



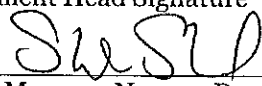
Nassau County

**B07-16**

Department of Public Works

R34

**Staff Summary**

<b>Subject:</b> Bay Park Sewage Treatment Plant – Vehicle Fueling and Tanks Reconstruction
<b>Department:</b> Public Works
<b>Commissioner:</b> Shila Shah Gavnaudias
<b>Department Head Signature</b> 
<b>Project Manager Name:</b> Damon W. Urso <b>Phone Number:</b> 571-7534

<b>Date:</b> December 17, 2015
<b>Vendor Name:</b> RJ Industries 75 East Bethpage Rd, PO Box 349, Plainview NY 11803
<b>Contract Number:</b> S35121-16TR
<b>Personal Services</b> _____ <b>Blanket Res</b> _____ <b>Calendar</b> _____
<b>Bid</b> <input checked="" type="checkbox"/> <b>Rules Comm.</b> _____
<b>Contract Manager Name:</b> : John Doremus, Vice President <b>Phone Number:</b> (516) 845-9772

Proposed Legislative Action					
	To	Date	Approval	Info	Other
	Assgn Comm				
	Rules Comm				
	Full Leg				

Internal Approvals			
Date & Init.	Approval	Date & Init.	Approval
SS 1/21/16	Dept. Head	RS 1/22/16	Counsel to C.E.
RS 1/22/16	Budget	RS 1/25/16	County Atty.
SS 1/25/16	Deputy C.E.		County Exec.

**Narrative**

**Purpose:** This contract is to provide the necessary labor and materials associated with the installation of a new vehicle fueling facility at the Bay Park STP.

**Discussion:** The existing vehicle fueling facility has become obsolete and does not contain modern instrumentation to assist in inventory and leak monitoring. In addition, this facility is past the end of its useful life and sustained damage during Superstorm Sandy. As a result, a new system will be constructed under this project.

**Impact on Funding:** The contract provides for the expenditure of \$1,247,200. Funding will be provided through an existing Capital Project No.: 35121. It is expected that the full cost of these services will be eligible for reimbursement by the Federal Emergency Management Agency (FEMA) under the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Bids were opened on April 28, 2015 and RJ Industries was accepted as the lowest responsible bidder after the low bidder Aventura Construction was deemed non-responsive due to their lack of compliance with County requirements in regards to appropriate union apprenticeship training programs, and the 2<sup>nd</sup> low bidder, Gemstar withdrew their bid after expiration of the 45 day award period.

**Recommendation:** It is recommended that this contract be approved as submitted.

SSG:KGA:JLD:rp

2016 JAN 25 P 2:05  
RECEIVED  
CLERK OF THE LEGISLATURE  
NASSAU COUNTY



## Nassau County Interim Finance Authority

### Contract Approval Request Form (As of January 1, 2015)

1. Vendor: RJ Industries

2. Dollar amount requiring NIFA approval: \$ 1,247,200

Amount to be encumbered: \$ 1,247,200 *1/21*

This is a ☒ New Contract ☐ Advisement ☐ Amendment

If new contract - \$ amount should be full amount of contract

If advisement - NIFA only needs to review if it is increasing funds above the amount previously approved by NIFA

If amendment - \$ amount should be full amount of amendment only

3. Contract Term: 274 Calendar Days

Has work or services on this contract commenced? ☐ Yes ☒ No

If yes, please explain: \_\_\_\_\_

4. Funding Source:

☐ General Fund (GEN) ☒ Grant Fund (GRT) Federal % 100  
☒ Capital Improvement Fund (CAP) State % \_\_\_\_\_  
☐ Other County % \_\_\_\_\_

Is the cash available for the full amount of the contract? ☒ Yes ☐ No

If not, will it require a future borrowing? ☐ Yes ☐ No

Has the County Legislature approved the borrowing? ☒ Yes ☐ No ☐ N/A

Has NIFA approved the borrowing for this contract? ☒ Yes ☐ No ☐ N/A

5. Provide a brief description (4 to 5 sentences) of the item for which this approval is requested:

The existing vehicle fueling facility has become obsolete and does not contain modern instrumentation to assist in inventory and leak monitoring. In addition, this facility is past the end of its useful life and sustained damage during Super storm Sandy. As a result, a new system will be constructed which will include new 10,000 gal. diesel and gasoline underground tanks.

6. Has the item requested herein followed all proper procedures and thereby approved by the:

Nassau County Attorney as to form ☐ Yes ☐ No ☐ N/A  
Nassau County Committee and/or Legislature ☐ Yes ☐ No ☐ N/A

Date of approval(s) and citation to the resolution where approval for this item was provided:

7. Identify all contracts (with dollar amounts) with this or an affiliated party within the prior 12 months:

Exceeds \$50 K.

## AUTHORIZATION

To the best of my knowledge, I hereby certify that the information contained in this Contract Approval Request Form and any additional information submitted in connection with this request is true and accurate and that all expenditures that will be made in reliance on this authorization are in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan. I understand that NIFA will rely upon this information in its official deliberations.

Signature \_\_\_\_\_ Title \_\_\_\_\_

# Title

1/25/16  
Date

Date \_\_\_\_\_

Print Name

**COMPTROLLER'S OFFICE**

To the best of my knowledge, I hereby certify that the information listed is true and accurate and is in conformance with the Nassau County Approved Budget and not in conflict with the Nassau County Multi-Year Financial Plan.

Regarding funding, please check the correct response:

I certify that the funds are available to be encumbered pending NIFA approval of this contract.

If this is a capital project:

I certify that the bonding for this contract has been approved by NIFA.

         Budget is available and funds have been encumbered but the project requires NIFA bonding authorization

Signature

Title

Date \_\_\_\_\_

Print Name \_\_\_\_\_

**NIFA**

Amount being approved by NIFA: \_\_\_\_\_

Signature

Title

Date \_\_\_\_\_

Print Name

**NOTE: All contract submissions MUST include the County's own routing slip, current NIFS printouts for all relevant accounts and relevant Nassau County Legislature communication documents and relevant supplemental information pertaining to the item requested herein.**

**NIFA Contract Approval Request Form MUST be filled out in its entirety before being submitted to NIFA for review.**

**NIFA reserves the right to request additional information as needed.**

B07-16

RO 34 -2016

A RESOLUTION AUTHORIZING THE COUNTY EXECUTIVE TO AWARD AND EXECUTE A CONTRACT BETWEEN THE COUNTY OF NASSAU ACTING ON BEHALF OF THE NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS AND R.J. INDUSTRIES, INC.

Passed by the Rules Committee  
Nassau County Legislature  
By Voice Vote on 2-1-16  
VOTING:  
ayes 4 nays 0 abstained 3 recused 0  
Legislators present: 7

WHEREAS, in accordance with all Federal, State and Local Law, the County of Nassau on behalf of the NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS ["Department"] has received competitive bids for contract S35121-16TR, BAY PARK SEWAGE TREATMENT PLANT – VEHICLE FUELING FACILITY & TANKS RECONSTRUCTION ["Contract"], as more particularly described in the contract documents, a copy of which are on file with the Clerk of the Legislature; and

WHEREAS, the firm of R.J. INDUSTRIES, INC.

["Vendor"] has submitted the lowest responsible bid for the work described in the contract in accordance with all Federal, State and Local Law as determined by the Department, and

WHEREAS, the funding for this contract is from capital funds approved by the Nassau County Legislature and included in the current four year capital plan, and

WHEREAS, the Commissioner of the Department is representing that the total contract is estimated to be \$ 1,247,200 now therefore be it

RESOLVED, that the Rules Committee of the Nassau County Legislature, based on the representations of the Department and the recommendation of the Commissioner of the Department, authorizes the County Executive to award and execute the said contract with the vendor.



COMPTROLLER

OFFICE OF THE

240 Old Country Road  
Mineola, New York 11501

**COMPTROLLER APPROVAL FORM FOR PERSONAL,  
PROFESSIONAL OR HUMAN SERVICES CONTRACTS**

*Attach this form along with all personal, professional or human services contracts, contract renewals, extensions and amendments.*

**CONTRACTOR NAME: RJ Industries, Inc.**

**CONTRACTOR ADDRESS: 75 E. Bethpage Rd, PO Box 349 Plainview NY 11803**

**FEDERAL TAX ID #: 11-3401288**

---

**Instructions:** Please check the appropriate box ("☑") after one of the following roman numerals, and provide all the requested information.

**I. X The contract was awarded to the lowest, responsible bidder after advertisement for sealed bids.** The contract was awarded after a request for sealed bids was published in Newsday on March 25, 2015. The sealed bids were publicly opened on April 28, 2015. Five (5) sealed bids were received and opened. RJ Industries, Inc., the third lowest bidder was deemed the lowest responsible bidder after the low bidder was deemed non-responsive and the 2<sup>nd</sup> low bid withdraw its bid after the 45 day bid period expired.

**II. ☐ The contractor was selected pursuant to a Request for Proposals.**

The Contract was entered into after a written request for proposals was issued on \_\_\_\_\_. Potential proposers were made aware of the availability of the RFP by advertisement in Newsday, posting on industry websites, via email to interested parties and by publication on the County procurement website. Proposals were due on \_\_\_\_\_. [Number] ( ) proposals were received and evaluated. The evaluation committee consisted of: three members of the Comptroller's Office and one member of the County Executive's Office. The proposals were scored and ranked. As a result of the scoring and ranking, the highest-ranking proposer was selected.

**III. ☐ This is a renewal, extension or amendment of an existing contract.**

The contract was originally executed by Nassau County on \_\_\_\_\_ [date]. This is a renewal or extension pursuant to the contract, or an amendment within the scope of the contract or RFP (copies of the relevant pages are attached). The original contract was entered into after \_\_\_\_\_

\_\_\_\_\_  
[describe procurement method, i.e., RFP, three proposals evaluated, etc.] Attach a copy of the most recent evaluation of the contractor's performance for any contract to be renewed or extended. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to continue to contract with the county.

**IV. ☐ Pursuant to Executive Order No. 1 of 1993, as amended, at least three proposals were solicited and received. The attached memorandum from the department head describes the proposals received, along with the cost of each proposal.**

- ☐ A. The contract has been awarded to the proposer offering the lowest cost proposal; **OR:**
- ☐ B. The attached memorandum contains a detailed explanation as to the reason(s) why the contract was awarded to other than the lowest-cost proposer. The attachment includes a specific delineation of the unique skills and experience, the specific reasons why a proposal is deemed superior, and/or why the proposer has been judged to be able to perform more quickly than other proposers.

**V. ☐ Pursuant to Executive Order No. 1 of 1993 as amended, the attached memorandum from the department head explains why the department did not obtain at least three proposals.**

- ☐ A. There are only one or two providers of the services sought or less than three providers submitted proposals. The memorandum describes how the contractor was determined to be the sole source provider of the personal service needed or explains why only two proposals could be obtained. If two proposals were obtained, the memorandum explains that the contract was awarded to the lowest cost proposer, or why the selected proposer offered the higher quality proposal, the proposer's unique and special experience, skill, or expertise, or its availability to perform in the most immediate and timely manner.
- ☐ B. The memorandum explains that the contractor's selection was dictated by the terms of a federal or New York State grant, by legislation or by a court order. (Copies of the relevant documents are attached).
- ☐ C. Pursuant to General Municipal Law Section 104, the department is purchasing the services required through a New York State Office of General Services contract no. \_\_\_\_\_, and the attached memorandum explains how the purchase is within the scope of the terms of that contract.
- ☐ D. Pursuant to General Municipal Law Section 119-o, the department is purchasing the services required through an inter-municipal agreement.

**VI. ☐ This is a human services contract with a not-for-profit agency for which a competitive process has not been initiated.** Attached is a memorandum that explains the reasons for entering into this contract without conducting a competitive process, and details when the department intends to initiate a competitive process for the future award of these services. For any such contract, where the vendor has previously provided services to the county, attach a copy of the most recent evaluation of the vendor's performance. If the contractor has not received a satisfactory evaluation, the department must explain why the contractor should nevertheless be permitted to contract with the county.

In certain limited circumstances, conducting a competitive process and/or completing performance evaluations may not be possible because of the nature of the human services program, or because of a compelling need to continue services through the same provider. In those circumstances, attach an explanation of why a competitive process and/or performance evaluation is inapplicable.

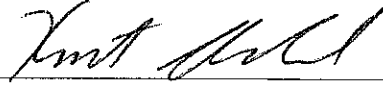
**VII. ☐ This is a public works contract for the provision of architectural, engineering or surveying services.** The attached memorandum provides details of the department's compliance with Board of Supervisors' Resolution No.928 of 1993, including its receipt and evaluation of annual Statements of Qualifications & Performance Data, and its negotiations with the most highly qualified firms.

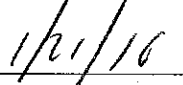
**VIII. ☒ Participation of Minority Group Members and Women in Nassau County Contracts.** The selected contractor has agreed that it has an obligation to utilize best efforts to hire MWBE sub-contractors. Proof of the contractual utilization of best efforts as outlined in Exhibit "EE" may be requested at any time, from time to time, by the Comptroller's Office prior to the approval of claim vouchers.

**IX. ☒ Department MWBE responsibilities.** To ensure compliance with MWBE requirements as outlined in Exhibit "EE", Department will require vendor to submit list of sub-contractor requirements prior to the contract being submitted to the Comptroller.

**X. ☐ Vendor will not require any sub-contractors.**

***In addition, if this is a contract with an individual or with an entity that has only one or two employees:*** ☐ a review of the criteria set forth by the Internal Revenue Service, *Revenue Ruling No. 87-41, 1987-1 C.B. 296*, attached as Appendix A to the Comptroller's Memorandum, dated February 13, 2004, concerning independent contractors and employees indicates that the contractor would not be considered an employee for federal tax purposes.

