



Nassau County  
Department of Public Works

**Staff Summary**

**B-03-16**

Subject : County Court Boiler Replacement Project 262 Old Country Road, Mineola	
Department : Public Works	
Department Head Name Shila Shah-Gavnoudias, Commissioner	
Department Head Signature 	
Project Manager Name:	Joseph Amerigo
Phone Number:	571-6804

Date: October 30, 2015
Vendor Name: ACS System Associates, Inc.
Contract Number: B90611-06G
Personal Services <input type="checkbox"/> Blanket Res <input type="checkbox"/> Calendar <input type="checkbox"/> Bid <input checked="" type="checkbox"/> Rules Comm. <input type="checkbox"/>
Contract Manager Name: Adrian Cavanagh Phone Number: 571-6872

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
12/1/15 SS	Dept. Head		Counsel to C.E.
12/7/15 SS	Budget	12/15/2015 SS	County Atty.
12/15/15	Deputy C.E.		County Exec.

**Narrative**

Purpose:

The replacement of two (2) steam boilers, burners and control panels, and boiler room drainage improvements. The work also includes selective demolition, asbestos abatement, new floor drains and restoration of surfaces and finishes, as well as electrical work.

Discussion:

A total of three (3) firms bid. The contract was publicly bid in accordance with General Municipal Law 103. ACS System Associates, Inc. was the lowest responsible bidder with a base bid in the amount of \$1,602,000.00 and a bid for Alternate No. 1 in the amount of \$397,000 for a total of \$1,999,000.00.

Impact on Funding:

The contract provides for the expenditure of \$1,999,000.00. Bids were opened on October 13, 2015. The lowest responsible bidder, ACS System Associates, Inc. has provided the County with a bid proposal which meets the Departments requirements. Funds for this contract are available in Capital Project No. 90611.

Recommendation:

The Department recommends this contract be approved as submitted.

RECEIVED  
J. Amato 12/15/15  
WAVE SECTION  
(SUBJECT TO INSURANCE & BONDS)  
61-21 DEC 28 2015  
RECEIVED  
CLERK OF THE LEGISLATURE  
NASSAU COUNTY

**B-03-16**

RO -2015

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND  
EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON  
BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND  
ACS SYSTEM ASSOCIATES, INC.

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract B90611-06G, for BOILER REPLACEMENT AT NASSAU COUNTY COURT, 262 OLD COUNTRY ROAD, MINEOLA ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of ACS SYSTEM ASSOCIATES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 1,999,000.00 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.

COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Rob Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** November 30, 2015

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: B90611-06G**

**Title: BOILER REPLACEMENT AT NASSAU COUNTY COURT, 262 OLD  
COUNTRY ROAD, MINEOLA**

**Bids received on: October 13, 2015**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **ACS System Associates, Inc.**, as the lowest responsible bidder with a base bid amount of \$ **1,602,000** and a bid for Alternate # 1 in the amount of \$ **397,000** for total bid amount of \$ **1,999,000**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gavnoudias  
Commissioner



## REQUEST TO INITIATE

RTI Number 15-0225REQUEST FOR QUALIFICATIONS / REQUEST FOR PROPOSAL / REQUEST FOR BID CONTRACTPART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: Boiler Replacement at Nassau County CourtDepartment: Public Works Project Manager: Adrian CavanaghDate: July 14, 2015Service Requested: The Department of Public Works desires to procure a construction contract to replace boilers at the Nassau County Court, 262 Old Country Road, Mineola, NY 11501Justification: The existing 30 year old boilers are at, or near, the end of their useful life and need to be replaced.Requested by: Department of Public Works - Buildings Unit

Department Agency/Office

Project Cost for this Phase/Contract: (Plan / Design / Construction / CM / Equipment) \$1,500,000

Circle appropriate phase

Total Project Cost: \$2.1M

Includes: Design, Construction and CM

Date Start Work: November 2015

Phase being requested

Duration: 9 months

Phase being requested

Capital Funding Approval: ☒ YES ☐ NO

SIGNATURE

DATE

Funding Allocation (Capital Project):

SEE ATTACHED SHEET IF MULTYEAR ☐

NIFS Entered:

SIGNATURE

DATE

AIM Entered:

SIGNATURE

DATE

Funding Code:

USE THIS ON ALL ENCUMBRANCE

Timesheet Code:

USE THIS ON TIMESHEETS

State Environmental Quality Review Act (SEQRA):

Type II Action ☒ or Environmental Assessment Form Required ☐

Supplemental Environmental Documentation

Department Head Approval:

☒ YES☐ NO

SIGNATURE

DCE/Ops Approval:

☐ YES☐ NO

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

SEE ATTACHED SHEET ☐

Vendor	Quote	Comment	Total
	Bid	Alt #1	
1. ACS System Associates Inc.	\$1,602,000.00	\$397,000.00	\$1,999,000.00
2. Rocon Plumbing & Heating Corp.	\$2,650,000.00	\$229,000.00	\$2,888,000.00
3. E&A Restoration Inc.	\$2,850,000.00	\$450,576.00	\$3,300,764.00
4.			
5.			

DCE/Ops Approval:

YES ☐NO ☐

Signature

SSG:RM:ss

Version January 2014

**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Kenneth G. Arnold, Assistant to Commissioner

**FROM:** Rakhal Maitra, Deputy Commissioner

**DATE:** October 30, 2015

**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No.: B90611-06G**

**Title: County Court Boiler Replacement Project**

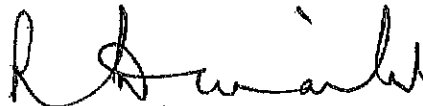
**Engineer's Estimate: Base Bid \$1,500,000.00, Alt #1 \$400,000**

**Bids Received On: October 13, 2015**

The bids received for the above-mentioned contract has been examined and the Base Bid in the amount of \$1,602,000.00 and Alternate No 1 in the amount of \$397,000.00 submitted by ACS System Associates, Inc. is acceptable as the lowest responsible bidder.

Since the low bid is within six percent (6%) of the Engineer's estimate and adequate funds are available (Capital Project No. 90611), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached herewith please find a completed Staff Summary form for your information and use.



Rakhal Maitra  
Deputy Commissioner

RM:ss

Attachment

c: Adrian Cavanagh, Mechanical Engineer III  
Joseph Amerigo, Civil Engineer II  
Brent, Chow, Jacobs



COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo

**TO:** Division of Administration  
Att: Kenneth G. Arnold, Assistant to Commissioner

**FROM:** Division of Engineering  
Buildings Unit

**DATE:** November 20, 2015

**SUBJECT:** Bid Contract with ACS System Associates, Inc.  
Boiler Replacement at Nassau County Court  
Proposed Agreement No. B90611-06G

This memo is to confirm the transmittal by hand of the following documents for your review and processing on the above date:

- Staff Summary
- Request to Initiate Form
- CSEA Notification Memo
- Recommendation of Award Memo, dated October 30, 2015
- Contractor's Proposal
- NIFA Approval Form
- Consultant, Contractors, and Vendors Disclosure Form
- Lobbyist Registration and Disclosure Forms
- Certification regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- Business History and Principal Questionnaire Forms
- Qualifications Statement

Your prompt processing of the attached Bid Contract would be appreciated in order to enable the earliest possible assignment to a Legislative Rules Committee Meeting agenda.

Please contact the undersigned should you have any questions concerning the foregoing or should you require additional information.

  
Richard P. Millet  
Chief Deputy Commissioner

RPM:ss  
Attachments

c Rakhal Maitra, Deputy Commissioner  
Loretta Dionisio, Hydrogeologist II  
Brent Chow, Jacobs



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

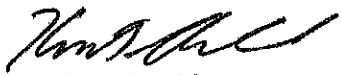
**DATE:** July 20, 2015

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Proposed Contract No: B9061106G

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW authorization is for work, which has "historically and exclusively been performed by bargaining unit members."

1. DPW plans to recommend a contract/agreement for the following services: Replacement of boilers at the Nassau County Courthouse.
2. The work involves the following: Replacement of boilers, associated piping, and boiler controls, as well as replacement of boiler room floor drains and sump pump.
3. An estimate of the cost is: \$1.5 million
4. An estimate of the duration is: Nine (9) Months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.

  
Kenneth G. Arnold  
Assistant to Commissioner

KGA:RM:WSN:ss

c: Christopher Fusco, Director, Office of Labor Relations  
Brian Libert, Deputy Director, Office of Labor Relations  
Keith Cromwell, Office of Labor Relations  
Rakhal Maitra, Deputy Commissioner  
William S. Nimmo, Deputy Commissioner  
Patricia Kivo, Unit Head, Human Resources Unit  
Loretta Dionisio, Hydrogeologist II  
Brent Chow, Jacobs





Nassau DPW B.I.D.S - Summary of Bid Opening

B90611-06G - General Contracting

10/14/2015

Boiler Replacement at Nassau County Court

Bid Opening: 10/13/2015

Engineer: Adrian Cavanagh Phone: (516) 571-6872

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid 1	Alternate Bid 2	Alternate Bid 3	Alternate Bid 4	Alternate Bid 5	Alternate Bid 6	Alternate Bid 7
ACS System Associates, Inc.	160 W Lincoln Avenue Mount Vernon, NY 10550	Fidelity and Deposit Compa	10% Amt Bid	\$1,602,000.00	\$397,000.00	\$647,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Rocoon Plumbing & Heating Corp.	16 First Street Garden City Park, NY 11040	Liberty Mutual Insurance C	10% Amt Bid	\$2,659,000.00	\$229,000.00	\$795,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
E & A Restoration, Inc.	40 Willis Avenue, Suite 200 Syosset, NY 11791	General Casualty Company	10% Amt Bid	\$2,850,000.00	\$450,764.00	\$827,576.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

The preceding is a list of all the bids that were read aloud at the public bid opening. Listed bids may be subsequently withdrawn or disqualified. The list does not reflect the Department's determination of the lowest responsible bidder.

**A C S SYSTEM ASSOCIATES, INC.**

160 West Lincoln Avenue, Mount Vernon, NY, 10550

**BID BOND**

**TEL. # 914-665-5800**

**FAX # 914-664-8772**

**BID BOND**

**FORM OF BID BOND**

**IMPORTANT** The bidder shall instruct the Surety Company to **USE THIS FORM PROVIDED** as the use of **ANY OTHER FORM** may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

ACS System Associates, Inc.

that we, the undersigned

as Principal; and Fidelity and Deposit Company of Maryland as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of Ten Percent of Bid Amount

dollars (\$ 10% of Bid Amount) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed and sealed this 13th day of October 20<sup>15</sup>

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

Contract No. B90611-06G for the

Boiler Replacement at Nassau County Court

NOW, THEREFORE, the conditions of this obligation are such that If the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of ninety (90) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall,

- a. when notified by the County, execute all necessary counterparts of the contract as set forth in the contract documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the contract documents for the faithful performance and proper fulfillment of such contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. In all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience and liquid assets required for the contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.


Provided, however, that this bond is subject to the following additional conditions and limitations.

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the contract documents and specifications herein stated.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

ACS System Associates, Inc.

Contractor

by  (L.S.)  
Title President

(Corporate seal of  
Contractor  
If a corporation)

by \_\_\_\_\_ (L.S.)  
Title \_\_\_\_\_

by \_\_\_\_\_ (L.S.)  
Title \_\_\_\_\_

Fidelity and Deposit Company of Maryland

Surety

by  (L.S.)  
Title of Officer Lisa Nosai, Atty-In-Fact

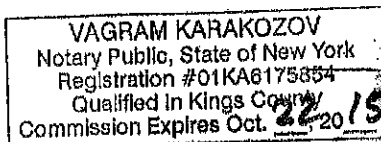
Attest:  (L.S.)  
Title of Officer Stephanie Foy, Assistant

(Corporate seal  
of Surety)

(Acknowledgment by Contractor if a corporation)

STATE OF NEW YORK }  
COUNTY OF WESTCHESTER } ss.:

On this 12th day of OCTOBER, 2015, before me personally came AHMAD REYAZ to me known, who, being by me duly sworn, did depose and say for himself, that he resides in 60 WILDWOOD DRIVE, SYOSSET, NY 11791 that he is the PRESIDENT of the ACS SYSTEM ASSOCIATES, INC. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.



