constituting grounds for immediate termination. Once a final determination of failure to comply has been reached by the Executive Director, the determination of whether to terminate a contract shall rest with the Deputy County Executive with oversight responsibility for the contracting agency.

Provisions (a), (b) and (c) shall not be binding upon Contractors or Subcontractors in the performance of work or the provision of services or any other activity that are unrelated, separate, or distinct from the County Contract as expressed by its terms.

The requirements of the provisions (a), (b) and (c) shall not apply to any employment or application for employment outside of this County or solicitations or advertisements therefor or any existing programs of affirmative action regarding employment outside of this County and the effect of contract provisions required by these provisions (a), (b) and (c) shall be so limited.

The Contractor shall include provisions (a), (b) and (c) in every Subcontract in such a

manner that these provisions shall be binding upon each Subcontractor as to work in connection with the County Contract.

As used in this Appendix EE the term "Best Efforts Checklist" shall mean a list signed by the Contractor, listing the procedures it has undertaken to procure Subcontractors in accordance with this Appendix EE.

As used in this Appendix EE the term "County Contract" shall mean (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of twenty-five thousand dollars (\$25,000), whereby a County contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the County; or (ii) a written agreement in excess of one hundred thousand dollars (\$100,000), whereby a County contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon. However, the term "County Contract" does not include agreements or orders for the following services: banking services, insurance policies or contracts, or contracts with a County contracting agency for the sale of bonds, notes or other securities.

As used in this Appendix EE the term "County Contractor" means an individual, business enterprise, including sole proprietorship, partnership, corporation, not-for-profit corporation, or any other person or entity other than the County, whether a contractor, licensor, licensee or any other party, that is (i) a party to a County Contract, (ii) a bidder in connection with the award of a County Contract, or (iii) a proposed party to a County Contract, but shall not include any Subcontractor.

As used in this Appendix EE the term "County Contractor" shall mean a person or firm who will manage and be responsible for an entire contracted project.

As used in this Appendix EE "Documentation Demonstrating Best Efforts to Obtain Certified Minority or Women-owned Business Enterprises" shall include, but is not limited to the following:

- a. Proof of having advertised for bids, where appropriate, in minority publications, trade newspapers/notices and magazines, trade and union publications, and publications of general circulation in Nassau County and surrounding areas or having verbally solicited M/WBEs whom the County Contractor reasonably believed might have the qualifications to do the work. A copy of the advertisement, if used, shall be included to demonstrate that it contained language indicating that the County Contractor welcomed bids and quotes from M/WBE Subcontractors. In addition, proof of the date(s) any such advertisements appeared must be included in the Best Effort Documentation. If verbal solicitation is used, a County Contractor's affidavit with a notary's signature and stamp shall be required as part of the documentation.
- b. Proof of having provided reasonable time for M/WBE Subcontractors to respond to bid opportunities according to industry norms and standards. A chart outlining

- the schedule/time frame used to obtain bids from M/WBEs is suggested to be included with the Best Effort Documentation
- c. Proof or affidavit of follow-up of telephone calls with potential M/WBE subcontractors encouraging their participation. Telephone logs indicating such action can be included with the Best Effort Documentation
 - d. Proof or affidavit that M/WBE Subcontractors were allowed to review bid specifications, blue prints and all other bid/RFP related items at no charge to the M/WBEs, other than reasonable documentation costs incurred by the County Contractor that are passed onto the M/WBE.
 - e. Proof or affidavit that sufficient time prior to making award was allowed for M/WBEs to participate effectively, to the extent practicable given the timeframe of the County Contract.
 - f. Proof or affidavit that negotiations were held in good faith with interested M/WBEs, and that M/WBEs were not rejected as unqualified or unacceptable without sound business reasons based on (1) a thorough investigation of M/WBE qualifications and capabilities reviewed against industry custom and standards and (2) cost of performance. The basis for rejecting any M/WBE deemed unqualified by the County Contractor shall be included in the Best Effort Documentation
 - g. If an M/WBE is rejected based on cost, the County Contractor must submit a list of all sub-bidders for each item of work solicited and their bid prices for the work.
 - h. The conditions of performance expected of Subcontractors by the County Contractor must also be included with the Best Effort Documentation
 - i. County Contractors may include any other type of documentation they feel necessary to further demonstrate their Best Efforts regarding their bid documents.

As used in this Appendix EE the term "Executive Director" shall mean the Executive Director of the Nassau County Office of Minority Affairs; provided, however, that Executive Director shall include a designee of the Executive Director except in the case of final determinations issued pursuant to Section (a) through (l) of these rules.

As used in this Appendix EE the term "Subcontract" shall mean an agreement consisting of part or parts of the contracted work of the County Contractor.

As used in this Appendix EE, the term "Subcontractor" shall mean a person or firm who performs part or parts of the contracted work of a prime contractor providing services, including construction

services, to the County pursuant to a county contract. Subcontractor shall include a person or firm that provides labor, professional or other services, materials or supplies to a prime contractor that are necessary for the prime contractor to fulfill its obligations to provide services to the County pursuant to a county contract. Subcontractor shall not include a supplier of materials to a contractor who has contracted to provide goods but no services to the County, nor a supplier of incidental materials to a contractor, such as office supplies, tools and other items of nominal cost that are utilized in the performance of a service contract.

Provisions requiring contractors to retain or submit documentation of best efforts to utilize certified subcontractors and requiring Department head approval prior to subcontracting shall not apply to inter-governmental agreements. In addition, the tracking of expenditures of County dollars by not-for-profit corporations, other municipalities, States, or the federal government is not required.

Appendix L

Certificate of Compliance

In compliance with Local Law 1-2006, as amended (the "Law"), Counsel hereby certifies the following:

1. The chief executive officer of Contractor is:

DANIEL J. McMAHON

(Name)

55 WEST MADISON STREET, SUITE 3500, CHICAGO, IL 60607

(Address)

312-704-0570

(Telephone Number)

2. The Contractor agrees to either (1) comply with the requirements of the Nassau County Living Wage Law or (2) as applicable, obtain a waiver of the requirements of the Law pursuant to section 9 of the Law. In the event that the Contractor does not comply with the requirements of the Law or obtain a waiver of the requirements of the Law, and such Contractor establishes to the satisfaction of the Department that at the time of execution of this Agreement, it had a reasonable certainty that it would receive such waiver based on the Law and Rules pertaining to waivers, the County will agree to terminate the contract without imposing costs or seeking damages against the Contractor

3. In the past five years, Contractor _____ has X has not been found by a court or a government agency to have violated federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If a violation has been assessed against the Contractor, describe below:

4. In the past five years, an administrative proceeding, investigation, or government body-

initiated judicial action _____ has X has not been commenced against or relating to the Contractor in connection with federal, state, or local laws regulating payment of wages or benefits, labor relations, or occupational safety and health. If such a proceeding, action, or investigation has been commenced, describe below:

5. Contractor agrees to permit access to work sites and relevant payroll records by authorized County representatives for the purpose of monitoring compliance with the Living Wage Law and investigating employee complaints of noncompliance.

I hereby certify that I have read the foregoing statement and, to the best of my knowledge and belief, it is true, correct and complete. Any statement or representation made herein shall be accurate and true as of the date stated below.

Dated

6/6/16

Signature of Chief Executive Officer

DANIEL J. McMATTON
Name of Chief Executive Officer

Sworn to before me this

6 day of June, 2016
Stephanie Nicole Reda
Notary Public

STÉPHANIE NICOLE REDA
NOTARY PUBLIC-STATE OF NEW YORK
No. 02RE6314816
Qualified in Westchester County
My Commission Expires November 17, 2018