  
\_\_\_\_\_  
Department Head Signature

  
\_\_\_\_\_  
Date

***NOTE: Any information requested above, or in the exhibit below, may be included in the county's "staff summary" form in lieu of a separate memorandum.***

***Compt. form Pers./Prof. Services Contracts: Rev. 09/15***

CVD  
1/4

**COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
Inter-Departmental Memo**

**TO:** Kenneth G. Arnold, Assistant to Commissioner

**FROM:** Division of Engineering  
Water/Wastewater Engineering Unit

**DATE:** December 21, 2015

**SUBJECT:** RECOMMENDATION OF AWARD

Contract No: S35121-16TR

Title: Bay Park STP  
Vehicle Fueling & Tanks Reconstruction

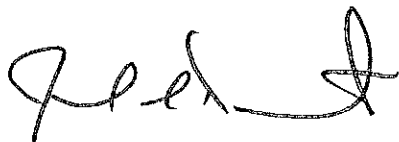
Engineer's Estimate: \$1,203,798.77

Bids Received On: April 28, 2015

The bids received for the above-referenced contract have been examined, and the bid submitted by RJ Industries, in the amount of \$1,247,200 is acceptable as the lowest responsible bidder.

The apparent low bidder, Aventura Construction Corporation, was determined non responsive due to their lack of compliance with County Local Law No 9-2002 requiring appropriate labor union apprenticeship training programs with type and scope of work; and the second low bidder, Gemstar Construction Corporation, chose to withdraw its bid after expiration of the 45 day award period. As a result, RJ Industries bid being approximately 3% above the engineer's estimate has been determined as the lowest responsible bidder. Accordingly, since adequate funds are available (Capital Project No. CSW 35121), it is requested that the Recommendation of Award be prepared for the Commissioner's signature and forwarded to the County Executive for his action.

Attached, herewith are the following documents for your information and use: Staff Summary, NIFA form, Engineer's Bid Analysis and Award Recommendation, Request to Initiate, CSEA, M/WBE Utilization Plan, Certification Regarding Debarment, Suspension, and Voluntary Exclusion, Business History and Principal Questionnaire Forms, Lobbyist Registration and Disclosure Form, Consultant Contractor's and Vendors Disclosure Form, Letters of Non-Responsive bid for Aventura Construction and withdrawal from Gemstar Construction.



Joseph L. Davenport  
Chief Sanitary Engineer  
Unit Head, Water/Wastewater Engineering Unit

JLD:rp  
Attachments

c: Loretta V. Dionisio, Hydrogeologist II  
Damon W. Urso, Sanitary Engineer III





Hazen & Sawyer, PC  
498 Seventh Avenue  
New York, NY 10018  
212-539-7000

ARCADIS  
27-01 Queens Plaza North, Suite 800  
Long Island City, NY 11101  
718-446-0116

TO: Damon Urso

COPY: Joseph Davenport, Peter Glus, Mike DeNicola, Greg Bazydola, Paul Saurer, Patricia O'Loughlin, Cathy Mallon

FROM: Seth J. Schneider

DATE: December 10, 2015

SUBJECT: **Bid Evaluation and Recommendation**  
**Contract S35121-16TR General Construction**  
**Bay Park Sewage Treatment Plant Vehicle Fueling and Tanks Reconstruction**

---

Bids for Contract S35121-16TR were opened on April 28, 2015 at 10:30 AM at Nassau County's Theodore Roosevelt Executive and Legislative Building. At the request of the Nassau County Department of Public Works (NCDPW), the Hazen and Sawyer and Arcadis Joint Venture (PMJV) has evaluated the bids for Contract S35121-16TR.

*Bid Comparison*

Table 1 below summarizes the bids received for the contract and includes the Engineer's Estimate.

Table 1 Summary of Submitted Bids for Contract S35121-16TR	
Contractor	Submitted Bid Total
Engineer's Estimate	\$1,203,798.77
Aventura Construction Corporation	\$1,083,572.00
Gemstar Construction Corporation	\$1,096,900.00
RJ Industries	\$1,247,200.00
Island Pump and Tank Corporation	\$1,299,484.00
The Franklin Company	\$1,449,292.00

As shown in Table 1, the Engineer's Estimate is \$1,203,798.77. The three lowest bids are \$1,083,572.00 from Aventura Construction Corporation, \$1,096,900.00 from Gemstar Construction Corporation, and \$1,247,200.00 from RJ Industries. The mean value of the three lowest bids is \$1,142,557.00, which is approximately 5% less than the Engineer's Estimate. Aventura Construction Corporation is the apparent low bidder, and Gemstar Construction Corporation's bid is the second lowest. The County has determined that both Aventura's bid was not responsive due to their lack of compliance with County requirements in regards to labor unions. Gemstar chose to withdraw their bid after expiration of the 45 day award period. As a result, the County has directed the PMJV to evaluate the third low bidder, RJ Industries.

### *Evaluation of Third Low Bidder – RJ Industries*

The PMJV has evaluated RJ Industries, the third low bidder. A Dun & Bradstreet (D&B) report is attached.

From the D&B report, it can be noted that RJ Industries is a small water and sewer utility construction company, which has been in business since 1997, and has a clear history. There is limited financial information available from the D&B report, but what is provided is generally not negative. According to the D&B report, RJ Industries pay vendors on average 19 days beyond terms, which is slower than industry average. RJ Industries does not provide any financial statement data or earnings to the D&B report, which affects their risk rating (shown on the report as high risk), however this is not unusual for small entities such as this firm. RJ Industries has indicated that sales for 2014 were \$30,000,000. There is nothing derogatory noted in the D&B report, and D&B rates them as a 1R3, which is an overall fair rating.

### *Reference Checks of RJ Industries Projects*

Table 2 below is a summary of referenced RJ Industries projects for which reference information was provided by RJ Industries in the bid submission for Contract S35121-16TR and for which the PMJV contacted references.

**Table 2. RJ Industries Reference Summary**

Client	Project	Approximate Contract Amount
New York City Transit	Mother Clara Hale Bus Depot MTA Contract C-40453 (subcontract)	\$330,000
Waldorf Astoria Hotel	46 <sup>th</sup> Floor – Refurbish Domestic Water Tank & Install Temporary Pump Bypass System	\$148,120
New York City Transit	Replacement of Bus Washers at Manhattanville	\$2,148,272

Below is the summary of phone discussions with listed references.

- New York City Transit: Mother Clara Hale Bus Depot; New York, NY; \$330,000 contract value.
  - Contact: Scott Segal, Silverite Construction, [REDACTED]
  - Scope: RJ provided labor and general contracting services under a subcontract to Silverite Construction for piping installation related to underground storage tanks.
  - Comments: The project was completed on time and within budget. RJ Industries provided Silverite with underground piping details and other submittals which were generally of good quality.
  - Mr. Segal would recommend RJ Industries for other work.

- Waldorf Astoria Hotel: 46<sup>th</sup> Floor – Refurbish Domestic Water Tank; 301 Park Ave, New York, NY 10022; \$148,120 contract value.
  - Contact: John Matrona , 347-865-8709
  - Scope: Provided services for the hotel, including domestic water tank rehabilitation and installation of a temporary bypass.
  - Comments: RJ Industries has done multiple jobs for the hotel, including sprinkler system installation and domestic water tank rehabilitation. Mr. Matrona highly recommends this contractor, as all projects were completed on time and within budget. There were no significant issues with change orders, staffing, or quality. The Waldorf Astoria Hotel uses them as their regular contractor.
- New York City Transit: Replacement of Bus Washers at Manhattanville
  - Contact: Keith Summa, 631-756-8000
  - Scope: Replacement of bus washers, including piping, tanks, nozzles and splash walls.
  - Comments: Work was completed on time and with high quality. Staging was critical, as the facility needed to remain in service, and this was done well. Mr. Summa would recommend RJ for future work.

#### *Pre-Award Meeting with RJ Industries*

A pre-award meeting was held on October 30, 2015 at the Bay Park construction trailer conference room. Present at the meeting were representatives of RJ Industries, PMJV and NCDPW (see attached sign-in sheet). The PMJV and NCDPW reviewed the overall scope of work with RJ and discussed the project scope to confirm adequate project understanding. M/WBE requirements were reviewed by Shelley Brazley of Nassau County.

Based upon the details of the discussion, it appears that RJ Industries has a full understanding of the project and its complexity. However, at the meeting RJ was asked if they could provide references for similar projects that involve storage and dispensing of fuel, and no such references were made available. RJ did indicate that they will be installing four 15,000 gallon buried fuel storage tanks under the Phase E3 Main Substation and Electrical Distribution project. While it is clear that RJ has performed other projects involving fuel storage elements, they have been unable to provide references for prior projects with fuel storage with dispensing. In addition, they have not provided references for any completed projects involving buried fuel storage tanks within Nassau County. Subsequent to this meeting, we understand that RJ Industries is in the process of renewing the tank installation license for one of their employees with the Nassau County Fire Department. It is assumed that RJ Industries will thus have an employee with the requisite license once this project is underway.

#### *Recommendation*

RJ Industries has successfully completed projects at Bay Park STP, and is generally a capable contractor who should be able to successfully complete this work. However, we have concerns with their apparent lack of experience with fuel dispensing system projects and their apparent lack of buried fuel storage



Hazen & Sawyer, PC  
498 Seventh Avenue  
New York, NY 10018  
212-539-7000

ARCADIS  
27-01 Queens Plaza North, Suite 800  
Long Island City, NY 11101  
718-446-0116

tank experience within Nassau County. The PMJV would like to point out these concerns to the County and will be prepared to support the County throughout this project.

To conclude, the PMJV believes that RJ Industries should be capable of successfully completing this project, and therefore Contract S35121-16TR should be awarded to RJ Industries.

Please contact Seth Schneider at (914) 641-2954 or [Seth.Schneider@Arcadis.com](mailto:Seth.Schneider@Arcadis.com) if there are any questions or comments.

## Bay Pk Sewage Treatment Plant Vehicle Fueling Facility &amp; Tanks Reconstruction

Bid Opening: 4/28/2015

Engineer: Damon Urso

Phone: (516) 571-7534

Contractor	Address	Insurance	Payment	Bid Amount	Alternate Bid
Aventura Construction	1101 Waverly Avenue Holtsville, NY 11742	Endurance American Insurance	10% Amt Bid	\$1,083,572.00	\$0.00
Gemstar Construction Corp.	83 Jewett Avenue Staten Island, NY 10302	U.S. Specialty Insurance Compa	10% Amt Bid	\$1,096,900.00	\$0.00
RJ Industries	75 East Bethpage Road Plainview, NY 11803-0349	Liberty Mutual Insurance Comp	10% Amt Bid	\$1,247,200.00	\$0.00
Island Pump and Tank Corp.	40 Doyle Court East Northport, NY 11731	Hudson Insurance Company	10% Amt Bid	\$1,299,484.00	\$0.00
The Franklin Company	22-04 119 Street College Point, NY 11356	RJI Insurance Company	10% Amt Bid	\$1,449,292.00	\$0.00

COUNTY OF NASSAU  
Inter-Departmental Memo

**TO:** Office of the County Executive  
Att: Richard R. Walker, Chief Deputy County Executive

**FROM:** Department of Public Works

**DATE:** January 20, 2016

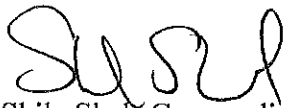
**SUBJECT: RECOMMENDATION OF AWARD**

**Contract No: S35121-16TR**

**Title: CONTRACT S35121-16TR – BAY PARK SEWAGE TREATMENT PLANT –  
VEHICLE FUELING FACILITY & TANKS RECONSTRUCTION**

**Bids received on: April 28, 2015**

I have examined the bids submitted for the contract mentioned above. Finding them to be in order, I recommend this contract be awarded to **R.J. Industries, Inc.**, as the lowest responsible bidder with a total bid amount of **\$1,247,200.00**. In order to facilitate processing of the above referenced contract, I request that the attached "Request to Initiate" form be approved.



Shila Shah-Gavoudias  
Commissioner

SSG:KGA:jh



## REQUEST TO INITIATE

RTI Number 14-0222

## REQUEST FOR QUALIFICATIONS/REQUEST FOR PROPOSAL/REQUEST FOR BID CONTRACT

PART I: Approval by the Deputy County Executive for Operations must be obtained prior to ANY RFQ/RFP/RFBC

☐ RFQ ☐ RFP ☒ RFBC ☐ In-House or Requirements Work OrderProject Title: Bay Park Sewage Treatment Plant Vehicle Fueling and Tanks Reconstruction (S35121-16T)Department: Public WorksProject Manager: Damon UrsoDate: 7/18/14Service Requested: General Construction Contract to install a new vehicle fueling facility which will include replacement and installation of new 10,000 gallon diesel and gasoline underground tanks.Justification: The existing vehicle fueling facility has become obsolete and does not contain modern instrumentation to assist in inventory and leak monitoring. In addition, this facility is past the end of its useful life and sustained damage during Superstorm Sandy. As a result, a new system will be constructed under this project.Requested by: Water/Wastewater Engineering Unit

Department/Agency/Office

Project Cost for this Phase/Contract: (Plan/Design/Construction/CM/Equipment)  
Circle appropriate phase

\$819,644.00

Total Project Cost: \$1,120,000.00  
Includes, design, construction and CMDate Start Work: Sept. 15, 2014  
Phase being requestedDuration: 9 Months  
Phase being requestedCapital Funding Approval: YES ☒ NO ☐

SIGNATURE

DATE

Funding Allocation (Capital Project): 35121  
See Attached Sheet if multi-year ☐

003

NIFS Entered: Dan McIntosh

SIGNATURE

DATE

AIM Entered: \_\_\_\_\_

SIGNATURE

DATE

Funding Code: \_\_\_\_\_

use this on all encumbrances

Timesheet Code: \_\_\_\_\_

use this on timesheets

State Environmental Quality Review Act (SEQRA):

Type II Action ☐ or, Environmental Assessment Form Required ☐

Supplemental Environmental Documentation \_\_\_\_\_

Department Head Approval: \_\_\_\_\_

YES ☒ NO ☐

DCE/Ops Approval: \_\_\_\_\_

YES ☒ NO ☐

SIGNATURE

SIGNATURE

PART II: To be submitted to Chief Deputy County Executive after Qualifications/Proposals/Contracts are received from Responding vendors.