*[Handwritten Signature]*

Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known, and known to me to be a member of \_\_\_\_\_ the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual)

STATE OF \_\_\_\_\_ }  
COUNTY OF \_\_\_\_\_ } ss.:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Surety Company)

STATE OF NEW JERSEY }  
COUNTY OF PASSAIC } SS.:

On this 13th day of October, 2015, before me personally came Lisa Nosal to me known, who being by me duly sworn, did depose and say that he resides in Tolowa, NJ that he is the Atty-In-Fact of the Fidelity and Deposit Company of Maryland, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the State of New York, and the said notary further said that he is acquainted with Lisa Nosal and knows him to be the Atty-In-Fact of said company; that the signature of the said Fidelity and Deposit Company of Maryland subscribed to the within instrument is in the genuine handwriting of the said Lisa Nosal and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said notary

*Stephanie F. Foy*  
Notary Public

STEPHANIE F. FOY  
NOTARY PUBLIC  
STATE OF NEW JERSEY  
MY COMMISSION EXPIRES OCTOBER 27, 2018

**POWER OF ATTORNEY**

**ATTENTION:** Insert the "Power of Attorney" after this page in with your bid submission.

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by **JAMES M. CARROLL, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint **Robert E. CULNEN, Louis A. VLAHAKES and Lisa NOSAL**, all of Totowa, New Jersey, **EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed; any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 11th day of July, A.D. 2012.

ATTEST:

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**



By: *Eric D. Barnes*  
Secretary  
Eric D. Barnes

*James M. Carroll*  
Vice President  
James M. Carroll

State of Maryland  
County of Baltimore

On this 11th day of July, A.D. 2012, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **JAMES M. CARROLL, Vice President**, and **ERIC D. BARNES, Secretary**, of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposed and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

*Maria D. Adamski*

Maria D. Adamski, Notary Public  
My Commission Expires: July 8, 2019







# FIDELITY AND DEPOSIT COMPANY

OF MARYLAND

600 Red Brook Blvd., Suite 600, Owings Mills, MD 21117

## Statement of Financial Condition As Of December 31, 2014

### ASSETS

Bonds.....	\$ 142,720,308
Stocks .....	21,816,223
Cash and Short Term Investments .....	2,077,768
Reinsurance Recoverable .....	10,375,303
Other Accounts Receivable .....	46,778,921
TOTAL ADMITTED ASSETS .....	<u>\$ 223,768,523</u>

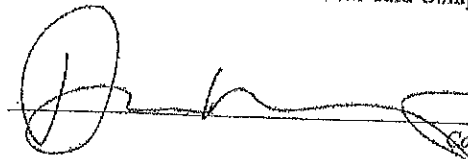
### LIABILITIES, SURPLUS AND OTHER FUNDS

Reserve for Taxes and Expenses .....	\$ 1,321,332
Coded Reinsurance Premiums Payable.....	49,965,411
Securities Lending Collateral Liability.....	4,009,064
TOTAL LIABILITIES .....	<u>\$ 55,295,807</u>
Capital Stock, Paid Up.....	\$ 5,000,000
Surplus.....	163,472,717
Surplus as regards Policyholders.....	168,472,716
TOTAL.....	<u>\$ 223,768,523</u>

Securities carried at \$58,191,540 in the above statement are deposited with various states as required by law.

Securities carried on the basis prescribed by the National Association of Insurance Commissioners. On the basis of market quotations for all bonds and stocks owned, the Company's total admitted assets at December 31, 2014 would be \$227,936,393 and surplus as regards policyholders \$172,640,586.

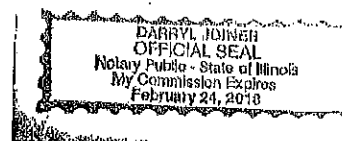
I, DENNIS F. KERRIGAN, Corporate Secretary of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing statement is a correct exhibit of the assets and liabilities of the said Company on the 31st day of December, 2014.

  
Corporate Secretary

State of Illinois }  
City of Schaumburg } SS:

Subscribed and sworn to, before me, a Notary Public of the State of Illinois, in the City of Schaumburg, this 15th day of March, 2015.

  
Notary Public





# NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. **B90611-06G**

Contractor's Name and Address: <b>ACS SYSTEM ASSOCIATES, INC. 160 WEST LINCOLN AVE. MT. VERNON, NY, 10550 Federal ID No.: 13-3197331</b>	Project Description (Project Title, Facility Name and Address): <b>BOILER REPLACEMENT NASSAU COUNTY COURT 262 OLD COUNTRY ROAD MINEOLA, NY</b>	Bid Date: <b>10/13/2015</b>	Total Contract Amt: <b>(BASE)</b> <b>1,602,000.00</b>
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Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

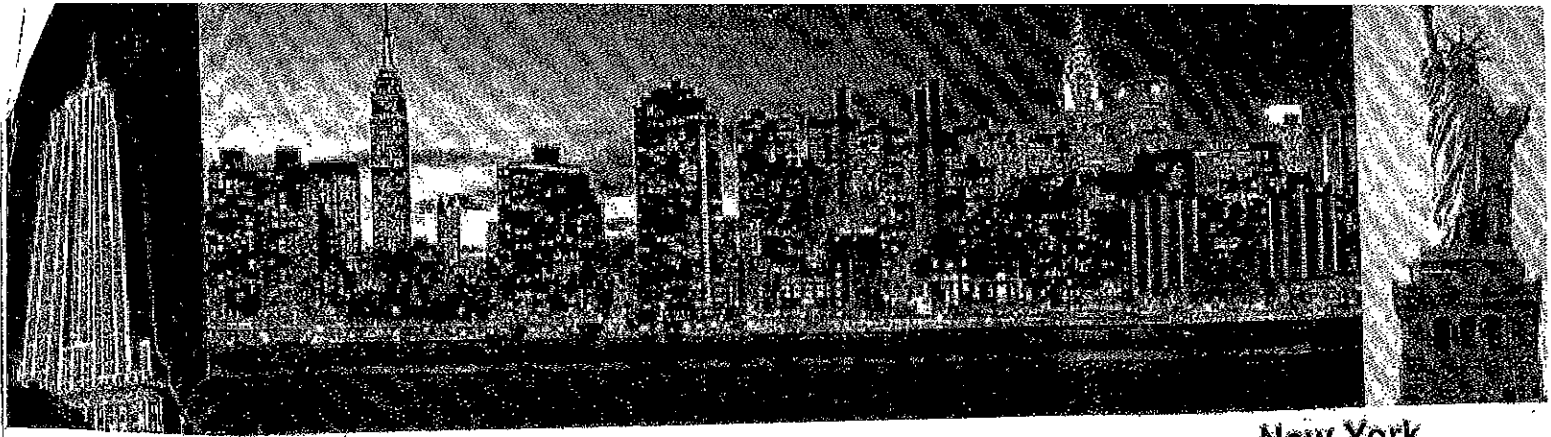
☐ Plumbing and Gas Fitting  
☒ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus  
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box ☐ skip to bottom of form, and sign it as required.

Check (✓) only one.				General Description of Work	Subcontractor's Contract Amt.
Subcontractor's Name, Address and Federal ID No.	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures		
<b>MAINLINE ELECTRIC CORP 295 BROADWAY HUNTINGTON STATION, NY Federal ID No. 11-2547559</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<b>ELECTRICAL WORK</b>	<b>13,000</b>
<b>PAR PLUMBING CO 60 N. PROSPECT AVE. LYNBBROOK, NY 11563 Federal ID No. 11-1165760</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<b>PLUMBING WORK</b>	<b>20,000</b>
<b>Federal ID No.</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.  
Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: **[Signature]** Title: **AHMAD REYAZ, PRESIDENT** Date: **10/12/2015**



New York

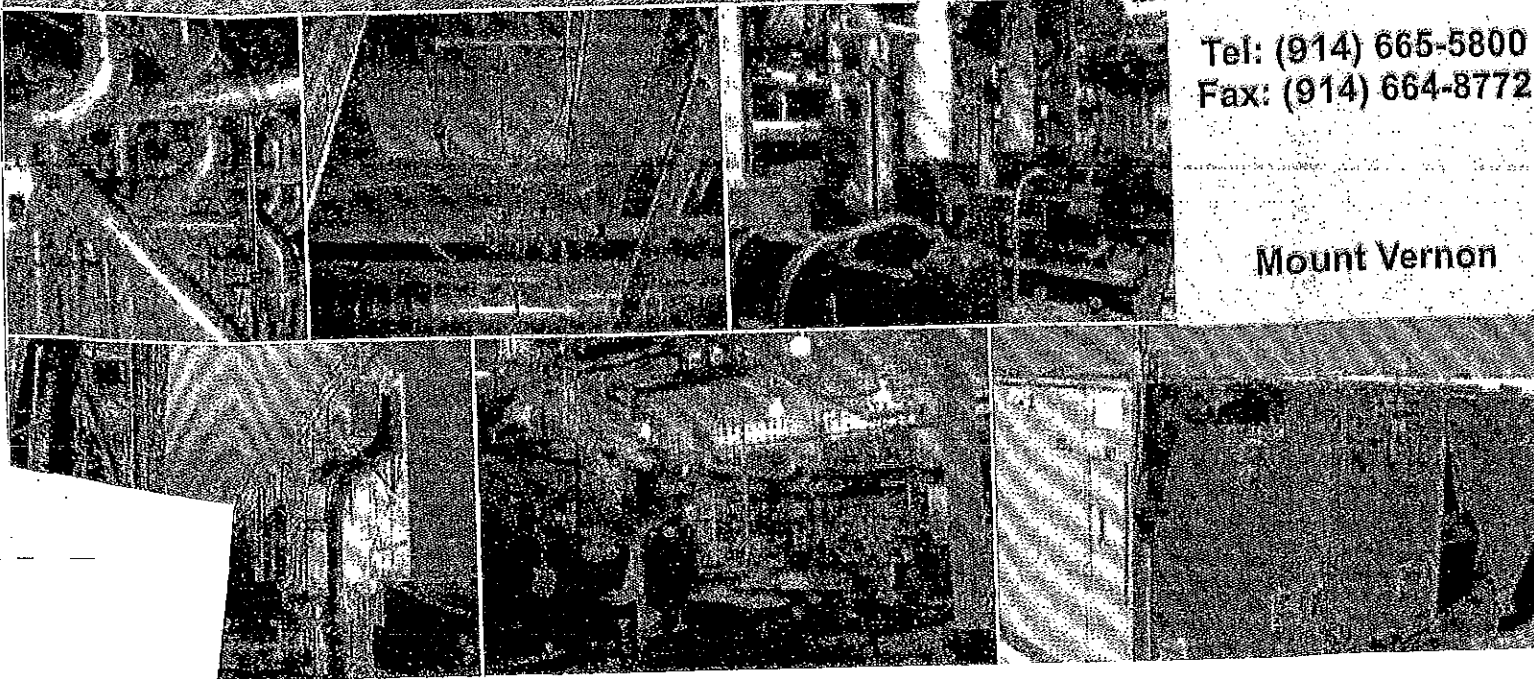


**ACS**  
System Associates Inc

160 West Lincoln Avenue  
Mt Vernon, NY 10550

Tel: (914) 665-5800  
Fax: (914) 664-8772

Mount Vernon



## Gregware, Daniel

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**From:** Chow, Brent K. <Brent.Chow@jacobs.com>  
**Sent:** Monday, December 14, 2015 6:39 PM  
**To:** Dionisio, Loretta V; Gregware, Daniel  
**Cc:** Xenakis, Gus X.; Arnold, Kenneth  
**Subject:** FW: Nassau County Court Boiler Replacement - Contract No. B90611-06G  
**Attachments:** SUCF Email.pdf; SUCF Email (2).pdf; Kostas SUCF Email .pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Please see e-mail below and the attachments as supplemental info with regard to Question 7c on the Principal Questionnaire form submitted by ACS System Associates for the subject bid contract.

I hope that this is responsive to the request for additional info.

Regards,

Brent

### **JACOBS Global Buildings North America**

Brent Chow, CCM, LEED AP| Construction Project Manager | 516.535.1934 desk | 646.943.1801 cell | 516.571.9675 fax | [brent.chow@jacobs.com](mailto:brent.chow@jacobs.com)  
c/o Nassau County DPW  
1194 Prospect Avenue  
Westbury, NY 11590  
[www.jacobs.com](http://www.jacobs.com)

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**From:** dialacs@aol.com [dialacs@aol.com]  
**Sent:** Monday, December 14, 2015 5:47 PM  
**To:** Chow, Brent K.  
**Subject:** Re: Nassau County Court Boiler Replacement - Contract No. B90611-06G

Brent

"Yes " response for Question # 7c pertains to non acceptance of our Bid for Downstate Medical center / SUCF for \$ 8,837,000 as we did not meet that particular Bids's Experience requirement of having a previous similar sized job ( Equal to or over \$ 8,837,000 ) in a Hospital. Please see their email

Even though they acknowledged in the same email as well as previously and subsequent email also that our company was doing a very good job at the same facility albeit at a lower contract value of \$ 4,332,000

All 3 Emails are enclosed herewith for your reference.