Vendor	Quote	Comment	See Attached Sheet <input type="checkbox"/>
1. Aventura Const.	\$1,083,572	Non-Responsive	
2. Gemstar Const	1,096,900	Withdrawn	
3. RJ Industries	1,247,200	Apparent Low Bidder	
4. Island Pump+Tank	1,299,484		
5. The Franklin Co.	1,449,292		

DCE/Ops Approval: \_\_\_\_\_

YES NO

Signature \_\_\_\_\_

Version January 2014

K:\ADMIN\Letters for Signature\Water Management\Shin\RTI Vehicle Fuel Const Form Jan 2014 revised.doc

D.V. 8/1/15

**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
**Inter-Departmental Memo**

**TO:** Civil Service Employees Association, Nassau Local 830  
Att: Ronald Gurrieri, Executive Vice President

**FROM:** Department of Public Works

**DATE:** July 25, 2014

**SUBJECT:** CSEA Notification of a Proposed DPW Contract  
Proposed Contract No. S35121-16T

The following notification is to comply with the spirit and intent of Section 32 of the County/CSEA contract. It should not be implied that the proposed DPW contract/agreement is for the work which has "historically and exclusively been performed by bargaining unit members".

1. DPW plans to recommend a contract/agreement for the following services:

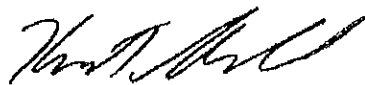
General construction contract to install a new vehicle fueling facility at the Bay Park STP.

2. The work involves the following:

Scope of Work: General construction for removing existing vehicle fueling facility and installation of new facility which will include replacement and installation of new 10,000 gallon diesel and gasoline underground tanks.

3. An estimate of the cost is: \$819,644.00
4. An estimate of the duration is: Nine (9) months

Should you wish to propose an alternative to the proposed contract/agreement, please respond within ten (10) days to: Department of Public Works, Att: Kenneth G. Arnold, Assistant to Commissioner, telephone 1-9607, fax 1-9657.



Kenneth G. Arnold  
Assistant to Commissioner

KGA:JLD:cs

- c: Christopher Fusco, Director, Office of Labor Relations  
Keith Cromwell, Office of Labor Relations  
William S. Nimmo, Deputy Commissioner  
Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Patricia Kivo, Unit Head, Human Resources Unit  
Damon W. Urso, Sanitary Engineer III ✓  
Loretta V. Dionisio, Hydrogeologist II



**Minority & Women Owned Business Enterprise (MWBE) Utilization Plan & Waiver Request Form**  
(Revised 09/2014)

**SECTION 1: MUNICIPAL INFORMATION**

Recipient/Municipality: Department of Public Works

County: Nassau

SRF Project No.:

GIGP No.:

Contract ID: S35121-16TR

Registration No. (NYC only):

Minority Business Officer:

Email:

Phone #:

Address of MBO:

Signature of MBO: (Required even if Authorized Rep. is filled out)

☐ I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.

Date:

*Complete if applicable: MBO may authorize representative to complete & submit quarterly payment reports.*

Authorized Representative:

Title:

Authorized Rep. Company:

Email:

Phone #:

Electronic Signature of Authorized Rep.:

☐ I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and belief.

Date:

**SECTION 2: PRIME CONTRACTOR / SERVICE PROVIDER INFORMATION**

If contract is performed through an MWBE Joint Venture or Teaming Arrangement please submit the additional form found at [www.efc.ny.gov/mwbe](http://www.efc.ny.gov/mwbe)

Firm Name: RJS, A JV

Contract Type: ☒ Construction ☐ Other Services

Prime Firm is Certified as: ☐ MBE ☐ WBE ☒ N/A ☐ Other:

Please repeat information in the Utilization Plan below (Section 3). If dual certified, you must select either MBE or WBE.

Address: PO Box 349, Plainview, NY 11803-0349

Phone #: 516-845-9772

Fed. Employer ID #: 46-5260846

Description of Work: General Construction

Award Date:

Start Date:

Completion Date:

MWBE GOAL Total

PROPOSED MWBE Participation

Total Contract Amount: \$ 1,247,200.00

MWBE Eligible Contract Amount: \$ 975,234

(MWBE Goals are applied to this amount and includes all change orders, amendments, & waivers)

MBE: % \$

MBE: % \$

WBE: % \$

WBE: 18.36% \$ 229,000.00

*If waivers are requested, documentation must be attached:* ☐ Full Waiver (No Participation) ☐ Partial Waiver (Short of the MWBE Goal)

☐ Specialty Equipment/Services Waiver (must be of SIGNIFICANT cost - list of equipment and cost & good faith effort documentation must be attached)

Electronic Signature of Contractor: ☒ I certify that the information submitted herein is true, accurate and complete to the best of my knowledge and that all MWBE subcontractors will perform a commercially useful function.

Name (Please Type): John Koziarz

Date: 12/21/2015

**Minority & Women Owned Business Enterprise (M/WBE) Utilization Plan & Waiver Request Form**  
(Revised 09/2014)

**SECTION 3: UTILIZATION PLAN**

This Submittal Is: <input checked="" type="checkbox"/> The First/Original Utilization Plan <input type="checkbox"/> Revised Utilization Plan #: NYS Certified M/WBE Contractor & Subcontractor Info (MBO to check certifications)		Contract Amount:		For EFC Use:
		MBE (\$)	WBE (\$)	
Name: KNDD Licensed Electrical Contracting & Service Corp.		Fed. Employer ID#: 11-3419637		\$229,000.00
Address: 120 Brook Ave. Unit B, Deer Park, NY 11729		Phone #: 631-242-1708		
Scope of Work: Electrician		Email: Krissy@KNDDElectric.com		
Select Only One: <input type="checkbox"/> MBE <input checked="" type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		
Name:		Fed. Employer ID#:		
Address:		Phone #:		
Scope of Work:		Email:		
Select Only One: <input type="checkbox"/> MBE <input type="checkbox"/> WBE <input type="checkbox"/> Other:		Start Date:		
Full Contract Amount: \$		Completion Date:		

U.S. DEPARTMENT OF JUSTICE  
OFFICE OF JUSTICE PROGRAMS  
OFFICE OF THE COMPTROLLER

**Certification Regarding  
Debarment, Suspension, Ineligibility and Voluntary Exclusion  
Lower Tier Covered Transactions  
(Sub-Recipient)**

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 28 CFR Part 67, Section 67.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988 *Federal Register* (pages 19160-19211).

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Richard O. Felicetta, President

Name and Title of Authorized Representative

12/22/15  
m/d/yy

Signature

Date

R.J. Industries, Inc.

Name of Organization

75 East Bethpage Road; PO Box 349; Plainview, NY 11803

Address of Organization

### Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposes," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled, "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous; A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may check the Nonprocurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of reports in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

### Business History Form

In addition to the submission of bids/proposals, as applicable, each bidder/proposer shall complete and submit this questionnaire. The questionnaire shall be filled out by the owner of a sole proprietorship or by an authorized representative of the firm, corporation or partnership submitting the bid/proposal.

(USE ADDITIONAL SHEETS IF NECESSARY TO FULLY ANSWER THE FOLLOWING QUESTIONS).

Date: November 10, 2015

1) Bidder's/Proposer's Legal Name: R.J. Industries, Inc.

2) Address of Place of Business: 75 East Bethpage Road; Plainview, NY 11803

List all other business addresses used within last five years: n/a

3) Mailing Address (if different): 75 East Bethpage Road; PO Box 349; Plainview, NY 11803

Phone : 516-845-9772

Does the business own or rent its facilities? n/a

4) Dun and Bradstreet number: n/a

5) Federal I.D. Number: 11-3401288

6) The bidder/proposer is a (check one): ☐ Sole Proprietorship ☐ Partnership ☒ Corporation ☐ Other (Describe) \_\_\_\_\_

7) Does this business share office space, staff, or equipment expenses with any other business?  
Yes ☒ No ☐ If Yes, please provide details: RJ manages BSRJ A T/V & RJ/Scalamandre, JV out of it's main office in Plainview, NY.

8) Does this business control one or more other businesses? Yes ☐ No ☒ If Yes, please provide details: \_\_\_\_\_

9) Does this business have one or more affiliates, and/or is it a subsidiary of, or controlled by, any other business? Yes ☒ No ☐ If Yes, provide details: R.J.I. Mechanical, Inc.

10) Has the bidder/proposer ever had a bond or surety cancelled or forfeited, or a contract with Nassau County or any other government entity terminated? Yes ☐ No ☒ If Yes, state the name of bonding agency, (if a bond), date, amount of bond and reason for such cancellation or forfeiture: or details regarding the termination (if a contract). \_\_\_\_\_

11) Has the bidder/proposer, during the past seven years, been declared bankrupt? Yes ☐ No ☒  
If Yes, state date, court jurisdiction, amount of liabilities and amount of assets \_\_\_\_\_

12) In the past five years, has this business and/or any of its owners and/or officers and/or any affiliated business, been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency? And/or, in the past 5 years, have any owner and/or officer of any affiliated business been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency, where such investigation was related to activities performed at, for, or on behalf of an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_

13) In the past 5 years, has this business and/or any of its owners and/or officers and/or any affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies? And/or, in the past 5 years, has any owner and/or officer of an affiliated business been the subject of an investigation by any government agency, including but not limited to federal, state and local regulatory agencies, for matters pertaining to that individual's position at or relationship to an affiliated business. Yes ☐ No ☒ If Yes, provide details for each such investigation. \_\_\_\_\_

14) Has any current or former director, owner or officer or managerial employee of this business had, either before or during such person's employment, or since such employment if the charges pertained to events that allegedly occurred during the time of employment by the submitting business, and allegedly related to the conduct of that business:

a) Any felony charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. \_\_\_\_\_

b) Any misdemeanor charge pending? No ☒ Yes ☐ If Yes, provide details for each such charge. \_\_\_\_\_

c) In the past 10 years, you been convicted, after trial or by plea, of any felony and/or any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? No ☒ Yes ☐ If Yes, provide details for each such conviction \_\_\_\_\_

d) In the past 5 years, been convicted, after trial or by plea, of a misdemeanor? No ☒ Yes ☐ If Yes, provide details for each such conviction. \_\_\_\_\_

e) In the past 5 years, been found in violation of any administrative, statutory, or regulatory provisions? No ☒ Yes ☐ If Yes, provide details for each such \_\_\_\_\_

occurrence. \_\_\_\_\_

- 16) In the past (5) years, has this business or any of its owners or officers, or any other affiliated business had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? No x Yes \_\_\_\_ If Yes, provide details for each such instance. \_\_\_\_\_

- 16) For the past (5) tax years, has this business failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? No x Yes \_\_\_\_ If Yes, provide details for each such year. Provide a detailed response to all questions checked 'YES'. If you need more space, photocopy the appropriate page and attach it to the questionnaire. \_\_\_\_\_

Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

17) Conflict of Interest:

a) Please disclose:

(i) Any material financial relationships that your firm or any firm employee has that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County. none

(ii) Any family relationship that any employee of your firm has with any County public servant that may create a conflict of interest or the appearance of a conflict of interest in acting as collection agent on behalf of Nassau County.

(iii) Any other matter that your firm believes may create a conflict of interest or the appearance of a conflict of interest in acting as a collection agent on behalf of Nassau County. none

b) Please describe any procedures your firm has, or would adopt, to assure the County that a conflict of interest would not exist for your firm in the future.

17)a)(ii) In 2014, RJ had an open position for an MWBE Officer; we attempted to fill the position with a former employee who was our MWBE Officer but she declined. We received a resume from Brittany Pedenzin, who happens to be related to Robert Pedenzin, an employee of Hazen and Sawyer, the engineering firm currently working for Nassau County. Brittany was hired and has been working as our MWBE officer on all RJ (& related) projects since April 7, 2014.

17)b) We would be willing to assist Nassau County on any initiatives that would help the County maintain protocols that eliminate potential conflicts of interest. Should we learn of any potential / questionable issues that may arise, we intend to notify the County for its determination of what is appropriate.

Attachments to Business History Form

Please provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- A. Include a resume or detailed description of the bidder's/proposer's professional qualifications, demonstrating extensive experience in your profession. Any prior similar experiences, and the results of these experiences, must be identified.

Should the bidder/proposer be other than an individual, the bid/proposal should include:

- i) Date of formation; 9/2/1997
- ii) Name, addresses, and position of all persons having a financial interest in the company, including shareholders, members, general or limited partner; see attached
- iii) Name, address and position of all officers and directors of the company; see attached
- iv) State of Incorporation (if applicable); NY
- v) The number of employees in the firm; 25 non-union / union varies (25-35)
- vi) Annual revenue of firm; \$30 million
- vii) Summary of relevant accomplishments
- viii) Copies of all state and local licenses and permits.

- B. Indicate number of years in business.

- C. Provide any other information which would be appropriate and helpful in determining the bidder's/proposer's capacity and reliability to perform these services.

- D. Provide names and addresses for no fewer than three references for whom the bidder/proposer has provided similar services or who are qualified to evaluate the bidder's/proposer's capability to perform this work.

see enclosed schedule of projects

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Attachment to Business History Form

Company \_\_\_\_\_ see attached

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

Company \_\_\_\_\_

Contact Person \_\_\_\_\_

Address \_\_\_\_\_

City/State \_\_\_\_\_

Telephone \_\_\_\_\_

Fax # \_\_\_\_\_

E-Mail Address \_\_\_\_\_

## CERTIFICATION

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 22 day of December 2015

Maryanne Hilton  
Notary Public

MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213088  
Qualified in Nassau County  
Commission Expires November 02, 2017

Name of submitting business: R.J. Industries, Inc.