Thanks and regards

Ahmad Reyaz  
ACS System Associates Inc.

-----Original Message-----

From: Chow, Brent K. <Brent.Chow@jacobs.com>

To: dialacs <dialacs@aol.com>

Cc: Dionisio, Loretta V <ldionisio@nassaucountyny.gov>; Xenakis, Gus X. <Gus.Xenakis@jacobs.com>; karnold <karnold@nassaucountyny.gov>; Gregware, Daniel <dgregware@nassaucountyny.gov>

Sent: Mon, Dec 14, 2015 2:40 pm

Subject: RE: Nassau County Court Boiler Replacement - Contract No. B90611-06G

Mr. Reyaz, you responded "yes" to question 7.c. on the attached Principal Questionnaire Form. You need to provide an explanation and/or details regarding this response.

The question is very similar to Question 11 in the e-mail below and Question 6. C. on your Qualifications Statement, where in both instances your response was "No".

As per my voicemail, the response is required ASAP today.

Regards,

Brent

**JACOBS Global Buildings North America**

Brent Chow, CCM, LEED AP| Construction Project Manager | 516.535.1934 desk | 646.943.1801 cell | 516.571.9675 fax |

[brent.chow@jacobs.com](mailto:brent.chow@jacobs.com)

c/o Nassau County DPW

1194 Prospect Avenue

Westbury, NY 11590

[www.jacobs.com](http://www.jacobs.com)

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**From:** [dialacs@aol.com](mailto:dialacs@aol.com) [[dialacs@aol.com](mailto:dialacs@aol.com)]

**Sent:** Sunday, October 25, 2015 8:10 PM

**To:** Chow, Brent K.

**Subject:** Re: Nassau County Court Boiler Replacement - Contract No. B90611-06G

Brent

It was a pleasure talking to you. Our response is as follows *In Red Ink*

---

1. An adequate demonstration of financial responsibility, in the form of a Certified Financial Statement prepared by a Certified Public Accountant, to assure that your firm possesses adequate resource and availability of credit and means and ability to perform the project and procure insurance and bonds required for the project.

1. Year end Financial Statement prepared by the CPA is attached
2. Bonding Co letter is attached
3. Bank Credit Line of \$ 2.5 Million is attached. It has never been used and all is available .

2. Disclosure of any suspensions or revocations of any professional license of any director, officer, owner, or managerial employee of your firm, to the extent that any work to be performed is within the field of such licensed profession.

*Not Applicable*

3. Disclosure of any and all OSHA violations within the previous three years, as well as all notices of OSHA violations filed against your firm in the same three year period, together with

a description and explanation of remediation or other steps taken regarding such violations and notices of violation.

*Not Applicable*

4. Disclosure of any and all violations within the previous five years pertaining to unlawful intimidation or discrimination against any employee by reason of race, creed, color, disability, sex or national origin and/or violations of an employee's civil rights or equal employment opportunities.

*Not Applicable*

5. Disclosure of any litigation (including copies of pleadings) in which your firm has been named as a defendant or third party defendant in an action involving a claim for personal injury or wrongful death arising from performance of work related to any project in which it has been engaged within the previous five years.

*Not Applicable*

6. Disclosure of violations of the Prevailing Wage and Supplement Payment Requirements of the Labor Law and any other Labor Law provisions, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

*Not Applicable*

7. Disclosure of violations of the Workers' Compensation Law, including, but not limited to, the failure to provide proof of Workers' Compensation or Disability Coverage and/or any lapses thereof.

*Not Applicable*

8. Disclosure of any criminal convictions or criminal indictments, involving your firm, its officers, directors, owners and/or managerial employees within the past five years.

*Not Applicable*

9. Disclosure of any violations within the past five years or pending charges concerning federal, state, or municipal environmental and/or health laws, codes, rules and/or regulations.

*Not Applicable*

10. Compliance with Local Law No. 9-2002, Apprenticeship Training Programs for County Contracts.

*We have NYS Department of Labor Approved " Steam Fitter " apprenticeship program.*

11. Identification and description of any projects, within the previous five years, that your firm was determined by a municipality not to be a responsible bidder; the reasons given by such municipality therefore, together with an explanation thereof.

*Not Applicable*

12. Documentation from previous projects regarding: timeliness of performance; quality of work; extension requests; labor disputes; litigation and/or arbitration arising from such work, including fines and penalties imposed and payment thereof; liens filed; history of claims for extra work; contract defaults; together with explanations of same.

*Not Applicable*

13. Description of your experience with Boiler Replacement projects of similar comparative size, complexity, and cost, performed within recent years, together with documentary evidence of such projects; the value of subcontracted work; and owner references.

*Please see attached*

*We are currently completing following Boiler Projects*

*PS 90 K ( \$ 3.2 Million ) and PS 15 ( \$ 5.4 Million ) for NYCSCA....GC is AMCC Corp ...Contact Person Dave Perthold 718 472 9500*

*PS 36 R ( \$ 4.8 Million ) for NYCSCA ....GC is Admiral Construction ...Contact Person Devaanad Jurakhan 516 333 2558*

Lehman HS Bronx ( \$ 8.08 Million ) for NYCSCA ...GC is Iannelli Corp ... Contact Person ...Tom Iannelli b718 836 2000

14. Description of your proposed approach to the project; with a breakdown of the major construction activities; the sequence they will be performed; and their durations.

*Please see attached*

15. Identify all work to be subcontracted along with its value.

Asbestos Removal...  
Electrical Wiring  
Plumbing

Ahmad Reyaz  
ACS System Associates Inc.

-----Original Message-----

From: Chow, Brent K. <[Brent.Chow@jacobs.com](mailto:Brent.Chow@jacobs.com)>

To: dialacs <[dialacs@aol.com](mailto:dialacs@aol.com)>

Cc: Adrian Cavanagh <[acavanagh@nassaucountyny.gov](mailto:acavanagh@nassaucountyny.gov)>; Amerigo, Joseph <[jamerigo@nassaucountyny.gov](mailto:jamerigo@nassaucountyny.gov)>

Sent: Wed, Oct 21, 2015 9:38 am

Subject: Nassau County Court Boiler Replacement - Contract No. B90611-06G

Mr. Reyaz,

Please provide a letter in response to the 15 items posed below. Also, please complete the attached M/WBE utilization plan. I would like to schedule a mtg on Monday 10/29/15 @ 9:00 AM to review your responses and discuss the qualifications of your firm with respect to the subject project. I will send a separate mtg. invitation via e-mail.

1. An adequate demonstration of financial responsibility, in the form of a Certified Financial Statement prepared by a Certified Public Accountant, to assure that your firm possesses adequate resource and availability of credit and means and ability to perform the project and procure insurance and bonds required for the project.
2. Disclosure of any suspensions or revocations of any professional license of any director, officer, owner, or managerial employee of your firm, to the extent that any work to be performed is within the field of such licensed profession.
3. Disclosure of any and all OSHA violations within the previous three years, as well as all notices of OSHA violations filed against your firm in the same three year period, together with a description and explanation of remediation or other steps taken regarding such violations and notices of violation.
4. Disclosure of any and all violations within the previous five years pertaining to unlawful intimidation or discrimination against any employee by reason of race, creed, color, disability, sex or national origin and/or violations of an employee's civil rights or equal employment opportunities.
5. Disclosure of any litigation (including copies of pleadings) in which your firm has been named as a defendant or third party defendant in an action involving a claim for personal injury or wrongful death arising from performance of work related to any project in which it has been engaged within the previous five years.
6. Disclosure of violations of the Prevailing Wage and Supplement Payment Requirements of the Labor Law and any other Labor Law provisions, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.
7. Disclosure of violations of the Workers' Compensation Law, including, but not limited to, the failure to provide proof of Workers' Compensation or Disability Coverage and/or any lapses thereof.
8. Disclosure of any criminal convictions or criminal indictments, involving your firm, its



officers, directors, owners and/or managerial employees within the past five years.

9. Disclosure of any violations within the past five years or pending charges concerning federal, state, or municipal environmental and/or health laws, codes, rules and/or regulations.

10. Compliance with Local Law No. 9-2002, Apprenticeship Training Programs for County Contracts.

11. Identification and description of any projects, within the previous five years, that your firm was determined by a municipality not to be a responsible bidder; the reasons given by such municipality therefore, together with an explanation thereof.

12. Documentation from previous projects regarding: timeliness of performance; quality of work; extension requests; labor disputes; litigation and/or arbitration arising from such work, including fines and penalties imposed and payment thereof; liens filed; history of claims for extra work; contract defaults; together with explanations of same.

13. Description of your experience with Boiler Replacement projects of similar comparative size, complexity, and cost, performed within recent years, together with documentary evidence of such projects; the value of subcontracted work; and owner references.

14. Description of your proposed approach to the project; with a breakdown of the major construction activities; the sequence they will be performed; and their durations.

15. Identify all work to be subcontracted along with its value.

Thanks,

Brent

**JACOBS Global Buildings North America**

Brent Chow, CCM, LEED AP | Construction Project Manager | 516.535.1934 desk | 646.943.1801 cell | 516.571.9675 fax | [brent.chow@jacobs.com](mailto:brent.chow@jacobs.com)

c/o Nassau County DPW  
1194 Prospect Avenue  
Westbury, NY 11590  
[www.jacobs.com](http://www.jacobs.com)

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**From:** DeSimone, Susan <Susan.DeSimone@suny.edu>  
**To:** dialacs <dialacs@aol.com>  
**Cc:** Garip, Raul <Raul.Garip@suny.edu>; McSorley, Peggy <Peggy.McSorley@suny.edu>  
**Subject:** RE: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz  
**Date:** Fri, May 15, 2015 1:27 pm

---

Dear Mr Reyaz,  
Thank you for the information.

We know your firm has been doing a good job on 14B44 and appreciate your work. However this project has experience requirements that your firm does not meet so we must reject your bid. You will receive a letter in the next few days.

We encourage you to continue to bid SUCF projects and look forward to working with you on another job.

Thank you,  
Susan DeSimone

Sent from my Verizon Wireless 4G LTE smartphone

----- Original message -----

**From:** [dialacs@aol.com](mailto:dialacs@aol.com)  
**Date:** 05/15/2015 9:48 AM (GMT-05:00)  
**To:** "DeSimone, Susan"  
**Subject:** Fwd: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

Dear Ms DeSimone

Attached are the list of the jobs some completed in later years with brief description of the scope/complexities and logistics involved and client references

Current job at Downstate, even though most relevant is not included as you and your team already have first hand knowledge of the same. Out of a total of 12 pcs of Equipment only 2 smaller ones are left to be installed per Hospitals's convenience.

Ahmad Reyaz  
ACS System Associates Inc.

-----Original Message-----

**From:** dialacs <[dialacs@aol.com](mailto:dialacs@aol.com)>  
**To:** Susan.DeSimone <[Susan.DeSimone@suny.edu](mailto:Susan.DeSimone@suny.edu)>  
**Sent:** Thu, May 14, 2015 5:01 pm  
**Subject:** Re: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

My sincere apologies.  
I got pulled out on couple of emergencies. But I am preparing it now and will have it by tomorrow morning.

Ahmad Reyaz  
ACS System Associates Inc.

-----Original Message-----

**From:** DeSimone, Susan <[Susan.DeSimone@suny.edu](mailto:Susan.DeSimone@suny.edu)>  
**To:** dialacs <[dialacs@aol.com](mailto:dialacs@aol.com)>  
**Sent:** Tue, May 12, 2015 2:28 pm

5/27/2015

RE: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

Subject: FW: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

**From:** DeSimone, Susan

**Sent:** Tuesday, May 12, 2015 2:19 PM

**To:** 'dialasc@aol.com'

**Cc:** Garip, Raul; McSorley, Peggy; Michael Levinton; 'Alvin Dunaisky'; 'Raymond Michelitsch'; Hagan, William; Stein, Simon

**Subject:** 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

Mr. Reyaz,

I called and left you a message just now.

We received your 48 hour information including the list of 10 most recently completed projects, thank you.

We will take into consideration all projects completed within the last 5 years, not just the last 10 projects you have completed.

When you bid 14B44, the AHU replacement project in the basement of UH at Downstate, you provided a description of other projects that were relevant. Specifically, Fort Wadsworth (custom built field erected AHUs in tight space), VA Medical Center, Kingsborough Addiction Center, NY Psych Center, Greene Health Center. Since then you may have completed others.