By: Richard O. Felicetta

Print name

[Signature]  
Signature

President

Title

12 / 22 / 15  
Date

## PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name Richard O. Felicetta  
Date of birth      /      /       
Home address                                       
City/state/zip                                       
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) na  
City/state/zip                                       
Telephone                                       
List of other addresses and telephone numbers attached
2. Positions held in submitting business and starting date of each (check all applicable)  
President 9 / 2 / 1997 Treasurer      /      /       
Chairman of Board      /      /      Shareholder      /      /       
Chief Exec. Officer      /      /      Secretary      /      /       
Chief Financial Officer      /      /      Partner      /      /       
Vice President      /      /       
(Other)
3. Do you have an equity interest in the business submitting the questionnaire?  
NO      YES x If Yes, provide details. Stockholder (45% interest in R.J. Industries, Inc.)
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO      YES x If Yes, provide details.  
Personal Guarantor - RJ (& affiliated) credit lines at Signature Bank
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO      YES x If Yes, provide details.  
Managing Member of RJ/Scalamandre, JV and BSRJ A TV
6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO      YES x If Yes, provide details.  
See Attached Schedule of Projects

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency.  
Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - f) In the past 5 years, have you been found in violation of any administrative or statutory charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such occurrence.

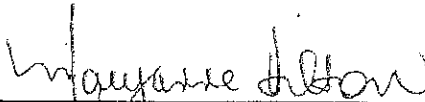
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 5? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO ☒ YES \_\_\_\_ If Yes; provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO ☒ YES \_\_\_\_ If Yes; provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, Richard O. Felicetta, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 22 day of Dec. 2015



Notary Public

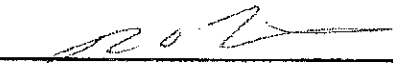
MARYANNE HILTON  
Notary Public, State of New York  
No. 01HI6213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

R.J. Industries, Inc.

Name of submitting business

Richard O. Felicetta

Print name

  
Signature

President

Title

12 / 22 / 15  
Date

# PRINCIPAL QUESTIONNAIRE FORM

All questions on these questionnaires must be answered and the answers typewritten or printed in ink. If you need more space to answer any question, make as many photocopies of the appropriate page(s) as necessary and attach them to the questionnaire.

COMPLETE THIS QUESTIONNAIRE CAREFULLY AND COMPLETELY. FAILURE TO SUBMIT A COMPLETE QUESTIONNAIRE MAY MEAN THAT YOUR BID/ PROPOSAL WILL BE REJECTED AS NON-RESPONSIVE AND IT WILL NOT BE CONSIDERED FOR AWARD

1. Principal Name John C. Doremus  
Date of birth [REDACTED]  
Home address [REDACTED]  
City/state/zip [REDACTED]  
Business address 75 East Bethpage Road; PO Box 349  
City/state/zip Plainview, NY 11803  
Telephone 516-845-9772  
Other present address(es) na  
City/state/zip \_\_\_\_\_  
Telephone \_\_\_\_\_  
List of other addresses and telephone numbers attached \_\_\_\_\_
2. Positions held in submitting business and starting date of each (check all applicable)  
President \_\_\_\_/\_\_\_\_/\_\_\_\_ Treasurer \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chairman of Board \_\_\_\_/\_\_\_\_/\_\_\_\_ Shareholder \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Exec. Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Secretary \_\_\_\_/\_\_\_\_/\_\_\_\_  
Chief Financial Officer \_\_\_\_/\_\_\_\_/\_\_\_\_ Partner \_\_\_\_/\_\_\_\_/\_\_\_\_  
Vice President 9 / 2 / 1997 to present \_\_\_\_/\_\_\_\_/\_\_\_\_  
(Other) \_\_\_\_\_
3. Do you have an equity interest in the business submitting the questionnaire?  
NO \_\_\_ YES x If Yes, provide details.
4. Are there any outstanding loans, guarantees or any other form of security or lease or any other type of contribution made in whole or in part between you and the business submitting the questionnaire? NO \_\_\_ YES x If Yes, provide details. Personal Guarantor - Signature Bank credit lines
5. Within the past 3 years, have you been a principal owner or officer of any business or not-for-profit organization other than the one submitting the questionnaire? NO \_\_\_ YES x; If Yes, provide details.  
BSRJ a T/V & RJ/Scalamandre, JV
6. Has any governmental entity awarded any contracts to a business or organization listed in Section 5 in the past 3 years while you were a principal owner or officer? NO \_\_\_ YES x If Yes, provide details.  
see attached

**NOTE:** An affirmative answer is required below whether the sanction arose automatically, by operation of law, or as a result of any action taken by a government agency. Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.

7. In the past (5) years, have you and/or any affiliated businesses or not-for-profit organizations listed in Section 5 in which you have been a principal owner or officer:
- a. Been debarred by any government agency from entering into contracts with that agency? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - b. Been declared in default and/or terminated for cause on any contract, and/or had any contracts cancelled for cause? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - c. Been denied the award of a contract and/or the opportunity to bid on a contract, including, but not limited to, failure to meet pre-qualification standards? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
  - d. Been suspended by any government agency from entering into any contract with it; and/or is any action pending that could formally debar or otherwise affect such business's ability to bid or propose on contract? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
8. Have any of the businesses or organizations listed in response to Question 5 filed a bankruptcy petition and/or been the subject of involuntary bankruptcy proceedings during the past 7 years, and/or for any portion of the last 7 year period, been in a state of bankruptcy as a result of bankruptcy proceedings initiated more than 7 years ago and/or is any such business now the subject of any pending bankruptcy proceedings, whenever initiated? If 'Yes', provide details for each such instance. (Provide a detailed response to all questions checked "YES". If you need more space, photocopy the appropriate page and attach it to the questionnaire.)
- a) Is there any felony charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - b) Is there any misdemeanor charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - c) Is there any administrative charge pending against you? NO ☒ YES \_\_\_\_ If Yes, provide details for each such charge.
  - d) In the past 10 years, have you been convicted, after trial or by plea, of any felony, or of any other crime, an element of which relates to truthfulness or the underlying facts of which related to the conduct of business? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - e) In the past 5 years, have you been convicted, after trial or by plea, of a misdemeanor? NO ☒ YES \_\_\_\_ If Yes, provide details for each such conviction.
  - f) In the past 5 years, have you been found in violation of any administrative or statutory charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such occurrence.

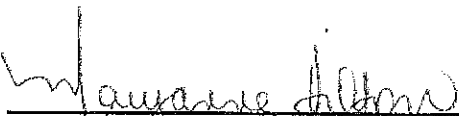
9. In addition to the information provided in response to the previous questions, in the past 5 years, have you been the subject of a criminal investigation and/or a civil anti-trust investigation by any federal, state or local prosecuting or investigative agency and/or the subject of an investigation where such investigation was related to activities performed at, for, or on behalf of the submitting business entity and/or an affiliated business listed in response to Question 6? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
10. In addition to the information provided, in the past 5 years has any business or organization listed in response to Question 5, been the subject of a criminal investigation and/or a civil anti-trust investigation and/or any other type of investigation by any government agency, including but not limited to federal, state, and local regulatory agencies while you were a principal owner or officer? NO ☒ YES \_\_\_\_ If Yes, provide details for each such investigation.
11. In the past 5 years, have you or this business, or any other affiliated business listed in response to Question 5 had any sanction imposed as a result of judicial or administrative proceedings with respect to any professional license held? NO ☒ YES \_\_\_\_ If Yes, provide details for each such instance.
12. For the past 5 tax years, have you failed to file any required tax returns or failed to pay any applicable federal, state or local taxes or other assessed charges, including but not limited to water and sewer charges? NO ☒ YES \_\_\_\_ If Yes, provide details for each such year.

**CERTIFICATION**

A MATERIALLY FALSE STATEMENT WILLFULLY OR FRAUDULENTLY MADE IN CONNECTION WITH THIS QUESTIONNAIRE MAY RESULT IN RENDERING THE SUBMITTING BUSINESS ENTITY NOT RESPONSIBLE WITH RESPECT TO THE PRESENT BID/PROPOSAL OR FUTURE BIDS/PROPOSALS, AND, IN ADDITION, MAY SUBJECT THE PERSON MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, John C. Doremus, being duly sworn, state that I have read and understand all the items contained in the foregoing pages of this questionnaire and the following pages of attachments; that I supplied full and complete answers to each item therein to the best of my knowledge, information and belief; that I will notify the County in writing of any change in circumstances occurring after the submission of this questionnaire and before the execution of the contract; and that all information supplied by me is true to the best of my knowledge, information and belief. I understand that the County will rely on the information supplied in this questionnaire as additional inducement to enter into a contract with the submitting business entity.

Sworn to before me this 22 day of Dec. 2015



Notary Public


MARYANNE HILTON  
Notary Public, State of New York  
No. 01H6213068  
Qualified in Nassau County  
Commission Expires November 02, 2017

RJ Industries, Inc.

Name of submitting business

John C. Doremus

Print name



Signature

Vice President

Title

12 / 22 / 15  
Date

COUNTY OF NASSAU

LOBBYIST REGISTRATION AND DISCLOSURE FORM

1. Name of lobbyist(s)/lobbying organization: The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission; or to otherwise engage in lobbying activities as the term is defined herein. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

None

---

2. List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

3. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated:

N/A

4. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed. See page 4 for a complete description of lobbying activities.

N/A

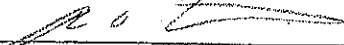
5. The name of persons, organizations or governmental entities before whom the lobbyist expects to lobby:

N/A

---

VERIFICATION: The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: December 22, 2015

Signed: 

Print Name: Richard O. Felicetta

Title: President

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including by not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant; loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

COUNTY OF NASSAU

CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE FORM

1. Name of the Entity: R.J. Industries, Inc.  
Address: 75 East Bethpage Road; PO Box 349  
City, State and Zip Code: Plainview, NY 11803
2. Entity's Vendor Identification Number: 11-3401288
3. Type of Business: ☐ Public Corp ☐ Partnership ☐ Joint Venture  
☐ Ltd. Liability Co ☐ Closely Held Corp ☐ sub s-corp ☐ Other (specify)

4. List names and addresses of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint Ventures, and all members and officers of limited liability companies (attach additional sheets if necessary):

Richard O. Felicetta, President

John C. Doremus, Vice President

John E. Koziarz, Vice President

William J. Doremus, Secretary-Treasurer

5. List names and addresses of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. If a Publicly held Corporation include a copy of the 10K in lieu of completing this section.

see response to question #4

6. List all affiliated and related companies and their relationship to the firm entered on line 1. above (if none, enter "None"). Attach a separate disclosure form for each affiliated or subsidiary company that may take part in the performance of this contract. Such disclosure shall be updated to include affiliated or subsidiary companies not previously disclosed that participate in the performance of the contract.

R.J.I. Mechanical, Inc. 18-15 129th Street; College Point, NY 11356

affiliate of R.J. Industries, Inc.

RJ/Scalamandre, JV 75 East Bethpage Road; Plainview, NY 11803

a joint venture of RJ Industries, Inc. and Peter Scalamandre and Sons Corp.

BSRJ A TV 75 East Bethpage Road; Plainview, NY 11803

a joint venture of RJ Industries, Inc., Peter Scalamandre and Sons, Corp and Bana Electric Corp.

None of the above companies will be participating on subject contract no S35121-16TR

7. List all lobbyists whose services were utilized at any stage in this matter (i.e., pre-bid, bid, post-bid, etc.). The term "lobbyist" means any and every person or organization retained, employed or designated by any client to influence - or promote a matter before - Nassau County, its agencies, boards, commissions, department heads, legislators or committees, including but not limited to the Open Space and Parks Advisory Committee and Planning Commission. Such matters include, but are not limited to, requests for proposals, development or improvement of real property subject to County regulation, procurements, or to otherwise engage in lobbying as the term is defined herein. The term "lobbyist" does not include any officer, director, trustee, employee, counsel or agent of the County of Nassau, or State of New York, when discharging his or her official duties.

(a) Name, title, business address and telephone number of lobbyist(s):

none

Page 3 of 4

(b) Describe lobbying activity of each lobbyist. See page 4 of 4 for a complete description of lobbying activities.

N/A

(c) List whether and where the person/organization is registered as a lobbyist (e.g., Nassau County, New York State):

N/A

8. VERIFICATION: This section must be signed by a principal of the consultant, contractor or Vendor authorized as a signatory of the firm for the purpose of executing Contracts.

The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her knowledge, true and accurate.

Dated: December 22, 2015 Signed: \_\_\_\_\_

Print Name: Richard O. Felicetta

Title: President

Page 4 of 4:

The term lobbying shall mean any attempt to influence: any determination made by the Nassau County Legislature, or any member thereof, with respect to the introduction, passage, defeat, or substance of any local legislation or resolution; any determination by the County Executive to support, oppose, approve or disapprove any local legislation or resolution, whether or not such legislation has been introduced in the County Legislature; any determination by an elected County official or an officer or employee of the County with respect to the procurement of goods, services or construction, including the preparation of contract specifications, including but not limited to the preparation of requests for proposals, or solicitation, award or administration of a contract or with respect to the solicitation, award or administration of a grant, loan, or agreement involving the disbursement of public monies; any determination made by the County Executive, County Legislature, or by the County of Nassau, its agencies, boards, commissions, department heads or committees, including but not limited to the Open Space and Parks Advisory Committee, the Planning Commission, with respect to the zoning, use, development or improvement of real property subject to County regulation, or any agencies, boards, commissions, department heads or committees with respect to requests for proposals, bidding, procurement or contracting for services for the County; any determination made by an elected county official or an officer or employee of the county with respect to the terms of the acquisition or disposition by the county of any interest in real property, with respect to a license or permit for the use of real property of or by the county, or with respect to a franchise, concession or revocable consent; the proposal, adoption, amendment or rejection by an agency of any rule having the force and effect of law; the decision to hold, timing or outcome of any rate making proceeding before an agency; the agenda or any determination of a board or commission; any determination regarding the calendaring or scope of any legislature oversight hearing; the issuance, repeal, modification or substance of a County Executive Order; or any determination made by an elected county official or an officer or employee of the county to support or oppose any state or federal legislation, rule or regulation, including any determination made to support or oppose that is contingent on any amendment of such legislation, rule or regulation, whether or not such legislation has been formally introduced and whether or not such rule or regulation has been formally proposed.

EDWARD P. MANGANO  
COUNTY EXECUTIVE

SHILA SHAH-GAVNOUDIAS, P.E.  
COMMISSIONER



**COUNTY OF NASSAU**  
**DEPARTMENT OF PUBLIC WORKS**  
1194 PROSPECT AVENUE  
WESTBURY, NEW YORK 11590-2723

July 30, 2015

Mr. Frank DeMeyer, President  
Aventura Construction  
1101 Waverly Avenue  
Holtsville, New York 11742

Re: Bay Park Sewage Treatment Plant  
Vehicle Fueling Facility and Tank Replacement  
Contract No. S35121-16TR

Dear Mr. DeMeyer:

As you are aware, the Department received and opened bids for the above-referenced contract on April 28, 2015. While your firm submitted the lowest cost proposal, the Department has concluded that your bid as submitted to the County does not comply with Nassau County Local Law No. 9 – 2002 (attached), accordingly your bid is non-responsive and the County will be considering the next lowest responsible bidder for award of the contract.

Local Law No. 9-2002 defines contractors as one who “employs labor under a construction contract for which an apprenticeship program has been approved by the New York State Commissioner of Labor”. Prior to entering into a construction contract with the County of Nassau, the contractor is required to have apprenticeship agreements appropriate for the type and scope of work to be performed.

Nassau County contacted the New York State Department of Labor, Bureau of Public Works regarding the apprenticeship programs appropriate for the type and scope of the work to be performed by a contract that includes the work to be performed pursuant to contract number S35121-16TR. After reviewing the information provided by the Bureau, the Department has concluded that, among other apprenticeship training program participant eligible work, work under this contract must be performed by a Plumber apprenticeship training program participant.

Your bid as submitted and subsequent communications with you have informed the Department that your firm does not participate in the Plumber apprenticeship program. Accordingly, your bid has been determined non-responsive for failure to comply with Local Law No. 9-2002.

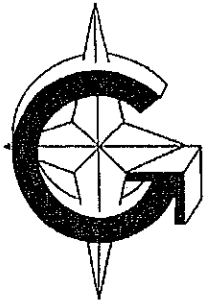
Very truly yours,

A handwritten signature in dark ink, appearing to read "Shila Shah-Gavnaudias".

Shila Shah-Gavnaudias, P.E.  
Commissioner of Public Works

SSG:KGA:JLD:rp  
Attachment

c: Richard P. Millet, Chief Deputy Commissioner of Public Works  
Kenneth G. Arnold, Assistant to Commissioner of Public Works  
Jane M. Houdek, Attorney for Public Works  
✓ Joseph L. Davenport, Unit Head, Water/Wastewater Engineering Unit  
Damon W. Urso, Sanitary Engineer III



83 JEWETT AVENUE  
STATEN ISLAND, NY  
10302  
TEL: 718-442-8200  
FAX: 718-442-1509  
WWW.GEMSTARCORP.COM

# GEMSTAR

CONSTRUCTION CORPORATION

June 25, 2015

County of Nassau  
Department of Public Works  
1194 Prospect Avenue  
Westbury New York 11590-2723

Re: Bay Park STP Vehicle Fueling  
and Tanks Reconstruction  
Location: NCDPW – Bay Park  
Contract #S35121-16TR

Attention: Mr. Joseph L. Davenport, P.E.

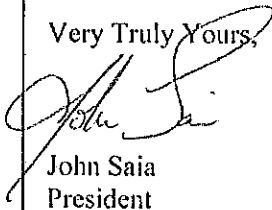
---

Dear Mr. Davenport:

Please be advised that our bid of April 28, 2015 has exceeded 45 days and Gemstar Construction Corporation respectfully declines this project.

Thank you.

Very Truly Yours,



John Saia  
President

COUNTY OF NASSAU  
STATE OF NEW YORK

DEPARTMENT OF PUBLIC WORKS  
Division of Engineering

**FORM OF BID BOND**

**IMPORTANT** The bidder shall instruct the Surety Company to follow the exact language of this form as any omission, addition or change in phraseology may cause rejection of the bid.

KNOW ALL MEN BY THESE PRESENTS,

that we, the undersigned R.J. Industries, Inc.

as Principal; and Liberty Mutual Insurance Company as surety, who is Licensed to do business in the State of New York, are hereby firmly bound unto the County of Nassau in the penal sum of Ten Percent of 10% of Proposal Price dollars (\$ P.P. ) for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and assigns.

Signed, this 20th day of April, 20 15

The conditions of the above obligation is such that whereas the Principal has submitted to the County of Nassau a certain Bid attached hereto and hereby made a part hereof, to enter into a contract in writing for the work under

Contract No. S35121-16TR for the  
Bay Park Sewage Treatment Plant Vehicle Fueling Facility and Tanks Reconstruction

Contract No. S35121-16TR General Construction.

NOW, THEREFORE, the conditions of this obligation are such that if the Principal shall not withdraw said proposal except by mutual consent of the County of Nassau within a period of forty-five (45) days after the opening of bids and in the event of acceptance of the Principal's proposal, if the Principal shall:

- a. when notified by the County, execute all necessary counterparts of the Contract as set forth in the Contract Documents in accordance with the proposal as accepted; and
- b. furnish bonds and other security as specified in the Contract Documents for the faithful performance and proper fulfillment of such Contract, which bonds or other security shall be satisfactory in all respects to the County; and
- c. in all respects, comply with the provisions set forth in the invitation to bid; or if the County of Nassau shall reject the aforesaid proposal for a reason other than the Principal's failure to satisfy the County that he has the necessary skill, experience, and liquid assets required for the Contract as stated in the documents aforesaid, then this obligation shall be null and void; otherwise to remain in full force and effect.

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

## FORM OF BID BOND

---

Provided, however, that this bond is subject to the following additional conditions and limitations.

---

- a. In the event that the Principal fails to submit a financial statement when required by the County or in the event that an examination of the Principal indicates to the County that the Principal does not meet the financial requirements required by the County, the undersigned will, upon demand, pay to the County of Nassau, as liquidated damages for the Principal's failure to meet such requirements, a sum equal to the amount that would have been required by a certified check if the same were delivered in accordance with the provisions of the Contract Documents and specifications herein stated.
- b. In case the Principal shall default in the performance of any provision the undersigned will upon demand pay to the County of Nassau the full amount of the damages sustained by the County of Nassau by reason of such default, except however, it is expressly understood and agreed that the liability of the surety for any and all claims hereunder shall in no event exceed the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way impaired or affected by any extension of time within which the County of Nassau may accept such Bid; and said Surety does hereby waive notice of any such extension.

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

FORM OF BID BOND

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

R.J. Industries, Inc.

75 East Bethpage Road, P.O. Box 349, Plainview, NY 11803

Contractor

by [Signature] (L.S.)

William J. Doremus, Secretary - Treasurer (Corporate seal of Contractor Title if a corporation)

by \_\_\_\_\_ (L.S.)

Title

by \_\_\_\_\_ (L.S.)

Title

Liberty Mutual Insurance Company  
175 Berkeley Street, Boston, MA 02116

Surety

by [Signature] (L.S.)

Title of Officer Susan Lupski, Attorney-In-Fact

Witness:

~~XXXX~~ [Signature] (L.S.)

~~XXXX~~ Deslree Cardlin

(Corporate seal of Surety)

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

FORM OF BID BOND

(Acknowledgment by Contractor if a corporation)

STATE OF New York )

SS.:  
COUNTY OF Nassau )

On this 22<sup>nd</sup> day of April, 2015, before me personally came William J. Doremy to me known, who, being by me duly sworn, did depose and say for himself, that he resides in Suffolk County, NY that he is the Sec. - T. of the R.J. Industries, Inc. the corporation described in, and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Maryanne Hilton  
Notary Public

(Acknowledgment by Contractor if a partnership)

STATE OF \_\_\_\_\_ )

SS.:  
COUNTY OF \_\_\_\_\_ )

MARYANNE HILTON  
Notary Public, State of New York  
No. 01H16213068  
Qualified in Nassau County  
Commission Expires November 02, 2017 )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be a member of the firm described in and which executed the foregoing bond or obligation, and he acknowledged to me that he subscribed the name of said firm thereto on behalf of said firm for the purpose therein mentioned.

\_\_\_\_\_  
Notary Public

(Acknowledgment by Contractor if an individual.)

STATE OF \_\_\_\_\_ )

SS.:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came \_\_\_\_\_ to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged that he executed the same.

\_\_\_\_\_  
Notary Public

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

FORM OF BID BOND

(Acknowledgment by Surety Company)

STATE OF New York )

SS.:  
COUNTY OF Nassau )

On this 20th day of April, 2015, before me personally came Susan Lupski to me

Known, who being by me duly sworn, did depose and say that he resides in \_\_\_\_\_  
Nassau County, New York

that he is the Attorney-In-Fact of the Liberty Mutual Insurance Company, the corporation described in and which executed the within instrument; that he knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by the order of the Board of Directors of said corporation, and that he signed his name thereto by like order; and that the liabilities of said company do not exceed its assets as ascertained in the manner provided by the laws of the

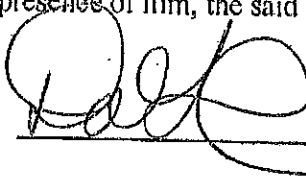
State of New York, and the said Dana Granice further said

that he is acquainted with Susan Lupski and knows him

to be the Attorney-In-Fact of said company; that the signature

of the said Susan Lupski subscribed to the within instrument is

in the genuine handwriting of the said Susan Lupski and was subscribed thereto by like order of the Board of Directors, and in the presence of him, the said Dana Granice



Notary Public

DANA GRANICE  
Notary Public, State of New York  
No. 01GR6099128  
Qualified in Nassau County  
Commission Expires September 22, 2019

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

**THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.**

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 6763128

American Fire and Casualty Company  
The Ohio Casualty Insurance Company

Liberty Mutual Insurance Company  
West American Insurance Company

**POWER OF ATTORNEY**

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Camille Matland; Colette R. Chisholm; Deslee Cardlin; George O. Brewster; Gerard S. Macholz; Lee Ferrucci; Nelly Renschlich; Peter F. Jones; Rita Sagliano; Robert T. Pearson; Susan Lupski; Thomas Bean; Vincent A. Walsh; Virginia M. Lovett

all of the city of Unlondale, state of NY each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 28th day of October, 2014.



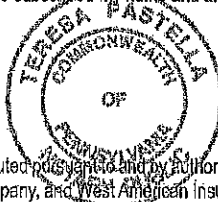
American Fire and Casualty Company  
The Ohio Casualty Insurance Company  
Liberty Mutual Insurance Company  
West American Insurance Company

By: David M. Carey  
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss  
COUNTY OF MONTGOMERY

On this 28th day of October, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA  
Notarial Seal  
Teresa Pastella, Notary Public  
Plymouth Twp., Montgomery County  
My Commission Expires March 28, 2017  
Member, Pennsylvania Association of Notaries

By: Teresa Pastella  
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

**ARTICLE IV - OFFICERS - Section 12. Power of Attorney.** Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

**ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings.** Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

**Certificate of Designation -** The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

**Authorization -** By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this APR 20 2015 day of APR 20 2015, 2015.



By: Gregory W. Davenport  
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.



LIBERTY MUTUAL INSURANCE COMPANY  
FINANCIAL STATEMENT — DECEMBER 31, 2014

Assets		Liabilities	
Cash and Bank Deposits .....	\$744,221,142	Unearned Premiums .....	\$6,288,178,795
*Bonds — U.S Government .....	1,718,117,704	Reserve for Claims and Claims Expense .....	16,879,324,618
*Other Bonds .....	11,205,872,087	Funds Held Under Reinsurance Treaties .....	211,983,009
*Stocks .....	9,533,437,819	Reserve for Dividends to Policyholders .....	1,246,547
Real Estate .....	277,742,849	Additional Statutory Reserve .....	40,877,587
Agents' Balances or Uncollected Premiums .....	4,150,041,316	Reserve for Commissions, Taxes and	
Accrued Interest and Rents .....	129,261,358	Other Liabilities .....	<u>2,664,248,124</u>
Other Admitted Assets .....	<u>14,896,464,393</u>	<b>Total .....</b>	<b><u>\$26,085,858,680</u></b>
<b>Total Admitted Assets .....</b>	<b><u>\$42,655,158,668</u></b>	Special Surplus Funds .....	\$53,954,363
		Capital Stock .....	10,000,000
		Paid in Surplus .....	8,829,117,542
		Unassigned Surplus .....	7,676,228,083
		Surplus to Policyholders .....	<u>16,569,299,988</u>
		<b>Total Liabilities and Surplus .....</b>	<b><u>\$42,655,158,668</u></b>



\* Bonds are stated at amortized or investment value; Stocks at Association Market Values.  
The foregoing financial information is taken from Liberty Mutual Insurance Company's financial statement filed with the state of Massachusetts Department of Insurance.

I, TIM MIKOLAJEWSKI, Assistant Secretary of Liberty Mutual Insurance Company, do hereby certify that the foregoing is a true, and correct statement of the Assets and Liabilities of said Corporation, as of December 31, 2014, to the best of my knowledge and belief.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of said Corporation at Seattle, Washington, this 20th day of March, 2015.

*T. Mikolajewski*

Assistant Secretary

FORM OF BID BOND

---

NO TEXT ON THIS PAGE

CERTIFICATE OF SOLVENCY UNDER SECTION 1111 OF THE NEW YORK  
INSURANCE LAW

STATE OF NEW YORK

DEPARTMENT OF FINANCIAL SERVICES

It is hereby certified that

**LIBERTY MUTUAL INSURANCE COMPANY**

Of Boston, Massachusetts

a corporation organized under the laws of the State of Massachusetts and duly authorized to transact the business of insurance in this State, is qualified to become surety or guarantor on all bonds, undertakings, recognizances, guaranties and other obligations required or permitted by law; and that the said corporation is possessed of a capital and surplus including gross paid-in and contributed surplus and unassigned funds (surplus) aggregating the sum of \$16,569,299,988 (Capital \$10,000,000) as is shown by its sworn financial statement for the Year-End as of December 31, 2014, on file in this Department, prior to audit.

The said corporation cannot lawfully expose itself to loss on any one risk or hazard to an amount exceeding 10% of its surplus to policyholders, unless it shall be protected in excess of that amount in the manner provided in Section 4118 of the Insurance Law of this State.