We also note that none of the projects listed were completed last year 2014.

Please provide a list of projects that have been completed in the last 5 years that are similar to this project (14A18). Use the same format as the list of 10 most recently completed projects. In addition, for each project, include a brief description that explains the ways in which they are similar to this project.

Please call me so that we can discuss the scope of some of the projects you included on your list of 10 most recently completed projects.

**Please return my call and provide this information by the end of the day tomorrow 5/13/15.**

My numbers are below.

Thanks

Susan DeSimone



State University  
**CONSTRUCTION FUND**

**Susan DeSimone, PE**

*Assistant Director of Design*

State University Plaza

353 Broadway, Albany, NY 12246

Tel: 518.320.1706 Cell: 518.852.1651

5/27/2015

RE: 14A18 HVAC Upgrade UH Penthouse and Roof attn: Ahmed Reyaz

**BUILDING SUNY**



Ahmad Reyaz <ahmad.reyaz123@gmail.com>

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## Suny Down State Medical Center - HVAC Upgrade

Message

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Konstantinos Katehis <KKatehis@csengineering.com>

Thu, Dec 18, 2014 at 11:27 AM

To: "ahmad.r@acs9.net" <ahmad.r@acs9.net>, "sid.a@acs9.net" <sid.a@acs9.net>

Cc: "ray.p@acs9.net" <ray.p@acs9.net>, "edward.m@acs9.net" <edward.m@acs9.net>

Ahmad,  
Sid,

Thank you for providing a project manager and super with great knowledge of mechanical and HVAC installations for this project. We all understand that this is a complicated and demanding project but Ray & Eddie have managed to overcome multiple barriers and adequately progress the installation of AHU-22, AHU-23 & EF-149 in a timely manor without disrupting the campus's ongoing operations. Lets continue providing this good quality of work and complete this project in success.

Thank You  
Kostas

**Subject:** Re: SUNY Downstate Medical Center Brooklyn - HVAC Upgrade  
**Date:** Friday, May 22, 2015 at 16:14:09 Eastern Daylight Time  
**From:** Ray Padron  
**To:** Ahmad Reyaz  
**CC:** Katehis, Kostas, Sid Ayaz, Edward C McCloskey Jr., Richard Persaud

Kostas

Your efforts and communication has also directly assisted us in executing our work and your and asset to the project. On behalf of the ACS team we thank you and hope you have safe and wonderful holiday weekend.

Thank you

Sent from my iPhone

On May 22, 2015, at 4:01 PM, Ahmad Reyaz <[ahmadreyaz@acssystem.com](mailto:ahmadreyaz@acssystem.com)> wrote:

Mr Katehis

Thank you very much for your kind words.

From Team ACS .....You made our day.

( Our Email has been changed. Please use "[ahmadreyaz@acssystem.com](mailto:ahmadreyaz@acssystem.com)" in future.)

Ahmad Reyaz, LEED AP  
ACS System Associates Inc.  
914 665 5800 ext 106

---

**From:** <Katehis>, Kostas <[Kostas.Katehis@jacobs.com](mailto:Kostas.Katehis@jacobs.com)>  
**Date:** Friday, May 22, 2015 at 13:48  
**To:** "[sid.a@acs9.net](mailto:sid.a@acs9.net)" <[sid.a@acs9.net](mailto:sid.a@acs9.net)>, "[ahmad.r@acs9.net](mailto:ahmad.r@acs9.net)" <[ahmad.r@acs9.net](mailto:ahmad.r@acs9.net)>  
**Subject:** SUNY Downstate Medical Center Brooklyn - HVAC Upgrade

Gentlemen,

I would like to thank you for providing such a competent fitter for the 14B44 project at SUNY

Downstate. Ever since the previous fitter was removed from the job, we have noticed a tremendous difference due to Richie's performance. The project has been moving along smoothly and pipe installations and campus requests are completed in a timely manner without deficiencies. Last week one of the coils in AC-22 was leaking and a repair that what would take most contractors to complete in a whole day took Richie an hour to complete. Thank you for providing such good communication, quality of work and great work ethic. We were informed that unfortunately ACS was not awarded the HVAC upgrade in the UHB penthouse, but there are many upcoming projects and it would be a pleasure to work with ACS on further projects. Keep up the good work.

Kind Regards,  
Konstantinos Katehis

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PROPOSAL  
To the County of Nassau

BOILER REPLACEMENT  
NASSAU COUNTY COURT- 262 OLD COUNTRY ROAD  
Mineola, New York

Contract Nos. B90611-06G

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Advertisement for Bids and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the plans and specifications for the amount named in the proposal hereinafter described.

In making this proposal the Bidder hereby declares that the Addenda which has been issued by the County of Nassau and has been received by him, that all provisions thereof have been complied with in preparing his bids.

Name of Bidder: ACS SYSTEM ASSOCIATES, INC.  
(Individual, Firm or Corporation, as case may be)

Bidder's Address: 160 W. LINCOLN AVE., MT. VERNON, NY, 10550

Telephone: 914-665-5800 Date: 10/12/2015

FAX: 914-664-8772 E-Mail: DIALACS @ AOL.COM

NOTE: IF BIDDER IS A FIRM, FILL IN THE FOLLOWING BLANKS:

Name of Partners

Residence of Partners

\_\_\_\_\_  
\_\_\_\_\_

NOTE: IF BIDDER IS A CORPORATION, FILL IN THE FOLLOWING BLANKS:

Organized under the laws of the State of: NEW YORK

Name of President: AHMAD REYAZ

President's Domicile: 60 WILDWOOD DR., SYOSSET, NY, 11791

Name of Vice Pres: SAME AS ABOVE

Vice Pres's Domicile: \_\_\_\_\_

Corporate Officer: SAME AS ABOVE Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_

Corporate Officer: SAME AS ABOVE Title: \_\_\_\_\_

Corporate Officer's Domicile: \_\_\_\_\_



The Following Paragraphs are Applicable to the Contract

THE BIDDER AFFIRMS AND DECLARES:

1. That the above bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same work, and is in all respects fair and without collusion or fraud.
3. That said bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety or otherwise, upon any obligation to the County of Nassau.
4. That no officer nor employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the site of the work and that, from his own investigations, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of equipment, other facilities needed for the performance of the work, the general and local conditions, and all other items which may, in any way, affect the work or its performance.
6. The bidder also declares that he has carefully examined and fully understands all the component parts of this Contract that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities Glen Cove or Long Beach, the contractor shall be required to have such a license.

## INFORMATION FOR BIDDERS

### I. Rejection of Bids.

#### A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

#### B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Total Bid Computation

The Total bid will be computed by adding the sum of the unit price bid to the lump sum bid adjusted for alternate prices bid, if any. The comparison of unit price and lump sum contract elements is described below.

### III. Unit Price Contract Elements, Comparison of Bids.

Bids on Unit Price Contract elements will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### IV. Lump Sum Contract Elements, Comparison of Bids.

Bids on lump Sum Contract Elements will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### V. Apprenticeship Training Program.

For all contracts in excess of \$500,000, attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL: For all work in accordance with the drawings and specifications:

ACS SYSTEM ASSOCIATES, INC

(Individual, Firm or Corporation, as case may be)

Individual's Social Security Number \_\_\_\_\_

Firm or Corporation's Federal ID Number 13-3197331

Firm or Corporation's Municipal License ID Number N/A

Municipal Licensing Agency \_\_\_\_\_

By: 

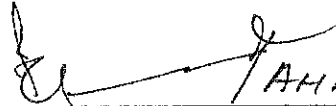
Date: 10/12/2015

(Print) AHMAD REYAZ

Title: PRESIDENT

WHERE BIDDER IS A CORPORATION, ADD:

ATTEST: \_\_\_\_\_

 AHMAD REYAZ  
Secretary

(CORPORATE)  
(SEAL)

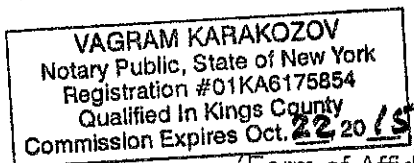
NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK }  
COUNTY OF NASSAU } ss.:

AHMAD REYAZ Being duly sworn, deposes and says:  
That he resides at 60 Wildwood Drive Street,  
in the City of SYOSSET, NY that he is the PRESIDENT of  
the corporation described in and which executed the foregoing instrument; that he knows the  
seal of said corporation; that the seal affixed to the said instrument is such corporate seal and  
was affixed by order of the Board of Directors of said corporation; that he signed his name  
thereto by like order; and that he has knowledge of the several matters therein stated and they  
are in all respects true.

Subscribed and sworn to before me  
this 12<sup>th</sup> day of October, 20 15.



[Signature]  
Notary

(Form of Affidavit where Bidder is a firm)

STATE OF NEW YORK }  
COUNTY OF NASSAU } ss.:

..... Being duly sworn, deposes and says:  
That he is a member of .....  
the firm described in and which executed the foregoing bid; that he duly subscribed the name of  
the firm hereunto on behalf of the firm; and that the several matters therein stated are in all  
respects true.

Subscribed and sworn to before me  
this ..... day of ....., 20 .....

\_\_\_\_\_  
Notary

(Form of Affidavit where Bidder is an individual)

STATE OF NEW YORK }  
COUNTY OF NASSAU } ss.:

..... Being duly sworn, deposes and says:  
That he is the person described in and who executed the foregoing bid and that the several  
matters therein stated are in all respects true.

Subscribed and sworn to before me  
this ..... day of ....., 20 .....

\_\_\_\_\_  
Notary

BID FORM

BOILER REPLACEMENT  
NASSAU COUNTY COURT - 262 OLD COUNTRY ROAD  
MINEOLA, NEW YORK  
CONTRACT NO. B90611-06G (GENERAL)

NAME OF BIDDER: ACS SYSTEM ASSOCIATES, Inc

TO BE COMPLETED BY BIDDER SUBMITTING BID FOR  
GENERAL CONSTRUCTION AND ABATEMENT FOR BOILER REPLACEMENT  
NASSAU COUNTY COURT-262 OLD COUNTRY ROAD, MINEOLA, NEW YORK  
CONTRACT NO. B90611-06G

ITEM No.	TYPE	DESCRIPTION	BID PRICE	
			DOLLARS	CENTS
1	BASE BID (LUMP SUM)	LUMP SUM PRICE for furnishing all labor, equipment, materials, supervision and incidentals necessary to complete the General Construction and Abatement work and make ready for operation.	\$ 1,477,000	00
2	ALLOWANCE	ALLOWANCE for Unforeseen Conditions	\$ 25,000	00
3	ALLOWANCE	ALLOWANCE for Reimbursement of Court Security Officers providing security during construction.	\$ 100,000	00
TOTAL BASE BID PRICE (WRITTEN IN NUMBERS)			\$ 1,602,000	00

TOTAL BASE BID PRICE (ITEM NO. 1 TO 3) WRITTEN IN WORDS:

ONE Million Six Hundred Two Thousand Dollars

ITEM No.	TYPE	DESCRIPTION	BID PRICE	
			DOLLARS	CENTS
4	ALTERNATE 1 (LUMP SUM)	LUMP SUM PRICE for all work shown on the plumbing drawings P-1, P-2, P-3 & P-4 and associated technical specifications.	\$ 397,000	00
5	ALTERNATE 2 (LUMP SUM)	LUMP SUM PRICE for to replace Boiler NO. B1 (including incidental Asbestos abatement) as shown on drawings M-100, M-101 & AR-100	\$ 647,000	00

**NOTE TO BIDDER:** Include a separately sealed envelope containing a list of all subcontractors the bidder will use to perform (a) plumbing and gas fitting, (b) heating, ventilating and air conditioning, and (c) electric wiring and standard illuminating fixtures; and the respective agreed-upon amount to be paid to each subcontractor. Write on the outside of the envelope the bidder's name, the contract number, and the words "List of Subcontractors".