In Witness Whereof, I have here-  
unto set my hand and affixed the  
official seal of this Department  
at the City of Albany, this  
17<sup>th</sup> day of March, 2015

Benjamin M. Lawskey  
Superintendent

By *Jacqueline Catalfamo*

Jacqueline Catalfamo  
Special Deputy Superintendent

FORM OF BID BOND

---

NO TEXT ON THIS PAGE



NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

WICKS EXEMPT LIST OF SUBCONTRACTORS

CONTRACT NO. 535121-167A  
NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

Contractor's Name and Address <u>R.S. Industries Inc</u> <u>75 E. GARDEN AVE</u> <u>PLAINFIELD, NJ 07063</u> Federal ID No.: <u>11-3401233</u>	Project Description (Project Title, Facility Name and Address): <u>Bay Park STP</u> <u>Vehicle Filling Facility and Tanks</u> <u>Reconstruction</u>	Bid Date: <u>4/28/15</u>	Total Contract Amt: <u>\$1,237,800</u> <u>\$1,247,200</u>
--	--	-----------------------------	---

Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply):

☒ Plumbing and Gas Fitting  
☒ Steam Heating, Hot Water Heating, Ventilating and AC Apparatus  
☐ Electric Wiring and Standard Illuminating Fixtures

If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box [ ] skip to bottom of form, and sign it as required.

Check (x) only one.				General Description of Work	Subcontractor's Contract Amt.
Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures			
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<u>Electrical work</u>	<u>\$120,000</u>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			

Federal ID No. \_\_\_\_\_

Federal ID No. \_\_\_\_\_

Federal ID No. \_\_\_\_\_

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety, may result in a non responsive bid determination.

Company Authorized Signature: [Signature] Title: V.P. Date: 4/28/15

PROPOSAL

GENERAL CONSTRUCTION

COUNTY OF NASSAU  
STATE OF NEW YORK

PROPOSAL

FOR

BAY PARK STP  
VEHICLE FUELING FACILITY AND TANKS RECONSTRUCTION

Contract No. S35121-16TR

GENERAL CONSTRUCTION

TO THE COUNTY OF NASSAU:

Pursuant to and in compliance with your Notice to Bidders and the Instructions to Bidders relating thereto, the undersigned hereby proposes to furnish all plant, labor, materials, supplies, equipment and other facilities necessary or proper for or incidental to the above Contract, as required by and in strict accordance with the Plans and Specifications for the amount named in the Proposal hereinafter described.

In making this Proposal the Bidder hereby declares that all provisions of the Addenda which have been issued by the County of Nassau have been complied with in preparing his Bids.

Name of Bidder: R. J. Industries, Inc.

Bidder: Corporation

(Individual, Firm or Corporation, as case may be)

Bidder's Business Address: 75 East Bethpage Road; Po Box 349  
Plainville, NY 11803

Telephone: 516-845-9772

Date of Bid: April 28, 2015

Fax: 516-454-1759

E-Mail: bjc@rjil.net

PROPOSAL

(If Bidder is an Individual, fill in the following blanks:)

Name of Individual: \_\_\_\_\_

Residence of Individual: \_\_\_\_\_

(If Bidder is a Firm, fill in the following blanks:)

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

Name and Residence of Partner: \_\_\_\_\_

(If Bidder is a Corporation, fill in the following blanks:)

Organized under the laws of the State of: New York

Name and Residence of President: Richard O. Felicetta

~~\_\_\_\_\_~~  
Name and Residence of Vice-President: John C. Doremus

~~\_\_\_\_\_~~  
Name and Residence of <sup>Vice Pres.</sup> Secretary: John E. Kozlarsz

~~\_\_\_\_\_~~  
Name and Residence of <sup>Secretary</sup> Treasurer: William J. Doremus

## PROPOSAL

---

### GENERAL CONSTRUCTION

---

#### THE BIDDER AFFIRMS AND DECLARES:

1. That the above Bidder is of lawful age and the only one interested in this bid; and that no other person, firm or corporation, except those herein named, has any interest in this bid or in the Contract proposed to be entered into.
2. That this bid is made without any understanding, agreement or in connection with any other person, firm or corporation, making a bid for the same Work, and is in all respects fair and without collusion or fraud.
3. That said Bidder is not in arrears to the County of Nassau upon debt or contract, and is not a defaulter, as surety contractor or otherwise.
4. That no officer or employee of the County of Nassau, or person whose salary is payable in whole or in part from the County Treasury is, shall be, or become interested directly, or indirectly as a contracting party, partner, stockholder, surety or otherwise, in this bid, or in the performance of the Contract, or in the supplies, materials, equipment and work or labor to which it relates, or in any portion of the profits thereof.
5. That he has carefully examined the Site of the Work and that, from his own personal investigations and research, has satisfied himself as to the nature and location of the work, the character, quality and quantity of existing materials, all difficulties likely to be encountered, the kind and extent of labor, equipment, other facilities needed for the performance of the Work, the general and local conditions, and all other items which may, in any way, affect the Work or its performance.
6. The Bidder also declares that he has carefully examined and fully understands all the component parts of this Contract, that the Work can be performed as called for by the Contract, that he will execute the Contract and will completely perform it in strict accordance with its terms for the following prices.
7. That the Bidder, for allowance items, will make payment to the parties designated by the County when directed by the County in the amount certified by the Engineer for the purposes indicated. It is also understood and agreed that the Final Contract Payment for allowance items will be based upon such actual payments, and not on the approximate amount cited herein.
8. Where the work performed under this Contract involves a trade or occupation licensed in the County of Nassau by the Towns of Hempstead, Oyster Bay or North Hempstead or by the Cities of Glen Cove or Long Beach, the contractor shall be required to have such a license.

## PROPOSAL

---

### I. Rejection of Bids.

A. The Commissioner may recommend a reject of bid if:

1. The Bidder fails to furnish any of the information required by the bid documents; or if
2. The bidder misstates or conceals any material fact in the bid, or in the sworn written statement; or if
3. The bid does not strictly conform to law or the requirements of this contract; or if
4. The bid is conditional; or if
5. The bid on Unit Price Contracts, in the opinion of the Commissioner, contains unbalanced bid prices, where the unit price proposed for any item exceeds the estimated cost by more than fifteen percent (15%), or if any lump sum item bid exceeds the estimated cost by more than twenty-five percent (25%); or if
6. A determination that the bidder is not responsible is made in accordance with law.

B. Rejection of all bids and waiver of informalities.

The Commissioner, however, reserves the right to recommend / to reject all bids whenever he deems it in the best interest of the County, and also the right to waive any informalities in a bid.

### II. Unit Price Contracts, Comparison of Bids.

Bids on Unit Price Contracts will be compared on the basis of a total bid price, arrived at by taking the sum of the Approximate Quantities of such item multiplied by the corresponding Unit Price, and including any Lump Sum Bid on individual items, in accordance with the items set forth in the bid proposal.

Bidders are warned that the Approximate Quantities of the various items of work and material is estimated only, and is given solely to be used as a uniform basis for the comparison of bids. The quantities actually required to complete the contract work may be more or less than estimated.

### III. Lump Sum Contracts, Comparison of Bids.

Bids on lump Sum Contracts will be compared on the basis of the Lump Sum Price bid adjusted for alternate prices bid, if any.

### IV. Apprenticeship Training Program

For all contracts in excess of \$500,000 attach here verification letter regarding your firm's having an approved State of New York Apprenticeship Training Program.

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35121-16TR

ITEM NO.	APPROXIMATE QUANTITIES	ITEMS WITH UNIT PRICE WRITTEN IN WORDS	UNIT BID PRICE		AMOUNT BID PRICE	
			DOLLARS	CENTS	DOLLARS	CENTS
1	Lump Sum	Base Bid for furnishing all labor, materials, equipment and incidentals required for all work as shown on the Contract Drawings and as described in Contract Technical Specifications and not included in the unit prices and listed below.  <u>one Million, Two Hundred and Twenty four thousand, Eight Hundred dollars and two Cents</u>	N/A	N/A	\$1,234,800	00
2	100 CY	Additional excavation and backfill (Section 02200)  <u>Twenty-</u> DOLLARS PER CUBIC YARD N/A	30	00	3,000	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35121-16TR

3	25 CY	Additional crushed stone (pea gravel) (Section 02200) <i>ONE Hundred</i> <del>THIRTY</del> <i>100</i> DOLLARS PER CUBIC YARD	100	00	2,500	00
4	100 LF	Additional 1-inch rigid galvanized steel conduit (Section 16131) <i>THIRTY-1</i> DOLLARS PER LINEAR FOOT	30	00	3,000	00
5	100 LF	Additional 3/4-inch rigid galvanized steel conduit (Section 16131) <i>Twenty Five</i> DOLLARS PER LINEAR FOOT	25	00	2,500	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35121-16TR

6	100 LF	Additional 10 AWG 600V copper wiring (Section 16121)  <u>Two</u> DOLLARS PER LINEAR FOOT <u>200</u> CENTS	2	00	200	00
7	100 LF	Additional 12 AWG 600V copper wiring (Section 16121)  <u>Two</u> DOLLARS PER LINEAR FOOT <u>200</u> CENTS	2	00	200	00
8	500 LF	Additional 14 AWG 600V copper wiring (Section 16121)  <u>One</u> DOLLARS PER LINEAR FOOT <u>7.50</u> CENTS	1	50	750	00

PROPOSAL

TO BE COMPLETED BY CONTRACTOR SUBMITTING  
A BID ON GENERAL CONSTRUCTION  
CONTRACT NO. S35121-16TR

9	100 LF	Additional Category 6 (Cat 6) process network cable (Section 16121)	2	50	250	00
	Two	R.F.M. DOLLARS      CENTS PER LINEAR FOOT				
TOTAL BID AMOUNT (Sum of Bid Item Nos. 1 thru 9):			\$ 1,247,700.00			
TOTAL BID AMOUNT (Sum of Bid Item Nos. 1 thru 9): MUST BE WRITTEN IN WORDS:						
One million, Two hundred forty seven thousand, two hundred.			ND	CENTS		
DOLLARS						

## PROPOSAL

DETERMINATION OF LOW BID: Determination of low Bid will be made by comparing the total Bid which shall include the lump sum Base Bid price, unit price totals and allowances.

MAJOR EQUIPMENT ITEMS: The Bidder shall fill the name and address of the proposed system suppliers for the major equipment items tabulated hereinafter. It is expressly understood that the furnishing of this information will not relieve the Bidder of any requirements of the Contract Documents and failure to fill out properly is grounds for rejection.

<u>Specification Number</u>	<u>Description</u>	<u>Manufacturer or/or Supplier</u>
15590	Submersible Pumps	WATERS WEST END Supply - Lindenhurst, NY, FE Petrol, Red Jacket on BQW1
15590	Inventory and Leak Detection System	WATERS WEST END Supply - Lindenhurst, NY, Veeder Root, Omnicel on BQW1
15590	Fuel Dispensers	WATERS WEST END Supply - Lindenhurst, NY, Dresser Wayne, Gasboy on BQW1
15591	Double Wall Underground Storage Tanks	WATERS WEST END Supply - Lindenhurst, NY, Containment Solutions, XBNXBS, on BQW1



## NASSAU COUNTY DEPARTMENT OF PUBLIC WORKS

## WICKS EXEMPT LIST OF SUBCONTRACTORS

NOTE: This form is required for "Single-Contract" projects exempt from the Wicks law. Failure to submit this form correctly may render the bidder non responsive.

CONTRACT NO. 535121-16T12

Contractor's Name and Address	Project Description (Project Title, Facility Name and Address)	Bid Date	Estimate Amount
R.J. Industries Inc. 75 E. Beddowes Rd. Manhasset, NY 11503 Federal ID No. 11-34012858	Bay Park St. Vehicle Fueling Facility and Tanks Reconstruction	4/28/15	<del>\$1,224,800.00</del> \$1,224,800.00
Indicate ANY work to be self-performed by the contractor in the following categories (check all that apply): <input checked="" type="checkbox"/> Plumbing and Gas Fitting <input checked="" type="checkbox"/> Steam Heating, Hot Water Heating, Ventilating and AC Apparatus <input type="checkbox"/> Electric Wiring and Standard Illuminating Fixtures			
If ALL contract work is to be self-performed, i.e. no subcontractors will be used, please check this box <input type="checkbox"/> skip to bottom of form, and sign it as required.			
Subcontractor's Name, Address and Federal ID No.	Check (✓) only one.		
	Plumbing and Gas Fitting	Steam Heating, Hot Water Heating, Ventilating and AC Apparatus	Electric Wiring and Standard Illuminating Fixtures
L.E.B. Electric LTD 85 Ralph Ave. Co-Dwelling 104 11726 Federal ID No. 11-2838747	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal ID No.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General Description of Work		Subcontractor's Contract Amt.	
ELECTRICAL WORK		\$120,000.00	

This form must be filled out completely and legibly, signed by a company authorized representative and included in a separate, sealed envelope within the bid envelope. Use and additional page if needed.

Failure to complete this form accurately and in its entirety may result in a non responsive bid determination.

Company Authorized Signature:

Title:

Date:

PROPOSAL

SUB-CONTRACTOR LIST AND SCHEDULE OF VALUE

BAY PARK SEWAGE TREATMENT PLANT  
VEHICLE FUELING FACILITY AND TANKS RECONSTRUCTION  
CONTRACT NO. S35121-16TR

BIDDER: R.J. Industries, Inc.

SUBCONTRACTORS:

1. Plumbing and Gas Fitting

- a. Company: NOT APPLICABLE
- b. Address: NOT APPLICABLE
- c. Telephone: NOT APPLICABLE
- d. Contact Name: NOT APPLICABLE
- e. Subcontract Value: \$ NOT APPLICABLE

2. Heating, Ventilating and Air Conditioning

- a. Company: NOT APPLICABLE
- b. Address: NOT APPLICABLE
- c. Telephone: NOT APPLICABLE
- d. Contact Name: NOT APPLICABLE
- e. Subcontract Value: \$ NOT APPLICABLE

3. Electric Wiring and Standard Illuminating Fixtures

- a. Company: \_\_\_\_\_
- b. Address: \_\_\_\_\_
- c. Telephone: \_\_\_\_\_
- d. Contact Name: \_\_\_\_\_
- e. Subcontract Value: \$ \_\_\_\_\_

PROPOSAL


**IRAN DIVESTMENT ACT -- CERTIFICATION**

Pursuant to New York State Finance Law §165-a, Iran Divestment Act of 2012, the Office of General Services is required to post on its web site <http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf> a list of persons who have been determined to engage in investment activities in Iran ("the List"), as defined in that Act. Under Public Authorities Law § 2879-c, Iranian Energy Sector Divestment, the Authority, may not enter into or award a Contract unless it obtains a certification from a Bidder, who shall check the box and make the certification in Subparagraph a, below, that they are not on the List. If that certification cannot be made, the Authority may consider entering into a Contract, on a case by case basis if the Bidder checks the box and makes the certification in Subparagraph b, below, that their Iran investment is ceasing.

For purposes of this provision, a person engages in investment activities in Iran if: (A) the person provides goods or services of twenty million dollars or more in the energy sector of Iran, including a person that provides oil or liquefied natural gas tankers, or products used to construct or maintain pipelines used to transport oil or liquefied natural gas, for the energy sector of Iran; or (B) the person is a financial institution that extends twenty million dollars or more in credit to another person, for forty-five days or more, if that person will use the credit to provide goods or services in the energy sector in Iran.

The Certification is as follows:

- ☒ a. **Certification that the Bidder is not on the List:** Each person, where person means natural person, corporation, company, limited liability company, business association, partnership society, trust, or any other nongovernmental entity, organization, or group, and each person signing on behalf of any other party, certifies, and in the case of a joint bid or proposal or partnership each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that each person is not on the list created pursuant to paragraph (b) of subdivision 3 of section 165-a of the State Finance Law, or,
- ☐ b. **Certification that the Bidder's investment in Iran is ceasing:** The person cannot make the certification in Subparagraph a, above, but asks the Authority to consider them for award of the Contract by certifying, under penalty of perjury, that the person's investment activities in Iran were made before April 12, 2012; the person's investment activities in Iran have not been expanded or renewed after April 12, 2012; and the person has adopted, publicized and is implementing a formal plan to cease its investment activities in Iran and to refrain from engaging in any new investments in Iran.

  
Signature/Date

John C. Doremus, Vice Pres.  
Print Name and Position

PROPOSAL

**MacBride Fair Employment Principles**

NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:  
MACBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with Chapter 807 of the Laws of 1992 the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either: (answer yes or no to one or both of the following, as applicable),

(1) have business operations in Northern Ireland,

Yes \_\_\_ No X

If yes:

(2) shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.

Yes \_\_\_ No \_\_\_

  
(Contractor's Signature)

John C. Doremus,  
Vice president.

R.J. Industries, Inc.  
(Name of Business)

PROPOSAL

GENERAL CONSTRUCTION

PROPOSAL: For all work in accordance with the drawings and specifications:

Bidder: R. J. Industries, Inc.  
(Individual, Firm or Corporation, as case may be)

Individual's Social Security No.: 11-340

Federal ID No: 11-3401288

Municipal License ID Number: \_\_\_\_\_

Municipal Licensing Agency: \_\_\_\_\_

By: [Signature] Date: April 28, 2015  
(Signature of Individual, Partner or Corporate Officer)

(Print): John C. Dormus Title: Vice President

WHERE BIDDER IS A CORPORATION, ADD

ATTEST:

[Signature]  
Secretary

William J. Dormus

(CORPORATE)  
(SEAL)

PROPOSAL

GENERAL CONSTRUCTION

Note: The Bids shall be sworn to by the person signing them, in one of the following forms:

(Form of Affidavit where Bidder is an Individual)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

Being duly sworn,  
deposes and says: That he is the person described in and who executed the foregoing Bid  
and that the several matters therein stated are in all respects true.

Subscribed and sworn to before me

This \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

(Form of Affidavit where Bidder is a Firm)

STATE OF NEW YORK)

) ss.:

COUNTY OF NASSAU)

John C. Doremus Being duly sworn,  
deposes and says: That he is a member of BS/Scalamandre, JV, the  
firm described in and which executed the foregoing Bid; that he duly subscribed the name of  
the firm thereunto on behalf of the firm; and that the several matters therein stated are in all  
respects true.

Subscribed and sworn to before me

This 28<sup>th</sup> day of APRIL 20 15.

\_\_\_\_\_  
Notary Public

PROPOSAL

GENERAL CONSTRUCTION

(Form of Affidavit where Bidder is a Corporation)

STATE OF NEW YORK)

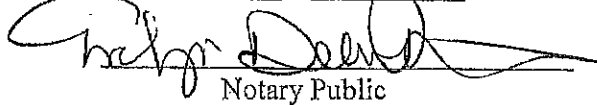
) ss.:

COUNTY OF NASSAU)

John C. Doremus Being duly sworn,  
deposes and says: That he resides at Nassau County, NY, that  
he is the Vice President of the corporation described  
in and which executed the foregoing instrument; that he knows the seal of said corporation;  
that the seal affixed to the said instrument is such corporate seal and was affixed by order of  
the Board of Directors of said corporation; that he affixed his name thereto by like order; and  
that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

This 28<sup>th</sup> day of APRIL 20 15.

  
Notary Public

EVELYN DELLA RATTA  
Notary Public, State of New York  
01DE4854133  
Qualified in Nassau County  
Commission Expires: May 27, 2018

---

DISCLOSURE STATEMENT

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
CONSULTANT'S, CONTRACTOR'S AND VENDOR'S DISCLOSURE STATEMENT

1. Name of Firm: R.J. Industries, Inc.  
Address: 75 East Bethpage Road; PO Box 349  
City and State: Plainview, NY Zip Code: 11803
2. Firm's Vendor Identification Number: 11-3401288
3. Type of Business: Public Corp. Partnership Sole Proprietorship Joint Venture  
Ltd Liability Company Closely Held Corp. Sub. S corp Other (specify) \_\_\_\_\_
4. List names and address of all principals; that is, all individuals serving on the Board of Directors or comparable body, all partners and limited partners, all corporate officers, all parties of Joint ventures, and all members and officers of Limited Liability Companies (attach additional sheet (s) if necessary)
- |  |  |
|--|--|
| <u>Richard C. Felicetta, Pres.</u>     | <u>12 Allenby Drive, Ft. Salonga, NY 11768</u>     |
| <u>John C. Doremus, V.P.</u>           | <u>17 Melbourne Road, Great Neck, NY 11021</u>     |
| <u>John E. Kozlarsz, V.P.</u>          | <u>15 Warwick Road, Rockville Centre, NY 11507</u> |
| <u>William J. Doremus, Sec. Treas.</u> | <u>114 Bayview Avenue, Northport, NY 11768</u>     |
5. List all names and address of all shareholders, members, or partners of the firm. If the shareholder is not an individual, list the individual shareholders/partners/members. (\* If a Publicly held Corporation include a copy of 10K form in lieu of completing this section) (attach additional sheet (s) if necessary).
- See Response to Question #4
6. List all affiliated and related companies and their relationship to the firm entered on line 1 (one) above [if none, enter "None"] (\* include a separate disclosure form for each affiliated or subsidiary company) (attach additional sheet (s) if necessary).
- R.J.I. Mechanical, 18-15 129th Street, College Point, NY 11356
7. VERIFICATION: This section must be signed by a principal of the Consultant, Contractor or Vendor authorized as signator of the firm for the purpose of executing contracts. The undersigned affirms and so swears that he/she has read and understood the foregoing statements and they are, to his/her own knowledge, true.

Dated: April 16, 2015

Signed: \_\_\_\_\_

Print Name: Richard C. Felicetta

Title: President

059

PROPOSAL

QUALIFICATION STATEMENT

## QUALIFICATION STATEMENT

Note: All blanks in the form are to be filled in. Where blanks are not applicable to your firm, so indicate in each instance.

1. How many years has your firm been in the business under your present business name?  
17
2. How many years experience in the construction work of a similar type as this contract has your firm had?

a. as a Prime Contractor 7

b. as a Subcontractor 17

3. List below the construction projects your firm has under way as of this date:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached

(use additional blank sheets if additional space is necessary)

4. List the projects which your firm as a firm has performed in the past few years which you feel will qualify you for this work:

Contract Amount	Class of Work	Percent Completed	Name and Address of Owner or Contracting Officer
-----------------	---------------	-------------------	--

see attached

(use additional blank sheets if additional space is necessary)

5. Have you:

a. ever failed to complete any work awarded to you? no  
If so; identify the project, the owner, the contract amount, the circumstances and date of all such failures to complete.

b. ever been defaulted on a contract? no  
If so; identify the project, the owner, the contract amount, the circumstances and the date of all default actions.

- c. ever been declared a non-responsible bidder by any municipality or public agency? no

If so, identify the project, the owner, the contract amount, the circumstances and date of all such declarations.

- d. ever been barred from bidding municipal or public contracts? no

If so, identify the municipality or public agency, the circumstances, date and term of disbarment for all debarments.

(use additional blank sheets if additional space is necessary)

6. Has any officer, partner or principal of your firm ever been an officer, partner or principal of some other firm?

- a. that failed to complete a construction contract? no

If so, state name of individual and identify the name of firm, the project, the owner, the contract amount, the circumstances and the date of all failures to complete for all principals of the firm.

- b. that has ever been defaulted on a contract? no

If so, state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all default actions for all principals of the firm.

- c. that has ever been declared a non-responsible bidder by any municipality or public agency? no

If so, state the name of the individual and identify the name of the firm, the project, the owner, the contract amount, the circumstances and the date of all such declarations for all principals of the firm.

d. that has ever been barred from bidding municipal or public contracts?

no

If so, state the name of the individual and identify the name of the firm, the municipality or public agency, the circumstances, date and term of debarment for all debarments for all principals of the firm.

(use additional blank sheets if additional space is necessary)

7. Has any officer or partner of your firm ever failed to complete a construction contract handled in his name? no

If so, state name of individual, name of owner and reason therefor:

8. Disclose any and all violations of the Prevailing Wage and Supplemental Payment Requirements of the Labor Law of New York State.

none

9. Disclose any and all other Labor Law Violations, including, but not limited to, child labor violations, failure to pay wages, or unemployment insurance tax delinquencies within the past five years.

none

10. In what other lines of business are you financially interested?

Real Estate Partnership 512 W 185th Street, LLC (minority interest)

11. What is the construction experience of the principal individuals of your firm?

Individual's Name	Present Position or Office	Years of Construction Experience	Magnitude and type of work	In what Capacity
Richard O. Pelicetta	President	27 yrs	General	Executive/Project Mgt & Mechanical
John C. Doremus	Vice President	24 yrs	General	Executive/Project Mgt & Mechanical
John E. Koziarz	Vice President	24 yrs	Mechanical	Proj. Mgmt
William J. Doremus	Sec. Treasurer	16 yrs		Finance/Admin

(use additional blank sheets if additional space is necessary)

12. List below the equipment that you own that is available for the proposed work, giving present location where it may be inspected:

Item	Description, Size Capacity, Year, etc.	Years of Service	Present Location
see attached			

(use additional blank sheets if additional space is necessary)

NOTE: Should the equipment be moved from the above mentioned location, the submitted hereby agrees upon request of the County to state the new location where same may be found.

13. If any of the above equipment is covered by chattel mortgage, conditional bill of sale, lien, or like encumbrance, state the complete details as to nature and amount of encumbrance, the name and address of the holder, etc.

Signature Bank - 2010 Grove RT 600E (50 Ton Crane)

(use additional blank sheets if additional space is necessary)

14. In what manner have you inspected this proposed work?

Explain in detail.

Review of contract documents & pre-bid site visit

(use additional blank sheets if additional space is necessary)

15. Explain your plan and lay-out for performing the proposed work.

Will perform in accordance with owner approved schedule

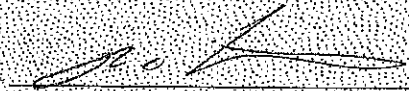
16. If a contract is awarded or a permit is issued, to your firm, who will have the personal supervision of the work? Attach resume.

John Doremus

17. Insurance carried by your firm:

Type	Company	Limits of Coverage	Term
See attached sample certificate			

18. The undersigned hereby declares: That the foregoing information contained in this bid is a true statement, including, but not limited to, the financial condition of the individual firm herein first named as of the date herein given; the undersigned has read that portion of the Instructions to the Bidders entitled "Qualifications and Responsibility of Bidders" and that the bidder acknowledges its affirmative obligation to transmit with this statement any matters relevant and material to those contractor qualifications and responsibility standards; that this statement is for the express purpose of inducing the party to whom it is submitted to award the submitted a contract or issuance of a permit; that any depository, vendor or other agency herein named is authorized to supply such party with any information necessary to verify this statement; and that it understands and agrees that any material misrepresentation or omission of material fact may be deemed grounds for disqualification of the bidder as "not responsible," and may also subject the bidder to future debarment, penalties, and sanctions, to the extent permitted by law.



Richard O. Felicetta, President

NOTE: The bids shall be sworn to by the person signing them, in one of the following forms:

(Form of affidavit where Bidder is a corporation)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

Richard O. Felicetta

Being duly sworn, deposes and says:

That he resides at 12 Allenby Drive  
in the City of Fort Salonga, NY

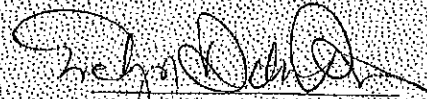
Street,  
that he is the President of

R. J. Industries, Inc.

the corporation described in and which executed the foregoing instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal and was affixed by order of the Board of Directors of said corporation; that he signed his name thereto by like order; and that he has knowledge of the several matters therein stated and they are in all respects true.

Subscribed and sworn to before me

this 16th day of April, 2015.