## COUNTY OF NASSAU

## CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: ACS SYSTEM ASSOCIATES, INC  
Address: 160 WEST LINCOLN AVE  
City, State and Zip Code: MT. VERNON, NY, 10550
2. Entity's Vendor Identification Number: 13-3197331
3. Type of Business: ☒ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☐ Closely Held Corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

AHMAD REYAZ - PRESIDENT - 60 WILDWOOD DR.  
SYOSSET, NY, 11791

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

SAME AS ABOVE

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

NONE

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

N/A

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A


(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 10/12/2015

Signed: 

Print Name: AHMAD REYAZ

Title: PRESIDENT



The term **lobbying** shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EXECUTIVE ORDER NO. 2 - 2015

EXECUTIVE ORDER PURSUANT TO SECTION 203 OF THE  
COUNTY GOVERNMENT LAW OF NASSAU COUNTY TO FURTHER  
DISCLOSURE BY THOSE DOING BUSINESS WITH NASSAU  
COUNTY

WHEREAS, pursuant to subdivision 1 of section 203 of the Nassau County Charter, the County Executive is responsible for the administration of all departments, offices and functions of the county government; and

WHEREAS, the County Executive, in furtherance of his duties pursuant to subdivision 1 of section 203, is committed to ensuring transparency and openness with respect to the administration of governmental functions; NOW, THEREFORE BE IT

ORDERED, every lobbyist, as defined on the attached Lobbyist Registration and Disclosure Form (hereinafter "Lobbyist Form"), shall annually file the Lobbyist Form with the County Attorney and with the Clerk of the Nassau County Legislature for each calendar year, provided, however, that the filing of such statement of registration shall not be required of any lobbyist who in any year does not earn or incur an amount in excess of one thousand dollars reportable compensation and expenses for the purposes of lobbying, or is an officer, director, trustee or employee of any public corporation when acting in such official capacity; and it is further

ORDERED, that such filing shall be completed on or before January fifteenth by those persons who have been retained, employed or designated as lobbyists on or before December thirty-first of the previous calendar year who reasonably anticipate that in the coming year they will earn or incur combined reportable compensation and expenses in an amount in excess of one thousand dollars; and for those lobbyists retained, employed or designated after December thirty-first, and those lobbyists who, subsequent to their retainer, employment or designation, reasonably anticipate combined reportable compensation and expenses in excess of such amount, such filing must be completed within fifteen days thereafter; and it is further

ORDERED, that the County Attorney shall forward copies of each Lobbyist Form to the Nassau County Department of Information Technology ("IT"), and that IT shall develop and maintain a lobbyist registration page on that County's website, and make available all such Lobbyist Forms for public inspection on the County's website; and it is further

ORDERED, that upon termination of a lobbyist's retainer, employment or designation, such lobbyist and the client, if any, on whose behalf such service has been rendered shall both give notice to the County Attorney in the electronic filing system within thirty days after the lobbyist ceases the activity that required such lobbyist to file a statement of registration; however, such lobbyist shall nevertheless comply with the reporting requirements as set forth above and the reporting requirements for the last periodic reporting period up to the date such activity has ceased; and it is further

ORDERED, any lobbyist required to file a Lobbying Form as set forth herein who, in any lobbying year, earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars, for the purpose of lobbying, shall file with the County Attorney periodic reports, on forms prescribed by the County Attorney, by the fifteenth day next succeeding the end of the reporting period on which the cumulative total for such lobbying year equaled such sum. Such reporting periods shall be the period from January 1 to March 31, April 1 to May 31, June 1 to August 31 and August 31 to December 31; and it is further

ORDERED, that any lobbyist making a report pursuant to the above paragraph shall thereafter file with the County Attorney, on forms prescribed by the County Attorney, a periodic report for each reporting period that such person earns or incurs combined reportable compensation and expenses in an amount in excess of one thousand dollars for the purposes of lobbying during such reporting period. Such report shall be filed not later than the fifteenth day next succeeding the end of such reporting period and shall include the amounts so earned or

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

N/A

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

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4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

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5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

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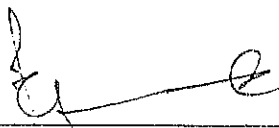
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VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: 10/12/2015

Signed: 

Print Name: AHMAD REYAZ

Title: PRESIDENT

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

### Business History Form

In addition to the submission of bids/proposals, as applicable, each bidder/proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the bid/proposal.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: 10/12/2015

1) Bidder's/Proposer's Legal Name: ACS SYSTEM ASSOCIATES, INC.

2) Address of Place of Business: 160 W. LINCOLN AVE., MT VERNON, NY, 10550

List all other business addresses used within last five years: N/A.

3) Mailing Address (if different): SAME AS ABOVE

Phone: 914-665-5800

Does the business own or rent its facilities? \_\_\_\_\_

4) Dun and Bradstreet number: 13-1335721

5) Federal I.D. Number: 13-3197331

6) The bidder/proposer is a (check one): \_\_\_\_\_ Sole Proprietorship \_\_\_\_\_ Partnership \_\_\_\_\_  
Corporation ☒ Other (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes \_\_\_\_\_ No ☒ If Yes, please provide details: \_\_\_\_\_

8) Does this business control one or more other businesses? Yes \_\_\_\_\_ No ☒ If Yes, please provide details: \_\_\_\_\_

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes \_\_\_\_\_ No ☒ If Yes, provide details: \_\_\_\_\_

10) Has the bidder/proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes \_\_\_\_\_ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_

11) Has the bidder/proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒  
If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. \_\_\_\_\_

b) Any misdemeanor charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. \_\_\_\_\_

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? No ☒ Yes ☐ If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? No ☒ Yes ☐ If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? No ☒ Yes ☐ If Yes, provide details for each such



occurrence. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

15) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? No ☒ Yes \_\_\_\_; If Yes, provide details for each such instance. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? No ☒ Yes \_\_\_\_ If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose:

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County.

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting as a collection agent on behalf of Nassau County.

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

Attachments to Business History Form

Please provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- A. Include a resume or detailed description of the bidder's/proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the bidder/proposer be other than an individual, the bid/proposal should include:

- i) Date of formation; 12/29/1983
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; AHMAD REYAZ - PRESIDENT
- iii) Name, address and position of all officers and directors of the company; SAME AS ABOVE
- iv) State of incorporation (if applicable); NEW YORK
- v) The number of employees in the firm; 45
- vi) Annual revenue of firm; SEE ATTACHED
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business. 31 YEARS

- C. Provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the bidder/proposer has provided similar services or who are qualified to evaluate the bidder's/proposer's capability to perform this work.

PLEASE SEE ATTACHED

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_



Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, AHMAD REYAZ, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 12<sup>TH</sup> day of OCTOBER 2015

[Signature]  
Notary Public

VAGRAM KARAKOZOV  
Notary Public, State of New York  
Registration #01KA6175854  
Qualified In Kings County  
Commission Expires Oct. 22, 2015

Name of submitting business: ACS SYSTEM ASSOCIATES, INC.

By: AHMAD REYAZ  
Print name

[Signature]  
Signature

PRESIDENT  
Title

10 / 12 / 2015  
Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name AHMAD REYAZ  
Date of birth 06/08/53  
Home address 60 WILDWOOD DRIVE  
City/state/zip SYOSSET, NY 11791  
Business address 160 WEST LINCOLN AVE  
City/state/zip MT VERNON, NY 10550  
Telephone 914-665-5800  
Other present address(es) \_\_\_\_\_  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President 06/05/2000 Treasurer 5/15/1995  
Chairman of Board    /   /    Shareholder    /   /     
Chief Exec. Officer    /   /    Secretary 5/15/1995  
Chief Financial Officer    /   /    Partner    /   /     
Vice President    /   /       /   /     
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
NO     YES ✓ If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO ✓ YES     If Yes, provide details.
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO ✓ YES    ; If Yes, provide details.
6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO ✓ YES     If Yes, provide details.

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:

- a. Been debarred by any government agency from entering into contracts with that agency?  
NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
- b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
- c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? NO ☒ YES ☒ If Yes, provide details E for each such instance.
- d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.

8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)

- a) Is there any felony charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
- b) Is there any misdemeanor charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
- c) Is there any administrative charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
- d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
- e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
- f) In the past 5 years, have you been found in violation of any administrative or statutory charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such occurrence.

9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO ☒ YES \_\_\_\_ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO ☒ YES \_\_\_\_ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such year.

**CERTIFICATION**

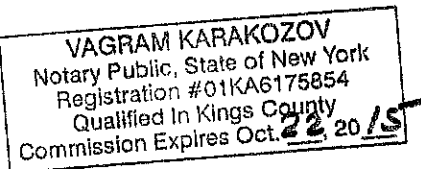
A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, AHMAD REYAZ, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 12<sup>TH</sup> day of October 2015



Notary Public



ACS SYSTEM ASSOCIATES, INC

Name of submitting business

AHMAD REYAZ

Print name



Signature

PRESIDENT

Title

10, 12, 2015

Date



U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

AHMAD REYAZ - PRESIDENT

Name and Title of Authorized Representative

m/d/yy

Signature

Date

ACS SYSTEM ASSOCIATES, INC

Name of Organization

160 W. LINCOLN AVE. MT VERNON, NY

Address of Organization

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

## QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?  
16
2. How many years experience in the construction work of a similar type as this contract has your firm had;
  - a. as a Prime Contractor 31
  - b. as a Subcontractor 31

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

PLEASE SEE ATTACHED

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

PLEASE SEE ATTACHED

(use additional blank sheets if additional space is necessary)

5. Have you:
  - a. ever failed to complete any work awarded to You? NO  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.
  - b. ever been defaulted on a contract? NO  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions

- c. ever been declared a non-responsible bidder by any municipality or public agency?  
NO  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all such declarations

- d. ever been barred from bidding municipal or public contracts? NO  
If so; identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been on officer, partner or principal of some other firm:

- a. that failed to complete a construction contract? NO  
If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all such failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? NO  
If so; state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

- d. that has ever been barred from bidding municipal or public contracts? NO  
If so; state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? NO  
If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

N/A

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

N/A

10. In what other lines of business are you financially interested?

NONE

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
----------------------	----------------------------------	--	----------------------------------	------------------------

PLEASE SEE ATTACHED

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
------	---	---------------------	---------------------

ALL HVAC TOOLS/E&PT.

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

N/A

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?  
Explain in detail.

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
------	---------	--------------------	------

PLEASE SEE ATTACHED

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "**Qualifications and Responsibility of Bidders**" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



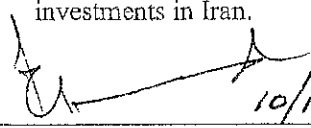
### IRAN DIVESTMENT ACT – CERTIFICATION

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

10/12/2015

AHMAD REYAZ - PRESIDENT  
Print Name and Position

## MacBride Fair Employment Principles

### NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:

#### MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes \_\_\_ No X

if yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes ✓ No \_\_\_

TAHMAD REYAZ  
(Contractor's Signature)

ACS SYSTEM ASSOCIATES, INC  
(Name of Business)

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NOTICE OF AWARD

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### **FINANCIAL STATEMENT**

**ATTENTION:** Insert the "Financial Statement" after this page in with your bid submission.



**ACS System Associates, Inc.**

**Financial Statements**

**December 31, 2014 and 2013**





# **ACS System Associates, Inc.**

## **Financial Statements**

**December 31, 2014 and 2013**

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ACCOUNTING / TAX / ADVISORY

### Independent Accountant's Review Report

Board of Directors  
ACS System Associates, Inc.

We have reviewed the accompanying balance sheets of ACS System Associates, Inc. as of December 31, 2014 and 2013 and the related statements of income, retained earnings, and cash flows for the years then ended. A review includes primarily applying analytical procedures to management's financial data and making inquiries of company management. A review is substantially less in scope than an audit, the objective of which is the expression of an opinion regarding the financial statements as a whole. Accordingly, we do not express such an opinion.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the reviews in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. Those standards require us to perform procedures to obtain limited assurance that there are no material modifications that should be made to the financial statements. We believe that the results of our procedures provide a reasonable basis for our report.

Based on our reviews, we are not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with accounting principles generally accepted in the United States of America.