Notary

EVELYN DELLA RATTA

Notary Public, State of New York

01DE4854131

Qualified in Nassau County

Commission Expires May 27, 2018

(Form of affidavit where Bidder is a firm)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

Being duly sworn, deposes and says:

That he is a member of

the firm in which executed the foregoing bid; that he duly subscribed in and which executed the foregoing bid; that he duly subscribed the name of the firm hereunto on behalf of the firm; and that the several matters therein stated are in all respects true.

this day of , 20

Notary

(Form of affidavit where Bidder is an individual)

STATE OF NEW YORK )

) ss.:

COUNTY OF NASSAU )

Being duly sworn, deposes and says:

That he is the person described in and who executed the foregoing bid and that the several matters therein stated are in all respects true.

this day of , 20

Notary

NOTICE OF AWARD

# RJ Equipment

Year	Item	Model No.	Serial No.	Value / Order Cost	Comments	Aug-14
2010	Grove RT 800E (50 Ton Crane)	HO 2160		385,000		
2005	Link Belt RTC (60 Ton Crane)	RTC 805011		218,505		Bay Park (1794 hrs)
	Landis 6" Pipe Threader	(w/ GE Induction Motor)		16,520		Cedar Ck (8462)
2013	CAT Excavator	308E		23783		
2012	Geomax Robotic Total Station (Photo Lens)	Zoom 80		283352		
2013	Geomax Robotic Total Station (Photo Lens)	Zoom 80		22,150		
2014	Zentih 25 GPS			283453		
				2860958		
2011	Morebranch (Various Dewatering Equipment)			105,000		
2011	Komatsu Hydraulic Excavator	PC200LC-8HD		129,450		
1998	CAT Hydraulic Excav	320 BL		42,000	Attachments: APE 20E Vibrating Hammer Abelmaster Demo Hammer (Model No. H50FT) Milling Machine (Model No. PC204)	Bay Park (3162) Cedar Ck (1031)
2002	CAT Skid Loader	262		20,000		
1991	GROVE Hyd Crane	RTG35		65,000		
2006	CAT Excavator	308 OCR		91,500		Bay Park (2162)
2002	CAT Mini Excavator	305		34,925		
2001	CAT 725 Articulated Dump Truck	725		86,000		
2002	CAT Mini Excavator	304.5		35,000		
2001	CAT Backhoe	420 D		33,000	Attachments: Keel XPS Demo Hammer (Auger Equipment)	Purchased (6591) Glen Cove (5844)
2002	CAT Solid Loader	262B		20,000		
1990	John Deere Pay Loader	641E		45,000		
1999	CAT Excavator	315 C		50,000		Cedar Ck (4518) Cedar Ck (4543)
1997	CAT Excavator	315 BL		38,000		Riverhead (10377)
1997	JCB Telehandler (Forklift)	506B		23,250	shop	
	Grapple Forklift	644D		12,500		Bay Park (3146) Riverhead (6592)
1998	CAT Backhoe	428C		40,000		Cedar Ck (1672)
2002	CAT Bulldozer	D8C		159,000		Bay Park (8738)
2003	ROSOVIB Pile Driver			108,000		
2006	CAT Excavator	330CL		8,000		
2004	GORMAN RUPP - 4" Diesel Pump	PA6C60-4045D-C1		10,000		
	GORMAN RUPP - 6" Dry Prime Pump			37,925		
2001	Ingersoll Rand Vibratory Smooth Drum Roller	SD110D				
1998	CHICAGO PNEUMATIC Compressor	CPS 380		5,000		
2008	Concrete Saw - Self Propelled	F8660UD		16,500		
	Concrete Forms			80,000		
	3 - WHIRLYBIRDS					
	4-5 VIBRATORS					
	Light Towers			8,250		
	Light Towers			8,250		
	Light Towers			8,250		
2003	JLG 400S - Self Propelled Deutz	400S		19,500	purchased 5/2013	
2008	Skyjack	SJ3219		5,500	purchased 5/2013	
2008	Skyjack	SJ3219		5,500	purchased 5/2013	
2008	Skyjack	SJ3219		5,500	purchased 5/2013	
2001	JLG Telescopic Boom Lift	400S		13,750		
2001	Lull - Telescopic Fork Lift	844C-42		20,000		Riverhead (112014)
2002	JLG Telescopic Boom Lift	400S		13,750		
	Yale Forklift GP 970	GP 970		7,050	shop	
2009	CAT Payloader	966H		75,000		Bay Park
2005	John Deere (off road Dump)	300D		120,000		

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bergen Point WWTP (SCSD #3) Influent Pump Station Butterfly Valve Replacement	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$2,945,400	2014
Bergen Point WWTP (SCSD #3) Effluent Ultraviolet (UV) Disinfection	County of Suffolk	John Berchtold, PE Suffolk County DPW 631-852-4189	\$13,747,700	2014
Great Neck Water Pollution Control Dist. Plant Upgrade and Expansion to 5.3 MGD	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$44,557,700	2014
Great Neck Water Pollution Control Dist. Microturbine Cogeneration Facility	Great Neck WPCD	William F Cosulich, Assoc. Joseph Mautarano 516-364-9890	\$1,995,500	2014
Replacement of Bus Washers at Manhattanville Bus Depot; Contract C-33091	MTA - New York City Transit	Keith Summa 646-252-3464	\$2,148,272	2014
City of Long Beach Rehabilitation of New York Avenue Lift Station	City of Long Beach		\$448,400	2014
Bergen Point WWTP (SCSD #3) Screening Room Odor Control General Construction & HVAC Contracts	County of Suffolk	John Berchtold 631-852-4189	\$2,347,860	2013
Bay Park WWTP Emergency Contract (Sandy) Restore Plant Operations, Sewage Collection System & Related Infrastructure Repairs	Nassau County DPW	Joseph Davenport 516-571-7515	\$2,701,537	2013
				As of December 2014

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Greater Atlantic Beach Treatment Facility (Phase II)	Greater Atlantic Beach Water Reclamation District	Cameron Engineering Mark Rauber 516-827-4900	\$1,828,800	2013
Water Authority of Western Nassau County Wellhead Treatment for Iron Removal at Plants 28 & 30 (General Construction & Plumbing)	Water Auth Western Nassau Joseph Corbisiero 516-327-4053	John Collins H2M Group 631-756-8000	\$4,872,523	2013
Port Washington WPCD Biosolids Building Rehabilitation	Port Washington WPCD	Robert Breslin 516-924-4376	\$814,400	2013
Port Washington Water District Packed Tower Aeration	Port Washington Water	Dvirka Bartilucci Phil Sachs	\$734,400	2013
Port Washington WPCD Plant Upgrade (Install New BNR System)	Port Washington WPCD	Robert Breslin 516-924-4376	\$20,988,800	2012
Stony Brook University East Campus Well Replacement	State University of NY	Paul Van Loan 631-632-3067	\$1,076,423	2012
Shoreham Wading River CSD Replace Fuel Oil Storage Tanks	Shoreham-Wading River CSD	Burton Behrendt Smith 631-475-0349	\$624,800	2012
Patchogue WWTP Upgrade & Expansion - GC & Mechanical	Village of Patchogue	Steven Cluff H2M Group 631-756-8000	\$8,906,550	2011
				As of December 2014

***R.J. Industries, Inc.***

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Bay Park STP Dechlorination Facility - General Const.	Nassau County DPW	Nassau County - DPW James Gallagher 516-571-7220	\$3,647,700	2011
Manhasset Lakeville Water District IU Willefs Water Treatment Plant General Construction	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$1,578,440	2011
Manhasset Lakeville Water District IU Willefs Water Treatment Plant Chemical Bulk Storage	Manhasset - Lakeville Water District	Ben Bletsch H2M Group 631-756-8000	\$548,440	2011
Jericho Water District Well No. 5 - GC & Mechanical Work	Jericho Water District	Joe Todaro Sidney Bowne 516-746-2350	\$774,880	2011
Cedar Creek WPCP Install New Gravity Belt Thickner	Nassau County DPW	Hazen and Sawyer Mike De Nicola 212-539-7038	\$14,424,400	2010
SUNY - Stony Brook, Sewer Dist No. 21 Standby Effluent Pump Station	Suffolk County, DPW	Suffolk County - DPW John Donovan	\$622,980	2010
SUNY - Stony Brook East Campus Cooling Tower Replacement	SUNY - Stony Brook	Kevin Rooney 631-632-6222	\$10,389,900	2010
Greenlawn Water District Treatment for Volatile Organics Compounds (VOC) Removal at Plant 13 - General Cont.	Greenlawn Water Dist.	Ben Bletsch H2M Group 631-756-8000	\$929,800	2010

As of December 2014

**R.J. Industries, Inc.**

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>Contractor / Consultant</u>	<u>CONTRACT AMOUNT</u>	<u>DATE COMPLETED</u>
Great Neck Water District Concrete Cleanwell - Weybridge Road General Construction	Great Neck Water Dist.	Dvirka & Bartluci Bill Merklin 516-595-4845	\$648,000	2010
SUNY - Maritime Replace Fuel Oil Tanks (Ft. Schuyler)	State University Construction Fund	Richard Brown 516-320-3204	\$1,998,050	2010
Sea Cliff Install 600,000 Gallon Elevated Tank	Aqua New York Matthew Snyder	Caldwell Tank, Inc (GC) Jenny Ferguson 502-964-3361	\$288,000	2009
Carle Place Water District Pump Sta. 4 Treatment Improvements & Building Rehab	Carle Place Water Dist.	Sidney Bowne Joseph Todaro, PE 516-746-2350	\$164,830	2009
Huntington WWTP Install Ultraviolet (UV) Disinfection System	Town of Huntington	H2M Group Steve Hearl 631-756-8000	\$568,800	2008
Port Jefferson WWTP Plant Reconstruction (General & Mechanical Contract)	Suffolk County DPW	H2M Group Frank Russo 631-756-8000	\$18,434,000	2008
Huntington Sewer District Treatment Plant Upgrade	Town of Huntington	H2M Group Chris Weiss 631-756-8000	\$13,298,460	2008
Keyspan - Barrett Bridge Install Piles and Foundation	Keyspan Energy	Jim Walsh 516-545-6277	\$643,348	2007

As of December 2014

**R.J. Industries, Inc.**

Prime Contracts In Progress

<u>PROJECT &amp; LOCATION</u>	<u>OWNER</u>	<u>CONTACT/CONSULTANT</u>	<u>ORIGINAL CONTRACT AMOUNT</u>	<u>SUBSTANTIAL COMPLETION DATE</u>	<u>% COMPLETE</u>
<u>R.J. Industries, Inc.</u>					
Bay Park STP / Cedar Creek WPCP Odor Control Facility	Nassau County DPW	Joseph Davenport 516-571-7515 Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$24,767,700	September-15	59%
Town of Riverhead Advanced WWTP Total Maximum Daily Load (TMDL) Upgrade General Construction and Plumbing Contracts	Town of Riverhead	Chris Weiss (H2M Group) 631-756-8000	\$17,869,900	Dec-15	35%
Glen Cove Wastewater Treatment Plant Above Ground Diesel Storage Tank & New Aeration Blower	Nassau County DPW	Richard Webber 516-571-6811	\$996,700	March-15	55%
Dock Place & Southland Drive Pump Station Reconstruction	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$892,200	Dec-15	92%
Yaphank County Center WWTP Denitrification System Improvements	County of Suffolk	Janice McGovern 631-852-4188	\$1,868,800	December-15	2%
Inwood Pump Sta. & Lawrence WPCP Consolidation of Sewer Services at Cedarhurst & Lawrence Pump Stations	Nassau County DPW	Mark Wagner Cameron Engineering 516-827-4900	\$4,925,500	June-16	73%
<u>BSRJA TV</u>					
Bay Park Sewage Treatment Plant Electrical Substation Upgrades (Phase E1) Contract No. S35121-13E	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer) 516-881-7843	\$28,674,700	Jun-16	21%
<u>RJ / Scalamandra, Joint Venture</u>					
Bay Park Sewage Treatment Plant	Nassau County DPW	Robert Pedenzin (Hazen & Sawyer)	\$16,187,700	Sep-16	2%

as of December  
2014



# CERTIFICATE OF LIABILITY INSURANCE

RJIND-1

OP ID: PA

DATE (MM/DD/YYYY)

08/07/2014

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy; certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER  
A. L. Carr Agency, Inc.  
101 Mill Dam Road  
Centerport, NY 11721-0608

CONTACT  
NAME:  
PHONE  
(A/C, No, Ext): 631-271-2727 FAX  
(A/C, No): 631-271-2747  
E-MAIL  
ADDRESS:

INSURER(S) AFFORDING COVERAGE

NAIC #

INSURER A: ALLIED WORLD NATIONAL ASSURANCE

INSURER B: DARWIN NATIONAL INSURANCE

INSURER C: NEW YORK STATE INSURANCE FUND

INSURER D: NATIONAL UNION FIRE INS OF PA

INSURER E: EVANSTON INSURANCE CO.

INSURER F:

INSURED RJ INDUSTRIES, Inc.  
75 EAST BETHPAGE ROAD  
PLAINVIEW, NY 11803

## COVERAGES

## CERTIFICATE NUMBER:

## REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDITIONAL SUBROGATION WAIVED	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC OTHER:	X	0309-1225	08/01/2014	08/01/2015	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 10,000 PERSONAL & ADV INJURY \$ 300,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/OP AGG \$ 2,000,000
B	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	X	0903-1229-1D	08/01/2014	08/01/2015	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
D	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> DED <input type="checkbox"/> RETENTION \$ 15,000	X	BEO62829231	08/01/2014	08/01/2015	EACH OCCURRENCE \$ 10,000,000 AGGREGATE \$ 10,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N N/A	TBD	08/01/2014	08/01/2015	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTHER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
E	EXCESS LIABILITY		XONJ612914	08/01/2014	08/01/2015	EXC LIAB 5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

RE: Contract #S35114-07G, Project: Glen Cove Wastewater Treatment Plant- New Above Ground Diesel Storage Tank & New Aeration Blower.  
The County of Nassau Department of Public Works and the City of Glen Cove are included as Additional Insured as respects General Liability, Umbrella Liability and Installation Floater.

## CERTIFICATE HOLDER

## CANCELLATION

## COUNTY

COUNTY OF NASSAU  
DEPARTMENT OF PUBLIC WORKS  
1194 PROSPECT AVENUE  
WESTBURY, NY 11590-2723

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

© 1988-2014 ACORD CORPORATION. All rights reserved.