*SaxBST LLP*

Clifton, New Jersey  
March 16, 2015



# ACS System Associates, Inc.

## Balance Sheets

	December 31,	
	2014	2013
<b>ASSETS</b>		
<b>CURRENT ASSETS</b>		
Cash and cash equivalents	\$ 4,019,959	\$ 1,564,383
Accounts receivable and retainage	11,364,145	8,792,800
Inventory	43,000	43,000
Costs and estimated earnings earned in excess of billings on contracts in progress	522,338	906,479
Total current assets	<u>15,949,442</u>	<u>11,306,662</u>
<b>PROPERTY AND EQUIPMENT</b>		
Equipment and trucks	82,234	82,234
Furniture and fixtures	46,599	46,599
Computer software	17,243	17,243
Vehicles	42,591	42,591
Total	<u>188,667</u>	<u>188,667</u>
Less accumulated depreciation	<u>154,009</u>	<u>147,150</u>
Net property and equipment	<u>34,658</u>	<u>41,517</u>
<b>OTHER ASSETS</b>	<u>15,000</u>	<u>29,370</u>
<b>TOTAL ASSETS</b>	<u><u>\$ 15,999,100</u></u>	<u><u>\$ 11,377,549</u></u>
<b>LIABILITIES AND STOCKHOLDER'S EQUITY</b>		
<b>CURRENT LIABILITIES</b>		
Accounts payable	\$ 852,017	\$ 94,051
Billings in excess of costs and estimated earnings on contracts in progress	10,823,153	6,814,328
Deferred income tax liability	25,787	21,987
Accrued expenses and other current liabilities	263,285	368,765
Total current liabilities	<u>11,964,242</u>	<u>7,299,131</u>
<b>STOCKHOLDER'S EQUITY</b>		
Common stock, no par, 999 shares authorized, issued, and outstanding	50,000	50,000
Retained earnings	<u>3,984,858</u>	<u>4,028,418</u>
Total stockholder's equity	<u>4,034,858</u>	<u>4,078,418</u>
<b>TOTAL LIABILITIES AND STOCKHOLDER'S EQUITY</b>	<u><u>\$ 15,999,100</u></u>	<u><u>\$ 11,377,549</u></u>

See Independent Accountant's Review Report and Notes to Financial Statements.



# ACS System Associates, Inc.

## Statements of Income

	Years Ended December 31,	
	2014	2013
CONTRACT REVENUES	\$ 24,328,799	\$ 20,734,254
CONTRACT COSTS	21,307,540	18,170,729
Gross profit	3,021,259	2,563,525
GENERAL AND ADMINISTRATIVE EXPENSES	2,421,740	2,331,483
Income from operations	599,519	232,042
OTHER INCOME AND EXPENSE		
Interest expense	648	12
Dividend income (expense)	(14,780)	4,269
Net other income (expense)	(14,132)	4,281
Income before income tax expense (benefit)	585,387	236,323
INCOME TAX EXPENSE (BENEFIT)		
Current income tax expense	36,447	40,705
Deferred income tax (benefit)	3,800	(27,925)
Net income tax expense	40,247	12,780
Net income	\$ 545,140	\$ 223,543

See Independent Accountant's Review Report and Notes to Financial Statements.





# ACS System Associates, Inc.

## Statements of Retained Earnings

	Years Ended December 31,	
	2014	2013
RETAINED EARNINGS, <i>beginning of year</i>	\$ 4,028,418	\$ 4,341,521
Net income	545,140	223,543
Distributions to stockholder, net	<u>(588,700)</u>	<u>(536,646)</u>
RETAINED EARNINGS, <i>end of year</i>	<u>\$ 3,984,858</u>	<u>\$ 4,028,418</u>



# ACS System Associates, Inc.

## Statements of Cash Flows

	Years Ended December 31,	
	2014	2013
<b>CASH FLOWS PROVIDED BY (USED FOR) OPERATING ACTIVITIES</b>		
Net income	\$ 545,140	\$ 223,543
Adjustments to reconcile net income to net cash provided by (used for) operating activities		
Depreciation and amortization	6,859	5,745
Deferred income tax (benefit)	3,800	(27,925)
(Increase) decrease in assets		
Accounts receivable and retainage	(2,571,345)	420,660
Contract revenue earned in excess of billings on contracts in progress	384,141	192,520
Other assets	14,370	6,591
Increase (decrease) in liabilities		
Accounts payable	757,966	(326,507)
Billings in excess of contract revenue earned on contracts in progress	4,008,825	1,448,512
Accrued expenses and other current liabilities	(105,480)	11,607
	<u>3,044,276</u>	<u>1,954,746</u>
<b>CASH FLOWS PROVIDED BY (USED FOR) INVESTING ACTIVITIES</b>		
Purchase of property and equipment	-	(32,070)
<b>CASH FLOWS PROVIDED BY (USED FOR) FINANCING ACTIVITIES</b>		
Distributions to stockholder, net	<u>(588,700)</u>	<u>(535,646)</u>
Net increase in cash and cash equivalents	2,455,576	1,386,030
<b>CASH AND CASH EQUIVALENTS, <i>beginning of year</i></b>	<u>1,564,383</u>	<u>178,353</u>
<b>CASH AND CASH EQUIVALENTS, <i>end of year</i></b>	<u><u>\$ 4,019,959</u></u>	<u><u>\$ 1,564,383</u></u>

See Independent Accountant's Review Report and Notes to Financial Statements.



# ACS System Associates, Inc.

## Notes to Financial Statements

December 31, 2014 and 2013

### Note 1 - Organization and Summary of Significant Accounting Policies

#### a. *Nature of Business*

ACS System Associates, Inc. (the Company), is a contractor organized in New York on December 29, 1983, doing business in New York City's five boroughs, Long Island, and Westchester County with its main office located in Westchester County. The principal services of the Company consist of heating, ventilation, and air conditioning work. The work is typically performed under fixed price, unit price, and time and material contracts. The length of the Company's contracts varies, but is typically less than three years.

#### b. *Method of Accounting for Income from Construction Contracts*

Income from long-term construction contracts is recognized under the percentage-of-completion method. Under this method estimated profit to be earned upon completion of a contract is recognized in the proportion that direct costs incurred to date bears to estimated total costs to complete the contract. Cost and profit estimates are subject to revision as contracts extend over one or more periods, and any required adjustments are made in the period in which the revisions become known. Provisions are made for the full amount of anticipated losses in the period in which they are first determinable. Revenues earned from time and material contracts are recognized on the basis of costs incurred during the period plus the fee earned, measured by the cost-to-cost method.

The asset, "Costs and estimated earnings in excess of billings on contracts in progress", represents revenue recognized in excess of amounts billed. The liability, "Billings in excess of costs and estimated earnings on contracts in progress", represents billings in excess of revenues recognized.

#### c. *Estimates*

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

#### d. *Balance Sheet Classification*

In accordance with industry practice, the Company includes in current assets and liabilities amounts realizable and payable under long-term construction contracts even when such amounts are realizable or payable over a period in excess of one year.

#### e. *Cash and Cash Equivalents*

For purposes of the statements of cash flows, the Company considers all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.



# ACS System Associates, Inc.

## Notes to Financial Statements

December 31, 2014 and 2013

### Note 1 - Organization and Summary of Significant Accounting Policies - Continued

#### *f. Accounts Receivables and Bad Debts*

Accounts receivable represent collectible current and retainage balances due from contracts. It is the Company's policy to evaluate the collectability of receivables and provide a reserve when deemed necessary. The total allowance for doubtful accounts as of December 31, 2014 and 2013 was \$250,000 each year.

#### *g. Inventory*

Inventory consists of construction materials and is reported at the lower of cost or market.

#### *h. Property and Equipment*

Property and equipment are recorded at cost and items are depreciated under the straight-line method. Depreciation is provided in amounts sufficient to write off the cost of depreciable assets, less salvage value, over their estimated useful lives.

#### *i. Income Taxes*

The Company accounts for income taxes in accordance with accounting principles generally accepted in the United States of America. A current or deferred tax liability or benefit is recognized for current or deferred tax effects of all events recognized in the financial statements. Those effects are measured based on provisions of current tax law to determine the amount of taxes payable or refundable currently or in future years. The tax effects of earning income or incurring expenses in future years or the future enactment of a change in tax laws or taxes are not anticipated in determining deferred tax benefits or liability. Deferred income taxes result primarily from depreciation expense, accounts receivable allowance, and timing differences in the reporting of revenues and expenses for income tax and financial statement purposes.

The Company, with the consent of their stockholder, has elected under the Internal Revenue Code, New Jersey, and New York Tax Code to be an S Corporation. In lieu of corporate federal, New Jersey, and New York income taxes, the stockholder of an S Corporation is taxed on their proportionate share of the Company's taxable income. Therefore, no provision or liability for federal, New Jersey, or New York State income taxes has been included in these financial statements, with the exception of any minimum taxes due. New York City does not recognize S Corporations, therefore city income taxes are provided at a rate of 8.85%.

Management evaluated the Company's tax positions and concluded that the Company has taken no uncertain tax positions that require adjustment to the financial statements to comply with the provisions of this guidance.

Generally, the Company is subject to income tax examinations by major taxing authorities during the three year period prior to the period covered by these financial statements.





# ACS System Associates, Inc.

## Notes to Financial Statements

December 31, 2014 and 2013

### Note 1 - Organization and Summary of Significant Accounting Policies - Continued

#### j. Pending Pronouncements

In May 2014, the Financial Accounting Standards Board (FASB) issued ASU 2014-09, Revenue from Contracts with Customers, requiring an entity to recognize the amount of revenue to which it expects to be entitled for the transfer of promised goods or services to customers. The updated standard will replace most existing revenue recognition guidance in U.S. GAAP when it becomes effective and permits the use of either a full retrospective or retrospective with cumulative effect transition method. The updated standard will be effective for annual reporting periods beginning after December 15, 2017. The Company has not yet selected a transition method and is currently evaluating the effect the updated standard will have on the financial statements.

#### k. Evaluation of Subsequent Events

The Company evaluated subsequent events for potential recognition or disclosure through March 16, 2015, the date the financial statements were available to be issued.

### Note 2 - Contracts Receivable

Contracts receivable consists of the following:

	December 31,	
	2014	2013
Contracts in progress		
Current	\$ 7,312,160	\$ 4,860,044
Retainage	2,376,772	1,722,351
Total contracts in progress	<u>9,688,932</u>	<u>6,582,395</u>
Contracts completed:		
Current	1,195,821	1,522,723
Retainage	739,392	947,682
Total contracts completed	<u>1,935,213</u>	<u>2,470,405</u>
Total contracts receivable	11,624,145	9,052,800
Less allowance for doubtful accounts	<u>260,000</u>	<u>260,000</u>
Net contracts receivable	<u>\$ 11,364,145</u>	<u>\$ 8,792,800</u>

Retainage represents a portion of the contract billing withheld by the project owner. The withheld percentage is as determined in the contract. These amounts are due upon completion of the contract and acceptance by the owner.

At December 31, 2014, retainage receivables included approximately \$758,000 that is not expected to be collected within one year.



# ACS System Associates, Inc.

## Notes to Financial Statements

December 31, 2014 and 2013

### Note 3 - Contracts In Progress

Contracts in progress are summarized as follows:

	December 31,	
	2014	2013
Total contract prices, including approved extras	\$ 93,496,000	\$ 68,052,714
Estimated gross profit on completion	9,331,262	9,137,089
Estimated total costs	<u>\$ 84,164,738</u>	<u>\$ 58,915,625</u>
Costs incurred to date	\$ 48,927,576	\$ 44,434,935
Gross profit earned to date	<u>5,597,886</u>	<u>6,963,006</u>
Contract Revenue Earned to Date	54,525,462	51,397,941
Billings to date	<u>64,826,277</u>	<u>57,305,790</u>
Net (overbillings)	<u>\$ (10,300,815)</u>	<u>\$ (5,907,849)</u>

The net billings in excess of costs and estimated earnings on contracts in progress on the above contracts are included in the balance sheet under the following captions:

	December 31,	
	2014	2013
Costs and estimated earnings in excess of billings on contracts in progress	\$ 522,338	\$ 906,479
Billings in excess of costs and estimated earnings on contracts in progress	<u>(10,823,153)</u>	<u>(6,814,328)</u>
Net (overbillings)	<u>\$ (10,300,815)</u>	<u>\$ (5,907,849)</u>

Although management believes it has established adequate procedures for estimating costs to complete on open contracts, it is at least reasonably possible that additional significant costs could occur on contracts prior to completion.

### Note 4 - Line of Credit

The Company has a revolving line of credit with JP Morgan Chase Bank in the amount of \$2,500,000 at the LIBOR rate plus 4.846%. Substantially all of the Company's assets have been pledged as collateral and the line is personally guaranteed by the sole stockholder. This agreement is subject to periodic review by the bank and expires May 2, 2015. There were no draws or outstanding balances on the line as of and for the year ended December 31, 2014 or 2013, respectively.



# ACS System Associates, Inc.

## Notes to Financial Statements

December 31, 2014 and 2013

### Note 5 - Deferred Taxes

The current deferred tax liability in the accompanying balance sheet includes the following:

	December 31,	
	2014	2013
Deferred tax asset		
Allowance for doubtful accounts	\$ 16,107	\$ 16,107
Net over (under) billings on contracts	-	3,825
Depreciation	-	2,572
Deferred tax liability		
Accounts receivable including retainage	(29,319)	(42,927)
Deferred gross profit on contracts	(2,093)	(1,564)
Net over (under) billings on contracts	(8,335)	-
Depreciation	(2,147)	-
Net deferred tax liability	<u>\$ (25,787)</u>	<u>\$ (21,987)</u>

### Note 6 - Retirement Plan

The Company maintains a SIMPLE IRA plan in which employees can contribute a percentage of their annual compensation, limited to a maximum annual amount as set periodically by the Internal Revenue Service. The Company matches employee contributions up to 3% of their annual salary. For the year ended December 31, 2014 and 2013, the Company made contributions in the amount of \$43,865 and \$85,916, respectively.

### Note 7 - Related Party Transactions

The Company leases its office and shop facilities from affiliated entities on a month to month basis. The total rent expense during the year ended December 31, 2014 and 2013 was \$210,000 and \$201,000, respectively.

### Note 8 - Contract Backlog

The following schedule shows a reconciliation of backlog representing signed contracts in existence at December 31, 2014. Backlog represents the amount of revenue the Company expects to realize from work to be performed on uncompleted contracts in progress at year end and from contractual agreements on which work has not yet begun.

Backlog balance at December 31, 2013	\$ 16,654,773
Contract adjustments	2,929,000
New contracts	43,715,564
Total	<u>63,299,337</u>
Less contract revenue earned during the current period	<u>24,328,799</u>
Backlog balance at December 31, 2014	<u>\$ 38,970,538</u>



# **ACS System Associates, Inc.**

## **Notes to Financial Statements**

**December 31, 2014 and 2013**

### **Note 9 - Concentration of Credit Risk**

In its normal operation of business, the Company maintains cash balances in one financial institution. The Company maintains cash deposits in excess of the federally insured limits. The Company invests excess cash in high quality money market funds which subjects the Company to concentrations of credit risk.

### **Note 10 - Supplementary Disclosures of Cash Flow Information**

Cash paid for income taxes for the year ended December 31, 2014 and 2013 was \$76,168 and \$34,323, respectively.

### **Note 11 - Contingencies**

The Company is contingently liable to a surety under a general indemnity agreement. The Company agrees to indemnify the surety for any payments made on contracts for suretyship, guaranty, or indemnity. The Company believes that its work performed on specific bonded contracts will be satisfactory and no liability will be incurred.

The Company is subject to various claims and legal proceedings covering a wide range of matters that arise in the ordinary course of its business activities. Management believes that any liability that may ultimately result from the resolution of these matters will not have a material effect on the financial condition or results of operations of the Company.





**CERTIFICATE OF SOLVENCY**

**ATTENTION:** Insert the "Certificate of Solvency" after this page in with your bid submission.





**C&H AGENCY**

783 Riverview Drive  
P.O. Box 324  
Totowa, New Jersey 07512

February 5, 2015

New York City School Construction Authority  
30-30 Thomson Avenue  
Long Island City, New York

Re: ACS System Associates Inc.  
160 West Lincoln Avenue, Mt. Vernon, NY 10550

To Whom It May Concern:

Fidelity and Deposit Company of Maryland and C & H Agency hereby confirms that ACS System Associates Inc. is a highly valued client of Fidelity and Deposit Company of Maryland. We hereby confirm that the contractor has a surety program of \$20,000,000 single/\$50,000,000 million aggregate.

Please be advised that all request for performance and payment bonds are subject to the review and acceptance of the terms and conditions of the contract by the Surety Company and satisfactory evidence of financing for the job and the normal underwriting review by the surety at the time of the request of the bonds.

This letter merely renders an opinion and does not constitute a bid bond or consent of surety. We assume no liability to you or third parties if for any reason Arch Insurance Company declines to issue the bond.

Please feel free to call 973-890-0900 with any questions. By way of this letter our client has authorized us to respond to any questions the SCA has.

Very truly yours,

Lisa Nosaj  
Bond Manager  
lnosal@chagency.com

973.890.0900  
973.890.9038 Bond Dept. Fax  
973.812.9860 Ins. Dept. Fax  
www.chagency.com



**ACS SYSTEM ASSOCIATES, INC.**

160 West Lincoln Avenue

Mt. Vernon, NY 10550

Ph: (914) 665-5800, Fax: (914) 664-8772

**PARTIAL REFERENCE LIST**

PROJECT NAME	OWNER / ARCHIT / ENGINEER	CONTRACT VALUE	COMPLETION DATE	PERSON TO CONTACT
JFK Air Port - Terminal 1	HUNT Construction / URS	\$ 4,300,000	2013	Mr. Andrew Kobayashi 347-227-1198
P.S. - 13 (Q)	Morgan Construction NYC SCA	\$ 4,618,000	2011	Mr. Tim Hassan 718 271 3518 516 967 4359
JFK Air Port - Switch House	Port Authority of NY & NJ	\$ 875,000	2014	Mr Edward Palmer 718-244-4112
LGA - Delta (Bldg.28)	Kokolaikis PANYNJ	\$ 568,000	2014	Mr. Matt Vecchione 516-316-1084
PS-18(R)/PS-50(R) St. Island (Same Time/Same Client/Same Area)	NYC SCA	\$ 7,257,000	2013	Mr. Michael Sambourskiy 718-752-5354
PS-48(Q)/PS-128(Q) Queens (Same Time/Same Client/Same Area)	AMCC NYC SCA	\$ 9,333,000	2012	Mr. Dave Perthold 646-772-3980
Gompers School	T.A. Ahern NYC SCA	\$ 9,500,000	2011	Mr. Dan Seymour 646-208-4750
Four Manhattan Libraries	NYC DDC	\$ 3,409,000	95% complete	Mr. Charles Dinstuhl 718-391-1204 646 773 0005
P.S. - 68 (Q)	Adam European NYC SCA	\$ 951,000	2014	Mr. Vinny Olelsky 347 534 7487
Wladorf Astoria Hotel	ShawMutt Design	\$ 2,268,000	2013	Alyssa Parker 617-594-1744
Metropolitan School/PS-198(Q) (Same Time/Same Client/Same Area)	LIRo Group	\$ 6,474,000	90% complete	Mr. Thomas Mo 917 502 4232
Battery Park - Pier "A"	LIRo Group / Battery Park Authority	\$ 4,900,000	2013	Mr. Anthony Buquicchio 212 417 4337 347 865 7052
NY Hall of Science	NYC DDC / Admiral	\$ 1,152,000	98% complete	Mr. Ricardo Munus 646 772 4817
PS 32 Bronx	Lanmark Group NYCSCA	\$ 3,783,000	2009	Mr. Anthony Staknis 732 684 6809
Long Beach Schools	Long Beach CSD	\$ 1,651,000	2012	Mr. Bob Firneis 914-490-0952
SCA Head Quarters	NYC SCA	\$ 1,778,000	2014	Mr. John Drennan 917 440 7432
ConEdison HQ - 12 <sup>th</sup> /13 <sup>th</sup> Floor	Holt Construction	\$ 4,077,000	2015	Mr. Kevin Quinn 845 826 5914





# CERTIFICATE OF PROPERTY INSURANCE

DATE (MM/DD/YYYY)

7/22/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

If this certificate is being prepared for a party who has an insurable interest in the property, do not use this form. Use ACORD 27 or ACORD 28.

<b>PRODUCER</b> Scarsdale Agency Inc. 862 SCARSDALE AVENUE  SCARSDALE NY 10583		<b>CONTACT NAME:</b> Beverly Patterson <b>PHONE (A/C, No, Ext):</b> (914) 723-2100 <b>FAX (A/C, No):</b> (914) 723-5047 <b>E-MAIL ADDRESS:</b> bpatterson@scarsdaleagency.com <b>PRODUCER CUSTOMER ID:</b> 00008603													
<b>INSURED</b> ACS System Associates, Inc. 160 West Lincoln Avenue  Mount Vernon NY 10550		<b>INSURER(S) AFFORDING COVERAGE</b> <table border="1"><tr><td><b>INSURER A:</b> Worcester Insurance Company</td><td><b>NAIC #</b> 26182</td></tr><tr><td><b>INSURER B:</b></td><td></td></tr><tr><td><b>INSURER C:</b></td><td></td></tr><tr><td><b>INSURER D:</b></td><td></td></tr><tr><td><b>INSURER E:</b></td><td></td></tr><tr><td><b>INSURER F:</b></td><td></td></tr></table>		<b>INSURER A:</b> Worcester Insurance Company	<b>NAIC #</b> 26182	<b>INSURER B:</b>		<b>INSURER C:</b>		<b>INSURER D:</b>		<b>INSURER E:</b>		<b>INSURER F:</b>	
<b>INSURER A:</b> Worcester Insurance Company	<b>NAIC #</b> 26182														
<b>INSURER B:</b>															
<b>INSURER C:</b>															
<b>INSURER D:</b>															
<b>INSURER E:</b>															
<b>INSURER F:</b>															

**COVERAGES**

CERTIFICATE NUMBER: CP1572100807

REVISION NUMBER:

LOCATION OF PREMISES / DESCRIPTION OF PROPERTY (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

40-01 Berrian Blvd, Astoria, NY, 11105

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE		POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YYYY)	POLICY EXPIRATION DATE (MM/DD/YYYY)	COVERED PROPERTY	LIMITS		
	<input type="checkbox"/>	PROPERTY				<input type="checkbox"/>	BUILDING	\$	
	<input type="checkbox"/> CAUSES OF LOSS					<input type="checkbox"/> DEDUCTIBLES	<input type="checkbox"/>	PERSONAL PROPERTY	\$
							<input type="checkbox"/>	BUSINESS INCOME	\$
	<input type="checkbox"/>	BASIC				<input type="checkbox"/> BUILDING	<input type="checkbox"/>	EXTRA EXPENSE	\$
	<input type="checkbox"/>	BROAD					<input type="checkbox"/>	RENTAL VALUE	\$
	<input type="checkbox"/>	SPECIAL				<input type="checkbox"/> CONTENTS	<input type="checkbox"/>	BLANKET BUILDING	\$
	<input type="checkbox"/>	EARTHQUAKE					<input type="checkbox"/>	BLANKET PERS PROP	\$
	<input type="checkbox"/>	WIND				<input type="checkbox"/>	BLANKET BLDG & PP	\$	
	<input type="checkbox"/>	FLOOD				<input type="checkbox"/>		\$	
	<input type="checkbox"/>					<input type="checkbox"/>		\$	
	<input type="checkbox"/>					<input type="checkbox"/>		\$	
	A	<input checked="" type="checkbox"/>				INLAND MARINE	TYPE OF POLICY		
<input type="checkbox"/> CAUSES OF LOSS		Installation/Builder	<input checked="" type="checkbox"/>	Deductible \$2500	\$				
		<input type="checkbox"/> NAMED PERILS	POLICY NUMBER		\$				
<input type="checkbox"/>	CIM - 00000089796Q	7/27/2015	7/27/2016		\$				
	<input type="checkbox"/> CRIME							\$	
	<input type="checkbox"/> TYPE OF POLICY						\$		
							\$		
	<input type="checkbox"/> BOILER & MACHINERY / EQUIPMENT BREAKDOWN						\$		
							\$		
							\$		
							\$		

SPECIAL CONDITIONS / OTHER COVERAGES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

Job Name: Bowery Bay Plant Upgrade, Project #: BB-59H

The City of New York is listed as Loss Payee

**CERTIFICATE HOLDER****CANCELLATION**

City of New York  
NYC DEP  
59-17 Junction Blvd, 17th Fl  
Corona, NY 11368

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

DOUGLAS METZ/BEV

ACORD 24 (2009/09)  
INS024 (2009/09)

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# CERTIFICATE OF LIABILITY INSURANCE

ACSSY-1

OP ID: MP

DATE (MM/DD/YYYY)  
03/31/2015

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER RBC Insurance Associates, LLC 1 Executive Drive, Suite 215 Somerset, NJ 08873 Dan Carluccio	CONTACT NAME: Dan Carluccio	
	PHONE (A/C, No, Ext): 732-748-1100	FAX (A/C, No): 732-748-1120
	E-MAIL ADDRESS: DANC@RBCINSURE.COM	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A: Harleysville Insurance Group	NAIC # 23582
	INSURER B: Evanston Insurance Co	35378
	INSURER C: Travelers	19046
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES	CERTIFICATE NUMBER:	REVISION NUMBER:
THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.		

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSD WVO	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> Contractual  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:		MPA 25975V	03/31/2015	03/31/2016	EACH OCCURRENCE \$ 2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 2,000,000 GENERAL AGGREGATE \$ 4,000,000 PRODUCTS - COMP/OP AGG \$ 4,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO ALL OWNED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS		BA 00000025976V	03/31/2015	03/31/2016	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
C	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input checked="" type="checkbox"/> EXCESS LIAB <input checked="" type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> DED <input type="checkbox"/> RETENTION \$ 10000		CMB25977V	03/31/2015	03/31/2016	EACH OCCURRENCE \$ 3,000,000 AGGREGATE \$ 3,000,000
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory In NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input type="checkbox"/> N/A				PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
B	Evanston		APP46750188	03/31/2015	03/31/2016	5Mx/s UMB 5,000,000
C	Travelers		ZUP15S82095-15-NF	03/31/2015	03/31/2016	10Mx/s UMB 10,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

B.UMB - 5 Million Excess Umbrella over 3 Million Harleysville Umbrella  
C.UMB - 10 Million Excess Umbrella over 5 Million Evanston Umbrella  
Additional Insured are Included as Required by Written Contract: City of New York Department of Buildings

## CERTIFICATE HOLDER

## CANCELLATION

CITYNEW  City of New York Department Buildings Attn: Licensing Unit 280 Broadway, 6th Floor New York, NY 10007	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE 

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MAN M. SWAMI  
2462 CROMPOND ROAD  
YORKTOWN HEIGHTS  
NEW YORK, NY 10598

**EXPERIENCE:**

**PROJECT MANAGER**  
ACS System Associates, Inc  
1999- Present

: Working on Great Neck Schools, L.I,  
Yonkers Housing Authority, Yonkers  
Supervise replacement of Boilers associated  
Piping all major mechanical equipments,  
Ductwork, Automatic Temperature Controls.  
Change Estimates, Purchasing & Planning

**PROJECT MANAGER**  
V & R Mechanical  
1002 Jamaica Ave  
Brooklyn, NY 11208  
Sept 1994 - 1999

: Worked on Jane Addams High School, Bronx.  
Supervise replacement of Boilers & Associated  
piping, all major Mechanical Equipments,  
Ductwork & Automatic Temp Control Work.

**SUPERINTENDENT**  
R.D Mortan & Co  
100 Leaning Street  
So. Hackensack, NJ 07607  
Sept 1992- June 1994

: Supervised Mechanical, Electrical & G.C. Work  
associated with conversion of COAL FIRED  
BOILER SYSTEMS & Piping to Oil/Gas fired  
in Public Schools( L.S 45 & L.S 82 in Bronx)

**PROJECT MANAGER(MECH)**  
Wolff & Munier Inc  
50 Broadway  
Hawthorne, N.Y 10532  
Sept 1979 - Aug 1992

: Supervision of HVAC, Plumbing, Sheetmetal &  
Temperature Control Work. Prepare, negotiate &  
finalize Change Estimates. Prepare Bid Packages  
using Digitizer/Manual Take Off. Planning  
Purchasing, Budgeting, Sub-contracting.  
Requisitions & Invoicing using Lotus 1-2-3

**Successful projects included:**

Office Bid : GTE- STAMFORD  
Hotels : HILTON, DANBURY  
Hospitals : MSKCC ROCKEFELLER  
LAB. NY  
Industrial : IBM RESEARCH LAB.  
WESTCHESTER  
Institutional : ST. JOHN UNIVERSITY  
B.A BLDG.NY

**EXECUTIVE ENGINEER**  
Badarpur Power Plant, India

: Boiler Plant & Piping installation/maintenance  
Labor management, Purchasing, Planning

**EDUCATION**

: DEGREE : Bachelor of Engineering  
MAJOR : Mechanical Engineering

**REFERENCES**

: Furnished upon request



Rossy Mateo  
Apprenticeship Training Representative  
NYS Department of Labor  
Workforce Development & Training Division  
75 Varick Street 7<sup>th</sup> Floor  
New York, NY 10013  
212-775-3353  
rossy.mateo@labor.ny.gov  
[www.labor.state.ny.gov](http://www.labor.state.ny.gov)

October 26, 2015

Ahmad Reyaz  
Vice President  
ACS System Associates, Inc.  
160 West Lincoln Avenue  
Mt. Vernon, NY 11791

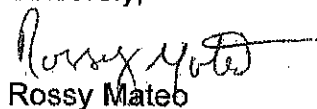
Dear Mr. Reyaz

This is in response to a request on October 26, 2015, wherein verification for the following employer was received. According to our records, the sponsor listed below is registered with the New York State Department of Labor as indicated:

<u>Sponsor</u>	<u>Trade</u>	<u>Date Registered</u>	<u>Current Status</u>
ACS System Associates, Inc	Steamfitter	4-12-1999	Active

Should you have any questions concerning the above information, please contact my office at (212)775-3350.

Sincerely,



Rossy Mateo  
Apprentice Training Representative

ENTERPRISE ASSOCIATION  
STEAMFITTERS' LOCAL 638, V/L, AFL-CIO  
92-32 48<sup>th</sup> Avenue  
Long Island City, N.Y.  
11101 (718) 332-3420

### Letter of Compliance for Project

To: STEAMFITTERS' LOCAL 638

In consideration of your members working for the firm listed below (the "Employer") on the project listed below, which is within the territorial jurisdiction of your Local Union, the Employer agrees as follows:

1. In performing steamfitting work on the project the Employer shall fully comply with the wage rates, hours of work, fringe benefit contribution rates and all other terms and conditions of employment contained in your current Working Agreement, a copy of which is annexed hereto. The fringe benefit contributions shall be made to The Steamfitters' Industry Pension Fund, The Steamfitters' Industry Supplemental Retirement Fund, The Steamfitters' Industry Welfare Fund, The Steamfitters' Industry Vacation Plan, The Steamfitters' Industry Security Benefit Fund, and The Steamfitters' Industry Educational Fund; the Employer agrees to the terms of the Trusts of said Funds and the rules and regulations of The Trustees thereunder as if the Employer were party thereto. Fringe benefit contribution rates and/or wage rates may be increased pursuant to increments as set forth in the Working Agreement. (Contributions shall also be made to the Steamfitting Industry Fund of New York and Long Island, the Labor Management Cooperation Committee, and The United Association Training Fund. Should the Employer not post a bond for fringe benefit payments, or a cash deposit in lieu of bond, it agrees to pay the contributions to the Funds each week when Steamfitters are paid, and this provision supercedes any contrary language in the Working Agreement.)
2. In addition, the Employer shall cover all Steamfitters working for it with Worker's Compensation Insurance, New York State Disability Benefits Insurance and Unemployment Insurance.
3. Should the Employer violate this Letter of Compliance in any respect, the Union shall have the right to withdraw any of its members from employment and invoke any other remedies provided by law under the said Working Agreement. The Employer specifically agrees that if it is delinquent in payment of contributions to the Funds, submission of reports to the Funds, or fails to permit the Funds to conduct an audit, the Funds may, in their discretion, initiate arbitration proceedings under Rule XXIV, Section 2 of the Working Agreement to obtain appropriate relief.

Dated: 10/20/15

Raimond B. [Signature]

Business Agent at Large (Signature)

Requested by: Kevin [Signature]

Business Agent's Name

Employer: ACS System Associates, Inc.

Signature: [Signature]  
Print Name of Company

Print Name & Title: Ahmed Rayaz / President

Company Address: 140 West Lincoln Avenue  
Mount Vernon, NY 10550

Company Phone #: 914-665-6800

NASSAU COUNTY COURT

Name of Project


262 OLD COUNTRY RD. MINEOLA, NY 11501

Project Address

NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

CONSULTANT/CONTRACTOR DETAILED MBE/WBE UTILIZATION PLAN

Part 1-General Information:

Consultant/Contractor Name:	ACS SYSTEM ASSOCIATES INC
Address (street/city/state/zip code):	160 WEST LINCOLN AVE, MOUNT VERNON, NY 10550
Authorized Representative (name/title):	AHMAD REYAZ / PRESIDENT
Authorized Signature:	
Contract Number:	B. 90611-106G
Contract/Project Name:	BOILER REPLACEMENT @ NASSAU SUPREME COURT
Contract/Project Description:	BOILER REPLACEMENT MNRAC.

ACS IS ITSELF NASSAU COUNTY

Part 2-Projected MBE/WBE Contract Summary:

	Amount (\$)	Percentage (%)
Total Dollar Value of the Prime Contract	1,602,000	100%
Total MBE Dollar Amount	1,602,000	100%
Total WBE Dollar Amount	-	
Total Combined M/WBE Dollar Amount	1,602,000	100%





# NASSAU COUNTY REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND CERTIFICATION

Authorization is:  
(CHECK ONE)

☒ New  
☐ Change

**INSTRUCTIONS:** Please complete Section I and sign at the bottom. If you would like to be paid electronically please complete Sections I and II and sign at the bottom.

Mail to: Nassau County Comptroller's Office, Vendor Claims Department, 240 Old Country Road, Mineola, NY 11501  
Or Fax to: (516) 571-2533 or email to [comptrollerclaims@nassaucountyny.gov](mailto:comptrollerclaims@nassaucountyny.gov)

## Section I - Vendor Information

1. Federal ID No. or Social Security No.

133197331

2. Vendor Name: ACS System Associates, Inc.

3. Vendor Remittance Address: 160, West Lincoln Ave, MountVernon, NY 10550

4. Vendor Contact Person: Ahmad Royaz

5. Vendor Contact Telephone No.: 914 688 1888 ext 108

6. Vendor E-Mail Address: Oraloca@aol.com

7. Please answer the four questions below. Unanswered questions will delay payment.

A. The vendor/payee ID number provided above is:

Federal ID# ☒ Social Security #

B. Is vendor/payee incorporated.

Yes ☒ No

C. Is a medical or legal service ever provided by vendor.

Yes ☐ No ☒

D. Is vendor/payee an employee of Nassau County.

Yes ☐ No ☒

## Section II- Financial Institution Information-

Complete this section only if you would like to be paid electronically

8. Routing Transit Number:

021000021

*(Located at the bottom of your check)*

9. Bank Account Number: 6702533498

10. Account Name (Your name on the account): ACS System Associates Inc.

11. Bank Name: Chase Bank

Check here ☐ if you wish to be removed from electronic payments and would like to receive paper checks.

**12. Vendor Certification:** Certification-Under penalties of perjury, I certify that: (1) The number shown on this form is my correct identification number (or I am waiting for a number to be issued to me), and (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding on a result of a failure to report all interest or dividends or (c) the IRS has notified me that I am no longer subject to backup withholding. (3) The information provided on this form is correct to the best of my knowledge. **Certification Instructions:** You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because of under reporting interest or dividends on your tax return. For real estate transactions, item (2) does not apply. The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.

I understand that if I have completed Section II that I authorize payments to be received by electronic funds transfer into the bank account designated in Section II. I further understand that in the event that an erroneous electronic payment is sent, Nassau County reserves the right to reverse the electronic payment. In the event that a reversal cannot be implemented, Nassau County will utilize any other lawful means to retrieve payments to which the payor was not entitled.

Authorized Signature

Print Name/Title

Date

Nassau County Comptroller's Office

FORM 9700-W9



## Nassau County Interim Finance Authority

### Contract Approval Request Form (As of January 1, 2015)

1. Vendor: A C S System Associates, Inc.

2. Dollar amount requiring NIFA approval: \$ 1,999,000.00

Amount to be encumbered: \$ 1,999,000.00

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 9 months

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: \_\_\_\_\_

4. Funding Source:

☐ General Fund (GEN)  
☒ Capital Improvement Fund (CAP)  
☐ Other

☐ Grant Fund (GRT)  
Federal % \_\_\_\_\_  
State % \_\_\_\_\_  
County % \_\_\_\_\_

Is the cash available for the full amount of the contract? ☒ Yes ☐ No

If not, will it require a future borrowing? ☐ Yes ☐ No

Has the County Legislature approved the borrowing? ☒ Yes ☐ No ☐ N/A

Has NIFA approved the borrowing for this contract? ☒ Yes ☐ No ☐ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

General construction services to replace two (2) steam boilers, natural gas burners and boiler controls. The project also includes boiler room drainage improvements and the demolition of fuel oil piping which is no longer required.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A  
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Exceeds \$50K

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Roseann Sullivan                      12/10/15  
Signature                                      Title                                      Date

\_\_\_\_\_  
Print Name

## COMPTROLLER'S OFFICE

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

\_\_\_\_\_ I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

\_\_\_\_\_ I certify that the bonding for this contract has been approved by NIFA.

\_\_\_\_\_ Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

\_\_\_\_\_  
Signature                                      Title                                      Date

\_\_\_\_\_  
Print Name

## NIFA

Amount being approved by NIFA: \_\_\_\_\_

\_\_\_\_\_  
Signature                                      Title                                      Date

\_\_\_\_\_  
Print Name

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**